

# CHARACTERISTIC CLASSES

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These are lecture notes for a series of five lectures I gave to other graduate students about characteristic classes through UT Austin’s summer minicourse program (see <https://www.ma.utexas.edu/users/richard.wong/Minicourses.html> for more details). Beware of potential typos. In these notes I cover the basic theory of Stiefel-Whitney, Wu, Chern, Pontrjagin, and Euler classes, introducing some interesting topics in algebraic topology along the way. In the last section the Hirzebruch signature theorem is introduced as an application. Many proofs are left out to save time. There are many exercises, which emphasize getting experience with characteristic class computations. Don’t do all of them; you should do enough to make you feel comfortable with the computations, focusing on the ones interesting or useful to you.

**Prerequisites.** Formally, I will assume familiarity with homology and cohomology at the level of Hatcher, chapters 2 and 3, and not much more. There will be some differential topology, which is covered by UT’s prelim course. Some familiarity with vector bundles will be helpful, but not strictly necessary.

The exercises may ask for more; in particular, you will probably want to know the standard CW structures on  $\mathbb{R}P^n$  and  $\mathbb{C}P^n$ , as well as their cohomology rings.

**References.** Most of this material has been synthesized from the following sources.

- Milnor-Stasheff, “Characteristic classes,” which fleshes out all the details we neglect.
- Freed, “Bordism: old and new.” <https://www.ma.utexas.edu/users/dafr/bordism.pdf>. The material in §§6–8 is a good fast-paced introduction to classifying spaces, Pontrjagin, and Chern classes.
- Hatcher, “Vector bundles and  $K$ -theory,” chapter 3. <https://www.math.cornell.edu/~hatcher/VBKT/VB.pdf>.
- Bott-Tu, “Differential forms in algebraic topology,” chapter 4.

Thanks to the attendees of my minicourses in 2017 and 2018, as well as to Casandra Monroe and Alberto San Miguel Mulaney, for finding and fixing a few typos.

## 1. FOUR APPROACHES TO CHARACTERISTIC CLASSES

Today, we’re going to discuss what characteristic classes are. The definition is not hard, but there are at least four ways to think about them, and each perspective is important. This will also be an excuse to introduce some useful notions in geometry and topology — though this will be true every day.

**1.1. Characteristic classes: what and why.** Characteristic classes are natural cohomology classes of vector bundles. Let’s exposit this a bit.

**Definition 1.1.** Recall that a (*real*) *vector bundle* over a space  $M$  is a continuous map  $\pi: E \rightarrow M$  such that

- (1) each fiber  $\pi^{-1}(m)$  is a finite-dimensional real vector space, and
- (2) there’s an open cover  $\mathcal{U}$  of  $M$  such that for each  $U \in \mathcal{U}$ ,  $\pi^{-1}(U) \cong U \times \mathbb{R}^n$ , and this isomorphism is linear on each fiber.

That is, it's a continuous family of vector spaces over some topological space. We allow  $\mathbb{C}^n$  and *complex vector bundles*. Often our spaces will be manifolds, and our vector bundles will usually be smooth. We will often assume the dimension of a vector bundle on a disconnected space is constant.

**Example 1.2.**

- (1) The *tangent bundle*  $TM \rightarrow M$  to a manifold  $M$  is the vector bundle whose fiber above  $x \in M$  is  $T_x M$ .
- (2) A *trivial bundle*  $\mathbb{R}^n := \mathbb{R}^n \times M \rightarrow M$ .
- (3) The *tautological bundle*  $S \rightarrow \mathbb{R}P^n$  is a line bundle defined as follows: each point  $\ell \in \mathbb{R}P^n$  is a line in  $\mathbb{R}^{n+1}$ ; we let the fiber above  $\ell$  be that line. The same construction works over  $\mathbb{C}P^n$ , and Grassmannians.  $\blacktriangleleft$

It's also possible to make new vector bundles out of old: the usual operations on vector spaces (direct sum, tensor product, dual, Hom, symmetric power, and so on) generalize to vector bundles without much fuss. Vector bundles also pull back.

**Definition 1.3.** Let  $\pi: E \rightarrow M$  be a vector bundle and  $f: N \rightarrow M$  be continuous. Then, the *pullback* of  $E$  to  $N$ , denoted  $f^*E \rightarrow N$ , is the vector bundle whose fiber above an  $x \in N$  is  $\pi^{-1}(f(x))$ .

