# Introduction to Subdivision and Normal Meshes 

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## Subdivision Surfaces



- Way to represent and approximate surfaces.
- Widely used in animated movies and computer games.



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## Subdivision - Basic Idea

Use simple refinement rules to construct smooth surfaces/curves from a coarse initial mesh.


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## Subdivision

- Simple method for describing complex surfaces
- Arbitrary topology
- Scalability/multiresolution
- Local definition - efficiency, simplicity
- Smooth surfaces


## Subdivision

Procedure to iteratively create smooth curves and surfaces.
Example in 1D: "4-point scheme:"
Curve $\sim \boldsymbol{y}_{j}=\left\{y_{j, k}\right\}$


Refinement: $\boldsymbol{y}_{j+1}=S \boldsymbol{y}_{j}$
$S$ defined as

$$
y_{j+1,2 k}=y_{j, k}
$$

(even points)

$$
y_{j+1,2 k+1}=\sum_{\ell=-1}^{2} s_{\ell} y_{j, k+\ell}
$$

(odd points)

## Subdivision, examples

More general, let $S$ be a (linear, local, stationary) subdivision operator such that $\boldsymbol{y}_{j+1}=S \boldsymbol{y}_{j}$ and

$$
y_{j+1,2 k}=\sum_{\ell} s_{\ell}^{e} y_{j, k+\ell}, \quad y_{j+1,2 k+1}=\sum_{\ell} s_{\ell}^{o} y_{j, k+\ell} .
$$

where $\boldsymbol{s}^{0}=\left\{s_{\ell}^{0}\right\}$ and $\boldsymbol{s}^{e}\left\{s_{\ell}^{e}\right\}$ are the odd/even masks.
$S$ is interpolating if $\boldsymbol{s}^{e}=\left\{\delta_{\ell}\right\}$, i.e. $y_{j+1,2 k}=y_{j, k}$.
Examples:

- "2-point" (linear), $\boldsymbol{s}^{0}=\frac{1}{2}[1,1]$,
- "4-point" (cubic), $\boldsymbol{s}^{0}=\frac{1}{16}[-1,9,9,-1]$,
- "6-point", $\boldsymbol{s}^{0}=\frac{1}{256}[3,-25,150,150,-25,3]$.


## More Properties of Subdivision Operators

Order of $S$ is $\mathcal{P}$ if $S$ preserves $p$-degree polynomials for $0 \leq p<\mathcal{P}$.
Derived subdivision schemes, $S^{[p]}$, act on divided differences of $\boldsymbol{y}_{j}$,

$$
S^{[p]} \boldsymbol{y}_{j}^{[p]}=\boldsymbol{y}_{j+1}^{[p]}, \quad \boldsymbol{y}^{[p]}=D_{j}^{p} \boldsymbol{y}_{j} .
$$

(Also, $S^{[p]}=D_{j+1}^{p} S D_{j}^{-p}$.) $S^{[p]}$ well-defined for $p \leq$ order of $S$.
A derived scheme $S^{[p]}$ is in general not interpolating even if $S$ is. Example: If $S$ is the 4 -point scheme, then

- $S^{[1]}: \boldsymbol{s}^{\boldsymbol{e}}=\left[\frac{1}{8}, 1,-\frac{1}{8}\right], \boldsymbol{s}^{0}=\left[-\frac{1}{8}, 1, \frac{1}{8}\right]$,
- $\boldsymbol{S}^{[2]}: \boldsymbol{s}^{e}=\left[\frac{1}{2}, \frac{1}{2}\right], \boldsymbol{s}^{o}=\left[-\frac{1}{4}, \frac{3}{2},-\frac{1}{4}\right]$.


## Subdivision limit functions

Let $\tilde{y}_{j}(t)$ be linear interpolant of $\boldsymbol{y}_{j}$. Does $\phi(t)$ exist such that

$$
\lim _{j \rightarrow \infty} \tilde{y}_{j}(t) \rightarrow \phi(t) ?
$$

If so, what is the regularity of $\phi(t)$ ?

## Theorem

Let $S$ be a subdivision scheme of order $\mathcal{P} \geq 1$. Assume $C, \mu$ exist such that

$$
\left\|S^{[p]^{j}}\right\|_{\infty} \leq C 2^{\mu j}, \quad \forall j \geq 0
$$

If $\mathcal{P} \geq p>\mu$, then $\exists \phi \in C^{p-\mu-\varepsilon}$ such that $\tilde{y}_{j} \rightarrow \phi$ uniformly.

