

7/03

USB

E. Diaconescu - Large N Duality of Gromov-Witten Theory

GW theory - Chen-Singer theory - Extremal transitions

String theory

- Plan
- Closed string GW invariants, localization
 - Open string GW invariants
 - Extremal transitions & CS theory

Closed String GW Invariants

(Kontsevich, Kuranishi, Li-Tian, Behrend-Fukaya, ~~Behrend-Fukaya~~ Fukaya-Ohno)

Σ genus $\xrightarrow{\text{hol map}}$ X

- closed string instantons

Want to sum over all such

• Moduli space of hol maps, call Σ to be a curve $\xrightarrow{f}$ X Aut(f) finite
(don't need need Σ stable - only f need have fin many automorphisms)
 $\Rightarrow \overline{M}_{g,0}(X, \beta)$ $\beta \in H_2(X)$

Virtual dimension: $f: \Sigma \rightarrow X$, inf. deformations of (Σ, f)
given by $\text{Ext}^1(f^* \Omega_X \rightarrow \Omega_\Sigma, \mathcal{O}_\Sigma) = H^1(\Sigma, f^* T_X)$

Obstructions $\text{Ext}^2(\quad) =: H^2(\Sigma, f^* T_X)$ $D = \Sigma P_i$

Target - obstruction complex

$$\begin{aligned} 0 &\rightarrow \text{Ext}^0(\Omega_\Sigma(\mathcal{O}), \mathcal{O}_\Sigma) \xrightarrow{\text{Ad}(\Sigma)} \text{Ext}^0(f^* \Omega_X \mathcal{O}_\Sigma) \rightarrow \text{Ext}^1(f^* \Omega_X \mathcal{O}_\Sigma) \rightarrow \\ &\rightarrow H^1(\Sigma, \mathcal{O}) \rightarrow \text{Ext}^1(\Omega_\Sigma(\mathcal{O}), \mathcal{O}_\Sigma) \xrightarrow{\text{Ad}(\Sigma)} \text{Ext}^1(f^* \Omega_X \mathcal{O}_\Sigma) \rightarrow \text{Ext}^2(\Sigma) \\ &\rightarrow \text{Ext}^1(f^* \Omega_X \mathcal{O}_\Sigma) \xrightarrow{\text{Ad}(\Sigma)} H^2(\Sigma, f^* T_X) \rightarrow 0 \end{aligned}$$

$H^1(f^* T_X)$

Virtual dimension of $\overline{M}_{g,0}(X, \beta) = (1-g)(\dim X - 3) - \langle K_X, \beta \rangle + n$

if $\dim X = 3$ $K_X = 0$ $n=0 \Rightarrow$ virtual dim = 0,
rarely expect to count maps

Considered virtual fundamental class $[\overline{M}_{g,n}(X, \beta)]^{vir}$
 algebraic cycle of virtual dimension
 so in CY3 case count points in this cycle
 even though moduli can be positive dimensional.

$$C_{g, \beta} = \int [\overline{M}_{g,0}(X, \beta)]^{vir} \mathbb{1} \quad \text{GW invariant}$$

GW potential $F_X(g, q) = \sum_{g \geq 0} g^{2g-2} \sum_{\beta \in H_2(X)} (g, \beta) q^\beta$
 q multi-indexed parameter " $q = e^{-\text{area of map}}$ "

Examples $X = \mathbb{P}^2$ hypersurface in a (reflexive) toric variety Z
 Assume $H_2(X) \cong H_2(Z)$

$$K_X = 0 \quad X \subset \mathbb{P}^3 / -K_Z$$

$M_{0,n}(Z, \beta)$ carries obstruction bundle V

$$\text{Fibers: } V_{(\Sigma, F)} = H^0(\Sigma, f^* \mathcal{O}_Z(-K_Z))$$

size $H^1(\Sigma, f^* \mathcal{O}_Z(-K_Z)) = 0$ this is actually a bundle

- select maps landing in X (or anything in linear system $| -K_Z |$ -- can replace $-K_X$ by any convex bundle)

$$E \rightarrow Z, \text{ i.e. } H^1(\Sigma, f^* E) = 0 \Rightarrow V(E)$$

Using $V \rightarrow \overline{M}_{0,0}(X, \beta)$, $e(V) \in A^*(\overline{M}_{0,0}(X, \beta))$

$$C_{0,0} = \int [\overline{M}_{0,0}(Z, \beta)]^{vir} \quad \text{Euler class} \quad \text{genus 0 GW invariant.}$$

Ex: Example X toric CY 3-fold (noncompact).