One should check this is actually a vector bundle.

Vector bundles are families of vector spaces over a base. There's a related notion of a principal bundle for a Lie group in which vector spaces are replaced with  $G$ -torsors.

**Definition 1.4.** Let  $G$  be a Lie group. A *principal  $G$ -bundle* is a map  $\pi: P \rightarrow M$  together with a free right  $G$ -action of  $P$  such that  $\pi$  is the quotient map, and such that every  $x \in X$  has a neighborhood  $U$  such that  $\pi^{-1}(U) \cong U \times G$  as  $G$ -spaces. An isomorphism of principal  $G$ -bundles over  $M$  is a  $G$ -equivariant map  $\varphi: P \rightarrow P'$  commuting with the maps down to  $M$ .

Thus in particular each fiber is a  $G$ -torsor. As with vector bundles, we have notions of a trivial principal  $G$ -bundle and pullback.

**Example 1.5.** Let  $E \rightarrow M$  be a real vector bundle, and give it a Euclidean metric. The *frame bundle* is the principal  $O_n$ -bundle  $\mathcal{B}_O(E) \rightarrow M$  whose fiber at  $x \in M$  is the  $O_n$ -torsor of orthonormal bases of  $E_x$ . In the same way, a complex vector bundle has a principal  $U_n$ -bundle of frames  $\mathcal{B}_U(E)$  induced by a Hermitian metric.  $\blacktriangleleft$

As Euclidean (resp. Hermitian) metrics exist and form a contractible space for any real (resp. complex) vector bundle, the isomorphism type of the frame bundle well-defined.

With these words freshly in our minds, we can define characteristic classes.

**Definition 1.6.** A *characteristic class*  $c$  of vector bundles or principal  $G$ -bundles is an assignment to each bundle  $E \rightarrow M$  a cohomology class  $c(E) \in H^*(M)$  that is *natural*, in that if  $f: N \rightarrow M$  is a map,  $c(f^*E) = f^*(c(E)) \in H^*(N)$ .

Characteristic classes can be for real or complex vector bundles, but usually not both at once; similarly, they're characteristic classes for principal bundles are defined with respect to a fixed  $G$ . The coefficient group for  $H^*(M)$  will vary.

You probably have motivations in mind for learning characteristic classes, but here are some more just in case.

- Vector bundles interpolate between geometric and algebraic information on manifolds — often they arise in a geometric context, but they're classified with algebra. Characteristic classes provide useful algebraic invariants of geometric information.
- More specifically, the obstructions to certain structures on a manifold (orientation, spin, etc) are captured by characteristic classes, so computations with characteristic classes determine which manifolds are orientable, spin, etc.
- Pairing a product of characteristic classes against the fundamental class defines a *characteristic number*. These are cobordism invariants, and in many situations the set of characteristic numbers is a complete cobordism invariant, and a computable one. Fancier characteristic numbers have geometric meaning and are useful for proving geometric results, e.g. in the Atiyah-Singer index theorem.

We'll now discuss four approaches to characteristic classes. These are not the only approaches; however, they are the most used and most useful ones. All approaches work in the setting of Chern classes, characteristic classes of complex vector bundles living in integral cohomology; most generalize to other characteristic classes, but not all of them.

1.2. **Axiomatic approach.** The axiomatic definition of Chern classes is due to Grothendieck.

**Definition 1.7.** The *Chern classes* are characteristic classes for a complex vector bundle  $E \rightarrow M$ : for each  $i \geq 0$ , the  $i^{\text{th}}$  Chern class of  $E$  is  $c_i(E) \in H^{2i}(M; \mathbb{Z})$ . The *total Chern class*  $c(E) = c_0(E) + c_1(E) + \dots$ . One writes  $c_i(M)$  for  $c_i(TM)$ , and  $c(M)$  for  $c(TM)$ .

These classes are defined to be the unique classes satisfying naturality and the following axioms.

- (1)  $c_0(E) = 1$ .
- (2) The *Whitney sum formula*  $c(E \oplus F) = c(E)c(F)$ , and hence

$$c_k(E \oplus F) = \sum_{i+j=k} c_i(E)c_j(F).$$

- (3) Let  $x$  be the generator of  $H^2(\mathbb{C}\mathbb{P}^n) \cong \mathbb{Z}$ ; then,  $c(S \rightarrow \mathbb{C}\mathbb{P}^n) = 1 - x$ .<sup>1</sup>

Of course, it's a theorem that these exist and are unique! Thus, all characteristic-class calculations can theoretically be recovered from these, though other methods are usually employed. However, some computations follow pretty directly, including one in the exercises.