## Ex.:

- "2-point:" $\left\|S^{[1]}\right\|_{\infty}=1$ gives $p=1, \mu=0$, so $\phi \in C^{1-\varepsilon}$
- "4-point:" $\left\|S^{[3]}\right\|_{\infty}=2$ gives $p=3, \mu=1$, so $\phi \in C^{2-\varepsilon}$.
- "6-point", $\phi \in C^{2.83}$.


## Subdivision in 2D - Surfaces

New challenges:

- Triangular/quadrilaterals instead of intervals
- Extraordinary vertices - varying number of neighbors
- Boundaries
- Piecewise smooth curves - creases, corners, cusps, etc.


## Subdivision in 2D - Surfaces

## Butterfly algorithm:

- Work with triangulated meshes.
- Split each face in four with each refinement.



## Butterfly, cont.

- Interpolating: Old vertices stay the same.
- New vertex a weighted sum of surrounding vertices:

$$
x_{\text {new }}=\frac{1}{2}\left(a_{1}+a_{2}\right)+\left(\frac{1}{8}+2 w\right)\left(b_{1}+b_{2}\right)-\left(\frac{1}{16}+w\right)\left(c_{1}+c_{2}\right)
$$


(w = tension parameter)

- Gives $C^{1}$ surfaces almost everywhere.


## Butterfly, example

Initial mesh a pyramid:


## Multiresolution Meshes

## Analysis:



## Multiresolution Meshes

## Analysis:



## Synthesis:



- Predict new vertices only based on previous level.
- Add wavelet coefficient ("detail vector") to correct.


## Normal meshes

Guskov, Khodakovsky, Schröder, Sweldens,...

## Curve $\Gamma$


$\Gamma$ and $\Gamma_{2}$

$\Gamma$ and $\Gamma_{1}$

$\Gamma$ and $\Gamma_{3}$


## Normal meshes



$\Gamma$ and $\Gamma_{2}$



- Multiresolution meshes (predict + detail, at each level).
- Wavelet vectors align with normal direction defined by coarser levels.
- Purely scalar representation (1 float/vertex) possible. Good compression properties.
- No parameterization/connectivity info (improves compression rates)
- Scalar wavelet compression codes can be used.


## Mathematical Issues

- Convergence,
- Decay of normal offsets,
- Regularity of normal parameterizaton,
- Stability.

Some results available in one dimension: Daubechies, Sweldens, O.R..

## Normal meshes

## Theory

Suppose the curve is given by $\Gamma(s)$ with $s \in[0,1]$. Let the node points on level $j$ be given by

$$
x_{j, k}=\Gamma\left(k 2^{-j}\right), \quad 0 \leq k \leq 2^{j}
$$

(Note that $x_{j+1,2 k}=x_{j, k}$.)
The wavelet details vectors are

$$
w_{j, k}=x_{j+1,2 k+1}-\frac{1}{2}\left(x_{j, k}+x_{j, k+1}\right), \quad j \geq 0
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## Theorem (Daubechies,Sweldens,OR)

If $\Gamma \in C^{2}\left([0,1] ; \mathbb{R}^{2}\right)$ then

$$
\Gamma_{j} \rightarrow \Gamma, \quad\left|w_{j, k}\right| \leq C 2^{-2 j}, \quad\left|x_{j, k+1}-x_{j, k}\right| \leq C^{\prime} 2^{-j}
$$

## Higher order subdivision scheme as predictor



Will give faster decay of wavelet vectors and their time derivatives.

## Higher order subdivision - Theory

For higher order subdivision normal wavelet vectors decay faster:
Theorem (Daubechies,Sweldens,OR)
If $\Gamma \in C^{Q+\varepsilon}\left([0,1] ; \mathbb{R}^{2}\right)$ then

$$
\left|w_{j, k}\right| \leq C 2^{-(Q-\varepsilon) j},
$$

where $Q$ depends on the subdivision operator in a nontrivial way. Ex:

- "4-point:" $Q=3$,
- "6-point:" $Q=3.83$,
- "8-point:" $Q=4.55$.


## Two dimensions

Triangulated wavefront:


## Two dimensions

Simplified normal mesh construction
(1) Start from two adjacent triangles.

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(3) Compute an average normal on the connecting edge:

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n_{\mathrm{aver}}=\frac{n_{1}+n_{2}}{\left|n_{1}+n_{2}\right|}
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(5) Do same thing for all edges.
(6) Connect new points to a new finer triangulation.

## Two dimensions

Normal mesh example
Better results when using higher order subdivision schemes as predictors. Here the Butterfly scheme was used:


## Two dimensions

Normal mesh example

## Better results when using higher order subdivision schemes as predictors. Here the Butterfly scheme was used:



Figure 1: Left: original mesh (3 floats/vertex). Middle: two stages of our algorithm. Right: normal mesh (1 float/vertex). (Skull dataset courtesy Headus, Inc.)