$X = Tot(E \rightarrow B)$ total space of bundle B toric
 $\dim B = 1 \Rightarrow 1pt$
 2 toric divisors

$$C(E) = -C(B)$$

N_{Ξ}^{vr} virtual nodes bundle to Ξ (observed in $D^k(\Xi)$)

$$e_T(U) = \alpha \in A_T^*(\bar{m})$$

$$\frac{\int_{[M]^{vr}} \alpha = \sum_{\Xi} \int_{\Xi} \frac{1_{\Xi} \propto}{e_T(N_{\Xi}^{vr})}}$$

Explicit example $X = Tot(\underbrace{O(-1) \oplus O(-1)}_{\Xi}) \rightarrow |P|$

$$H_2(X) = \mathbb{Z} \cdot (O\text{-section } C = \sigma(p))$$

$$\bar{M}_{g,0}(X, d[C]) \simeq \bar{M}_{g,0}(P^1, d)$$

T has 2 fixed points on X , $0, \infty$ on O -section



Fixed loci: Ξ or addl. space: $g > 0$ must map to Q_1 or Q_2 , rational components can map onto C with some degree w .



Kontsevich: Associate a graph to each fixed locus

Vertices $\leftrightarrow$ vertexed components (over $0, \infty$ points)
edges rational components

Vertex labelled by genus & fixed part, edges by multiplicity



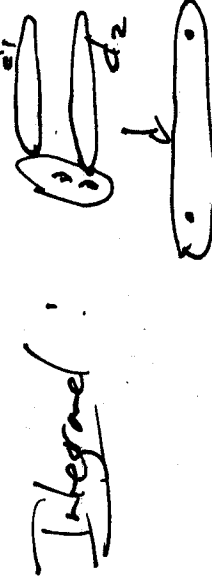
Fixed loci: $\Xi \leftrightarrow$ graph Γ , product of degree-labelled space

$$\prod_{\text{vertices}} \bar{M}_{g(v), \text{val}(v)} / G(\Gamma) = \text{act. of fixed axes}$$

$$\text{Presentation of } G : 1 \mapsto \prod_e \mathbb{Z} / d_e \rightarrow G(\Gamma) \rightarrow \text{Aut } \Gamma \rightarrow 1$$

Ordinary Fundamental class of this space agrees with virtual class

induced on Ξ



Integral:

Use identities $\cdot c(E^*) \subset (E) = 1$

$$\int_{\overline{m}_{3,1}} c_1(E) 4^{2g-2} = 6g$$

Example $X = \text{Tot}(\mathcal{O}(-3)) \rightarrow \mathbb{P}^2$

Fixed loci



Open String GW Invariants

Katz-Liu, Li-Joy, Goto-Zeher, Fuleaya-Jh

X CY 3-fold $\Rightarrow L$ Lagrangian cycle

$\Sigma_{g,h}$ genus $g + h$ boundary components

$f: \Sigma \rightarrow X, f: \partial \Sigma \rightarrow L$

$\beta \in H_2(X, L)$ relative homology class, $\gamma \in H_1(L)$

$\Rightarrow q_\beta$ $q_{\gamma \delta}$ q_{ve} q_{ne} q_{ve} q_{ne}

Want space $m_{g,h}(X, L, \beta, \gamma)$

Virtual fundamental cycle...