So what are these telling us?

**Example 1.8.** Let  $\underline{\mathbb{C}}^n \rightarrow M$  be a trivial bundle. Then,  $c(\underline{\mathbb{C}}^n) = 1$ . This is because  $\underline{\mathbb{C}}^n$  is a pullback of the trivial bundle over a point. ◀

Thus the Chern classes (and characteristic classes more generally) give us a necessary condition for a vector bundle to be trivial.

**Definition 1.9.** A complex vector bundle  $E \rightarrow M$  is *stably trivial* if  $E \oplus \underline{\mathbb{C}}^n$  is a trivial vector bundle.

We'll also use the analogous definition for real vector bundles.

**Lemma 1.10.**  $c(E \oplus \underline{\mathbb{C}}) = c(E)$ , and hence if  $E$  is stably trivial, then  $c(E) = 1$ .

*Proof.* Whitney sum formula. ◻

This approach is kind of rigid, and also provides no geometric intuition.

1.3. **Linear dependency of generic sections.** This approach is geometric and slick, but one must show it's independent of choices.

To discuss it, we need one important fact, Poincaré duality.

**Theorem 1.11** (Poincaré duality). *Let  $M$  be a closed manifold.*

- (1) *Let  $A$  be an abelian group. An orientation of  $M$  determines an isomorphism  $\text{PD}: H^k(M; A) \rightarrow H_{n-k}(M; A)$  given by cap product with the fundamental class.*
- (2) *There is isomorphism  $\text{PD}: H^k(M; \mathbb{Z}/2) \rightarrow H_{n-k}(M; \mathbb{Z}/2)$  given by cap product with the mod 2 fundamental class.*

This theorem is pretty much the best.

**Definition 1.12.** Let  $M$  and  $N$  be oriented manifolds and  $i: N \hookrightarrow M$  be an embedding. Hence it defines a pushforward  $i_*[N] \in H_*(M)$ ; we will refer to this as the *homology class represented by  $N$* , and  $N$  as a *representative* for this homology class.

We'll do the same thing in homology with coefficients in any abelian group  $A$ ; when  $A = \mathbb{Z}/2$ , no orientation is necessary.

**Definition 1.13.** Let  $y \in H^k(M)$ . A *Poincaré dual submanifold* to  $y$  is an embedded, oriented submanifold  $N \subset M$  which represents  $\text{PD}(y) \in H_{n-k}(M)$ . Correspondingly, the *Poincaré dual* to an embedded oriented submanifold  $i: N \hookrightarrow M$  is  $\text{PD}(i_*[N]) \in H^{\text{codim } N}(M)$ .

Again, the above applies, *mutatis mutandis*, to cohomology with  $\mathbb{Z}/2$ -coefficients, but without orientations.

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<sup>1</sup>There are two choices of such  $x$ ; we define it to be Poincaré dual to a hyperplane  $\mathbb{C}\mathbb{P}^{n-1} \subset \mathbb{C}\mathbb{P}^n$  with the orientation induced from the complex structure.

**Definition 1.14.** Let  $\pi: E \rightarrow M$  be a complex vector bundle over a manifold  $M$ . Then, choose  $k$  sections  $s_1, \dots, s_k \in \Gamma(E)$  that are transverse to each other and to the zero section. (It's a theorem in differential topology that this is always possible.)

Let  $Y_k$  be the *locus of dependency* of  $s_1, \dots, s_k$ , i.e. the subset of  $x \in M$  on which  $\{s_1(x), \dots, s_k(x)\} \in \pi^{-1}(x)$  is linearly dependent. Then,  $Y_k$  is a smooth  $k$ -dimensional submanifold of  $M$ . The  $k^{\text{th}}$  Chern class of  $E$ , denoted  $c_k(E)$ , is the Poincaré dual of  $Y_k$ .

This definition provides a perspective: a Chern class is an obstruction to finding everywhere linearly independent sections of your vector bundle.

**1.4. Chern-Weil theory.** Any concept that appears in the real cohomology of a manifold can be expressed with de Rham theory, and Chern-Weil theory does this for Chern classes.