orientation

$$c_{g,h,\beta,\gamma} = \int [\overline{m}_{g,h}(X, L, \beta, \gamma)]^{\text{vir}}$$

$$F_{X,L}(g_s, \gamma, \gamma) = \sum_{g \geq 0} \sum_{\beta, \gamma} g_s^{2g-2+h} c_{g,h,\beta,\gamma}$$

Try to calculate these assuming localization formula - need list of fixed loci & tangent-obstruction complex (Assume torus action preserving L)

Tangent-obstruction complex of $f: \Sigma_{g,h} \rightarrow X$

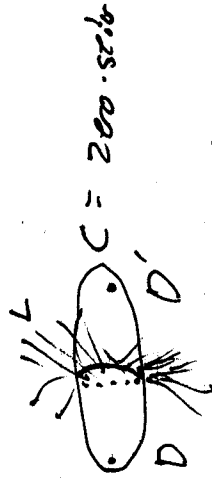
$$0 \rightarrow \mathcal{H} \subset \Sigma \rightarrow \mathbb{Z} \oplus \mathcal{H} \subset 0 \rightarrow \mathcal{H} \rightarrow \mathbb{Z} \oplus \mathcal{H} \rightarrow 0$$

Here $f_2 = f|_{\mathbb{Z}}$, pair $(f^*T_X, f_2^*T_{\mathbb{Z}}) : \text{Riemann-Hilbert bundle}$
 - totally real subbundle of $(f^*T_X)|_{\mathbb{Z}}$

Get new divisors on boundary:  "closed string degrees"

+  red radial boundary!

Example $X = \text{Tot}(\mathcal{O}(1) \oplus \mathcal{O}(1) \rightarrow \mathbb{P}^1) \supset L = \{ |z|=1, v=\overline{z}u \}$
 (z,u,v) coords. $L \cong S^1 \times \mathbb{R}^2$



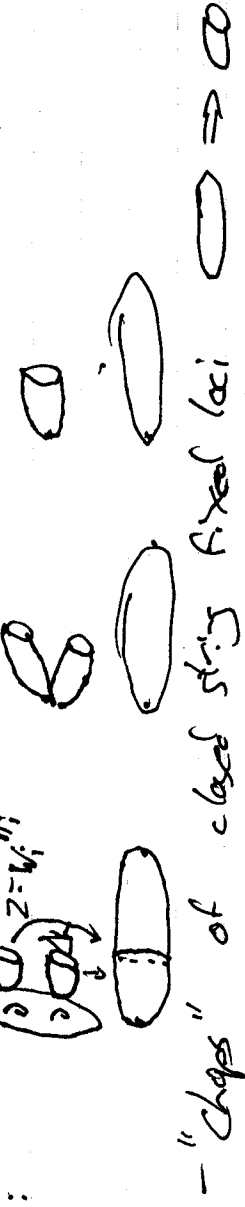
$L = \mathbb{R}^2$ -fibration over
 meridian of zero-section

Take $\beta = d \cdot [D] \in H_2(X, \mathbb{Z})$

$f: \Sigma_{g,h} \rightarrow X$ $(f_*[\Sigma_{g,h}]) = \beta$, $f_*[\chi(\Sigma_{g,h})] = \eta_1 \cdot [\mathcal{D}]$
 η_1 boundary component

Torsion action: rotate z, u, v with
 weights $\lambda_z + \lambda_u + \lambda_v = 0$.

Fixed by:  $z = v_1^{n_1}$



"Chops" of closed string fixed by $\alpha_i \Rightarrow \alpha$

$\mathbb{Z}$ = sheaf of sections of $R\mathcal{H}$ $(f^*T_X, f^*T_{\mathbb{Z}})$

$\Rightarrow$ (0-vertex)

$0 \rightarrow \mathcal{H} \subset \Sigma \rightarrow \mathbb{Z} \oplus \mathcal{H} \subset 0 \rightarrow \mathcal{H} \rightarrow \mathbb{Z} \oplus \mathcal{H} \rightarrow 0$

$f_i = f|_{\Delta_i}$, $\mathbb{Z}_i = \mathbb{Z}_{f_i}$

Dicranescu III

Extremal transitions between CY 3-folds (Classes) :

Y_μ family of CY 3-folds s.t.