**Definition 1.15.** Let  $E \rightarrow M$  be a vector bundle. A *connection* on  $E$  is an  $\mathbb{R}$ -linear map  $\nabla: \Gamma(TM) \otimes_{\mathbb{R}} \Gamma(E) \rightarrow \Gamma(E)$  that is  $C^\infty(M)$ -linear in its first argument and satisfies the Leibniz rule

$$(1.16) \quad \nabla_v(f\psi) = (v \cdot f)\psi + f\nabla_v\psi.$$

where  $v$  is a vector field,  $\psi \in \Gamma(E)$ , and  $f \in C^\infty(M)$ .

This is a way of differentiating vector fields. Locally (i.e. in coordinates  $U$ ), a connection is like the de Rham differential, but plus some matrix-valued one-form  $A \in \Gamma(T^*U \otimes \text{End}(E|_U))$ :  $\nabla|_U = d + A$ . So if you have coordinates, you can define a connection through a matrix.

**Definition 1.17.** Let  $\nabla$  be a connection. Its *curvature* is  $F_\nabla \in \Omega_M^2(\text{End } E) := \Gamma(\Lambda^2 T^*M \otimes \text{End } E)$  defined by

$$F_\nabla := \nabla_X \circ \nabla_Y - \nabla_Y \circ \nabla_X - \nabla_{[X,Y]}.$$

That is, it's a 2-form, but instead of being valued in  $T^*M$ , it's valued in  $\text{End } E$ . If  $E$  is a line bundle, this is canonically trivial, so the curvature of a connection on a line bundle is just a differential 2-form, and in fact it's closed, so it represents a class on  $H_{\text{dR}}^2(M)$ . This is  $2\pi i$  times the first Chern class of that line bundle.

The *trace*  $\text{tr}: \Omega_M^k(\text{End } E) \rightarrow \Omega_M^k$  is the map induced from the map  $\Gamma(\text{End } E) \rightarrow C^\infty(M)$  which takes the trace at each point. As before, one can show that  $\text{tr}((F_\nabla)^k) \in \Omega_M^{2k}$  is closed, hence defines a de Rham cohomology class.

**Definition 1.18.** The  $k^{\text{th}}$  Chern class of  $E$  is  $(1/2\pi i)[\text{tr}((F_\nabla)^k)] \in H_{\text{dR}}^{2k}(M)$ .

Though this is *a priori* only in  $H_{\text{dR}}^{2k}(M) \otimes \mathbb{C}$ , it's an integral class (as the other definitions we've given were for  $\mathbb{Z}$ -cohomology), and it doesn't depend on the choice of connection. The proof idea is that the space of connections is convex, so you can interpolate between two connections.

So from this perspective, a Chern class measures curvature.

**Corollary 1.19.** If  $E$  admits a flat connection, its (rational) Chern classes are 0, and its integral Chern classes are torsion.

**1.5. The search for the universal bundle.** The final approach for today is moduli-theoretic. It's possible to construct a maximally twisted vector bundle: all vector bundles (of a given kind) are pullbacks of a universal vector bundle over a universal space.

By  $EG$  we will mean any contractible space with a free  $G$ -action, and  $BG := EG/G$ . Hence  $EG \rightarrow BG$  is a principal  $G$ -bundle.

**Proposition 1.20.** Any two choices for  $BG$  are homotopy equivalent.

**Example 1.21.** Let  $\mathcal{H}$  be a separable Hilbert space and  $S^\infty$  denote the unit sphere in  $\mathcal{H}$ , which is contractible. The antipodal map defines a free  $\mathbb{Z}/2$ -action on  $S^\infty$ , and its quotient, denoted  $\mathbb{R}\mathbb{P}^\infty$ , is a model for  $B\mathbb{Z}/2$ .  $\blacktriangleleft$

This model for  $B\mathbb{Z}/2$  realizes it as a Hilbert manifold, and in fact for any compact Lie group  $G$ ,  $BG$  has a model as a Hilbert manifold. There are other constructions, e.g. defining  $\mathbb{R}\mathbb{P}^\infty$  as a colimit of finite-dimensional spaces (which is not homeomorphic to the Hilbert manifold description) or using the bar construction, which works in great generality.

Let  $\text{Bun}_G M$  denote the set of isomorphism classes of principal  $G$ -bundles over  $M$ .

**Theorem 1.22.** Let  $M$  be a space. Then, the assignment  $[M, BG] \rightarrow \text{Bun}_G M$  sending  $f: M \rightarrow BG$  to the pullback  $f^*(EG) \rightarrow M$  is a bijection.

That is, every principal  $G$ -bundle arises from  $EG \rightarrow BG$  in an essentially unique way.

**Proposition 1.23.** *There's a natural bijection between the isomorphism classes of complex vector bundles of rank  $n$  and  $\text{Bun}_{U_n}(M)$  defined by sending  $E \mapsto \mathcal{B}(E)$ . The same is true for real vector bundles and  $\text{Bun}_{O_n}$ .*

“Natural” here means this bijection is compatible with pullback.

So in other words, given a complex vector bundle  $E \rightarrow M$  of rank  $n$ , we get a principal  $U_n$ -bundle, hence a homotopy class of maps  $f_E: M \rightarrow BU_n$ . If  $c \in H^*(BU_n)$ , then let  $c(E) := f_E^*c$ . This satisfies naturality, hence is a characteristic class, and all characteristic classes for rank- $n$  vector bundles arise this way, because all principal  $U_n$ -bundles are pullbacks of  $EU_n \rightarrow BU_n$ !

In other words, a characteristic class is a cohomology class of the classifying space.

Of course, we'd like to treat characteristic classes for all vector bundles at once, not just those of rank  $n$ . This is where stability jumps in: a rank- $n$  vector bundle  $E$  defines a rank- $(n+1)$ -vector bundle  $E \oplus \mathbb{C}$  which should have the same Chern classes. In the classifying-space framework, there's a map  $U_n \hookrightarrow U_{n+1}$  sending

$$A \mapsto \begin{pmatrix} A & 0 \\ 0 & 1 \end{pmatrix},$$

which induces a map  $BU_n \rightarrow BU_{n+1}$ .<sup>2</sup> If  $f_E: M \rightarrow BU_n$  is the classifying map for  $E$ , then the classifying map for  $E \oplus \mathbb{C}$  is the map  $M \xrightarrow{f_E} BU_n \rightarrow BU_{n+1}$ .

So now we have a directed system  $BU_1 \hookrightarrow BU_2 \hookrightarrow \dots$ , and any vector bundle defines compatible maps to objects in this system. Hence, the classifying space for vector bundles of any (finite) rank is

$$BU := \text{colim}_{n \rightarrow \infty} BU_n.$$

That is, a homotopy class of maps  $M \rightarrow BU$  defines a stable isomorphism class of vector bundles  $E \rightarrow M$ , and characteristic classes are exactly elements of the cohomology of  $BU$ ! Exactly the same story goes forth to define  $BO$  and characteristic classes for real vector bundles.<sup>3</sup>

**Theorem 1.24.**  $H^*(BU) \cong \mathbb{Z}[c_1, c_2, \dots]$ , with  $|c_k| = 2k$ .

Thus we can define the  $k^{\text{th}}$  Chern class to be  $c_k$ . Naturality and stability follow almost immediately.

*Remark 1.25.* This approach tells us that cohomology classes of  $BG$  define characteristic classes for principal  $G$ -bundles, not just vector bundles, and this approach is sometimes useful.  $\blacktriangleleft$