- Y_μ is smooth $\mu \neq 0$
- Y_0 has only isolated ordinary double pts (conifold singularity)

Can find a crepant resolution of singularities $X \rightarrow Y$
(X smooth, $K_X = 0$)

Imprecise question: What is the relation between the GW potentials
 $F_X(g_s, g_x)$, $F_Y(g_s, g_y)$ Y are generic smooth Y_μ .

-the topology changes, Y_μ has vanishing cycles $L_1, \dots, L_r$

which have singular pts & then become exceptional

$(-1, -1)$ curves on X . Get a relation on vanishing cycles

$$h^{2,1}(Y) = h^{2,1}(X) + r$$

$$h^{1,1}(Y) = h^{1,1}(X) - r$$

$Li, Riem$ - symplectic cut construction

$$\varphi: H_2(X) \rightarrow H_2(Y)$$

$$C_{g,p}^Y = \sum_{g \in \pi_1(Y)} C_{g,p}^X$$

Physics alternative

local transition (Gopakumar, Vafa)

Transitions between (noncompact) toric CY 3

" " CY hypersurfaces

Conifold transition:

$$Y_\mu: XY + ZW = \mu \subset \mathbb{P}^4$$

$X \rightarrow Y_0$ resolution,

$$X = \text{Tot}(\mathcal{O}(-1) \oplus \mathcal{O}(-1)) \rightarrow \mathbb{P}^1$$

Vanishing cycle L on Y_μ shrinks to sphere

$$X = Y \quad Z = \overline{W} \quad \text{[assume } \mu \in \mathbb{R}_+]$$

Y_μ is diffeomorphic to T^*S^3

No curves on Y_μ , only one effective curve on X , the O -section

$$F_X = \sum_{d \geq 1} \frac{g_d}{d} (2.5 \sin \frac{d\theta}{2})^2$$

Physics - evaluate F_X in L , by considering L with more structure!
 N D-branes wrapped on L ---

Pick a $U(N)$ bundle $\vec{E} \rightarrow L$, $E = \mathbb{C}^N \times L$

Fields: $A \in \mathcal{A}(E)$ $U(N)$ connections, with topological Rel.
 theory action of Witten: Chern-Simons action

$$S_{CS} = k \int \text{Tr} (A \wedge A + \frac{2}{3} A^3)$$

= String field theory action for an open string theory, with boundary actions on L .

... Sum over maps from open strings ending on Y degenerate to ribbon graphs, ... calculate we get CS theory

The free energy of the topological open theory = free energy of CS theory
 $= \ln (CS \text{ quantum invariant of } S^3 \text{ associated to } U(N))$

$$Z_{N,k}(S^3) = e^{i\pi \frac{N(N+1)}{8}} \cdot \frac{1}{(N+k)^{N/2}} \sqrt{\frac{N+k}{N}} \prod_{j=1}^N \left(2 \sin \frac{j\pi}{N+k} \right)^{Nj}$$

take large N expansion: let $X = \frac{2\pi i}{k+N}$ $\lambda = e^{iNk}$

$$F_{CS}(X, \lambda) \Big|_{X=\frac{2\pi i}{k+N}, \lambda=e^{iNk}} = \ln Z_{N,k}(S^3)$$

$$\text{Gopakumar-Vafa}_g : F_{CS}(X, \lambda) \Big|_{\substack{X=g_s \\ \lambda=2}} = F_X(g_s, 2)$$

$C-S$ $G-W$ of regions

Transitions between toric CY 3-folds: (W.B. Florea, A. Grassi)

$X = \text{Tot}(O(k_B) \rightarrow B)$ B toric del Pezzo
 $e.g. dP_2, dP_3$ 2,3-pt blowups of $\mathbb{P}^2$