### 1.6. Exercises. Most important:

- (1) In this exercise, we'll compute  $c(\mathbb{C}\mathbb{P}^n) = (1+x)^{n+1}$ , where  $x \in H^2(\mathbb{C}\mathbb{P}^n) \cong \mathbb{Z}$  is a generator, Poincaré dual to  $\mathbb{C}\mathbb{P}^{n-1} \subset \mathbb{C}\mathbb{P}^n$ .
  - (a) Let  $Q = \mathbb{C}^{n+1}/S$ , the universal quotient bundle: its fiber over an  $\ell \in \mathbb{C}\mathbb{P}^n$  is  $\mathbb{C}^{n+1}/\ell$ . Show that  $\text{Hom}(S, Q) \cong T\mathbb{C}\mathbb{P}^n$ . (Hint: let  $\ell$  be a complex line in  $\mathbb{C}^{n+1}$  and  $\ell^\perp$  be a complimentary subspace, i.e.  $\ell \oplus \ell^\perp \cong \mathbb{C}^{n+1}$ . Then,  $\text{Hom}(\ell, \ell^\perp)$  can be identified with the neighborhood of  $\ell \in \mathbb{C}\mathbb{P}^n$  of lines which are graphs of functions  $\ell \rightarrow \ell^\perp$ .)
  - (b) Using this, show that  $T\mathbb{C}\mathbb{P}^n \oplus \text{Hom}(S, S) \cong (S^*)^{\oplus(n+1)}$ .
  - (c) If  $E$  is any line bundle, show that  $\text{Hom}(E, E)$  is trivial.
  - (d) If  $E \rightarrow \mathbb{C}\mathbb{P}^n$  is a line bundle, show that  $c_1(E^*) = -c_1(E)$ . (Hint: use the fact that  $E^* \cong \overline{E}$  and naturality of Chern classes.)
  - (e) Applying (1c) and (1d) to (1b), conclude  $c(\mathbb{C}\mathbb{P}^n) = (1+x)^{n+1}$ .
- (2) If  $E \rightarrow M$  is a vector bundle, its determinant bundle  $\text{Det } E \rightarrow M$  is its top exterior power, which is a line bundle. Use the locus-of-dependency definition of Chern classes to show that  $c_1(E) = c_1(\text{Det } E)$ .
- (3) Use Chern-Weil theory to compute the Chern classes of  $\mathbb{C}\mathbb{P}^1$  and  $\mathbb{C}\mathbb{P}^2$ .
- (4) Let  $L$  be a line bundle. Why is  $\text{End } L$  trivial? (Not just trivializable: can you produce a canonical isomorphism with  $\mathbb{R}$  (or  $\mathbb{C}$  in the complex case)?)

Also important, especially if you're interested:

- (1) Show that  $TS^2$  is stably trivial, but not trivial. What's an example of a manifold whose tangent bundle isn't stably trivial?

<sup>2</sup>Technically, it induces a homotopy class of maps. But there are models for  $BG$  which make  $B$  a functor on the nose.

<sup>3</sup>The notation is suggestive, and in fact  $BU$  is the classifying space for the infinite unitary group  $U$ , the colimit of  $U_n$  over all  $n$ .

(2) Show that if  $G$  is discrete, any Eilenberg-Mac Lane space  $K(G, 1)$  is a model for  $BG$ , and vice versa. Hence  $S^1 = B\mathbb{Z}$  and  $\mathbb{R}P^\infty = B\mathbb{Z}/2 = BO_1$ .

(3) In this exercise, we construct  $BO_n$  as an infinite-dimensional manifold. Fix a separable Hilbert space, such as  $\ell^2$ . The Stiefel manifold  $St_n(\ell^2)$  is the set of linear isometric embeddings  $\mathbb{R}^n \hookrightarrow \ell^2$  (i.e. injective linear maps preserving the inner product), topologized as a subspace of  $\text{Hom}(\mathbb{R}^n, \ell^2)$ .  $O_n$  acts on  $St_n(\ell^2)$  by precomposition.

The infinite-dimensional Grassmannian  $Gr_n(\ell^2)$  is the space of  $n$ -dimensional subspaces of  $\ell^2$ , topologized in a similar way to finite-dimensional Grassmannians. There's a projection  $\pi: St_n(\ell^2) \rightarrow Gr_n(\ell^2)$  sending a map  $b: \mathbb{R}^n \rightarrow \ell^2$  to its image.

(a) Show that  $St_n(\ell^2)$  is contractible. (Hint: if  $e_i$  denotes the sequence with a 1 in position  $i$  and 0 everywhere else, define two homotopies, one which pushes any embedding to one orthogonal to the standard embedding  $s: \mathbb{R}^n \rightarrow \ell^2$  as the first  $n$  coordinates, and the other which contracts the subspace of embeddings orthogonal to  $s$  onto  $s$ ).

(b) Show that the  $O_n$ -action on  $St_n(\ell^2)$  is free, so  $St_n(\ell^2)$  is an  $EO_n$ .

(c) Show that  $\pi: St_n(\ell^2) \rightarrow Gr_n(\ell^2)$  is the quotient by the  $O_n$ -action, so  $Gr_n(\ell^2)$  is a  $BO_n$ .