$B = dP_2$ e_1, e_2 exceptional curves on $B \Rightarrow (-1, -1)$ curves on X

Y_μ hypersurface in ~~$\mathbb{P}^3$~~ $Z = \mathbb{P}^3 \oplus \mathcal{O}(-1) \oplus \mathcal{O}(-2) \rightarrow \mathbb{P}^3$

$U Z_1 + V Z_2 Z_3 = \mu$ $\mu=0 \Rightarrow ODP$ at $kV=Z_1=Z_3=0$

$\& U=V=Z_1=Z_3=0$

Y has no effective holomorphic curves

Need more than just D-branes wrapping the 2 vanishing cycles (get just 2 decoupled CS theories)

Within: missing ingredient - holomorphic curves w/ bdy



— need to add open string instanton corrections to the CS theory.



Simplified model: isolated disc ending on L

— coupled vers. of disc ending at $R^{2 \times S^1}$ part five.

$$\Rightarrow \text{instanton effect} \quad S(A) = S_{CS}(A) + \frac{1}{g_s} \int \text{Tr Hol}(A, \partial D)$$

$$(q = e^{-\text{area}(D)}) \quad F = F_{CS} + \log \left\langle e^{\frac{1}{g_s} \int \text{Tr Hol}(A, \partial D)} \right\rangle_{CS}$$

— Wilson line in the CS theory
 ∂D is knot in S^3 — note sense of this is a knot invariant!

$$\left\langle e^{\frac{1}{g_s} \int \text{Tr } V} \right\rangle_{CS} = \sum_{n=0}^{\infty} \frac{1}{n!} \left(\frac{q}{g_s} \right)^n \left\langle \left(\text{Tr } V \right)^n \right\rangle_{CS} = \sum_{n=0}^{\infty} \frac{1}{n!} \left(\frac{q}{g_s} \right)^n \sum_{\text{Rep } R} \frac{1}{|C(R)|} \cdot C_n(R) \left\langle \text{Tr } V \right\rangle_{CS}$$

"Jones poly of ∂D in rep R "

Here A is off-shell: not flat,

doesn't satisfy eqns of motion — or flat conn arbitrary connection! — ~~not~~ singularities on Wilson line, don't specify connection but integrate over all connections.

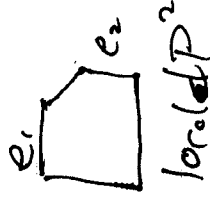
Must add many corrections! multi-raws, higher genus open families

Set up an enumerative problem: $\overline{M}_{3,n}(Y, L, KS)$ stable open string moduli + virtual fundamental class, forms an

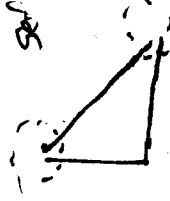
Diacrona V

Topological Notes

(Agarici-Klein -
Marino-Vafa)
Diacrona - Grossi



e_1 : exceptional curve



get two linked circles + degenerate

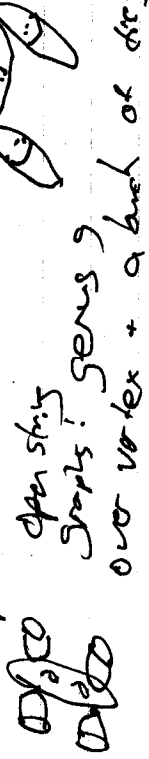
Closed string graphs & exceptional curves get isolated
- end up with few graphs.

Want a nice local way to see CS theory appearing, without having to go to a singularity.

X arbitrary toric 3-fold + coning of T-hurwitz P^1 's form trivalent graph



Introduce noncompact Lagrangian circles $S^1 \times \mathbb{R}^2$ balls dividing each P^1 into two disks
- cutting isolates a piece of the graphs



open string graphs! genus 0 over vertex + a bunch of discs.

- Want gluing algorithm to glue all closed string graphs & sewing open graphs
- find Chen-Stern expression for local contributions & graphs

Write formula for winding vectors, components give number of axes with given winding numbers around the Lagrangian axis.
& three graphs