(4) Show that the definition of Chern classes as cohomology classes on  $BU$  satisfies the axiomatic characterization of Chern classes. Hint:  $\mathbb{C}P^\infty = \text{colim}_n \mathbb{C}P^n$  is a  $BU_1$  with a standard CW structure, and the inclusion  $\mathbb{C}P^n \hookrightarrow \mathbb{C}P^\infty$  is cellular (for the standard CW structure on  $\mathbb{C}P^n$ ). Conversely, show that the axiomatic definition of Chern classes implies they pull back from characteristic classes on  $BU_n$ , and agree under the map  $BU_n \rightarrow BU_{n+1}$ , and hence are unique.

Additional exercises:

(1) Verify that  $S^\infty$  is contractible.

## 2. STIEFEL-WHITNEY CLASSES

The first characteristic classes we'll discuss are Stiefel-Whitney classes, which are characteristic classes for real vector bundles in  $\mathbb{Z}/2$  cohomology. This will make things slightly easier, so when the same ideas appear again for Chern and Pontrjagin classes on Thursday, they will already be familiar.

**2.1. A Definition of Stiefel-Whitney classes.** Last time we emphasized that there are many ways to define and think about characteristic classes. To get off the ground, we're going to use one approach, and then state some properties. Other definitions are possible.

**Theorem 2.1.** As graded rings,  $H^*(BO; \mathbb{F}_2) \cong \mathbb{F}_2[w_1, w_2, w_3, \dots]$ , with  $|w_i| = i$ .

Hence any characteristic class for real vector bundles in mod 2 cohomology is a polynomial in these classes.

**Definition 2.2.** The characteristic class defined by  $w_i \in H^i(BO; \mathbb{F}_2)$  is called the  $i^{\text{th}}$  Stiefel-Whitney class. We also let  $w_0 = 1$ . The total Stiefel-Whitney class is  $w(E) := 1 + w_1(E) + w_2(E) + \dots$ . If  $M$  is a manifold,  $w(M) := w(TM)$  and  $w_i(M) := w_i(TM)$ .

**Proposition 2.3.** Some basic properties of Stiefel-Whitney classes:

- (1) The Stiefel-Whitney classes are natural, i.e.  $f^*(w_i(E)) = w_i(f^*(E))$ .
- (2) The Whitney sum formula:  $w(E \oplus F) = w(E)w(F)$ , and hence

$$w_k(E \oplus F) = \sum_{i+j=k} w_i(E)w_j(F).$$

(Here we set  $w_0 = 1$ .)

- (3) If  $x$  denotes the generator of  $H^1(\mathbb{R}P^n; \mathbb{F}_2) \cong \mathbb{F}_2$ , then  $w(S \rightarrow \mathbb{R}P^n) = 1 + x$ .
- (4) The Stiefel-Whitney classes are stable, i.e.  $w(E \oplus \mathbb{R}) = w(E)$ .
- (5) If  $k > \text{rank } E$ , then  $w_k(E) = 0$ .
- (6) If  $E$  has a set of  $\ell$  everywhere linearly independent sections, then  $w_k(E) = 0$  for any  $k \geq \text{rank } E - \ell$ .

**2.2. Tangential structures.** Our first application of characteristic classes will be to obstructing certain structures on manifolds. The idea is that some structures, such as an orientation, can be expressed as a condition on the characteristic classes of the tangent bundle. These structures tend to be more "topological;" geometric structures (complex structure, Kähler structure, etc.) can't be captured by this formalism.

Let  $\rho: H \rightarrow G$  be a homomorphism of Lie groups and  $\pi: P \rightarrow M$  be a principal  $G$ -bundle. Recall that a *reduction of the structure group* of  $P$  to  $H$  is data  $(\pi': Q \rightarrow M, \theta)$  such that

- $\pi': Q \rightarrow M$  is a principal  $H$ -bundle, and
- $\theta: Q \times_H G \rightarrow P$  is an isomorphism of principal  $G$ -bundles, where  $H$  acts on  $G$  through  $\rho$ .

An equivalence of reductions  $(Q_1, \theta_1) \rightarrow (Q_2, \theta_2)$  is a map  $\psi: Q_1 \rightarrow Q_2$  intertwining  $\theta_1$  and  $\theta_2$ .

**Definition 2.4.** Let  $M$  be a smooth  $n$ -manifold and  $\rho: H \rightarrow \text{GL}_n(\mathbb{R})$  be a homomorphism of Lie groups. If  $\mathcal{B}(M) \rightarrow M$  denotes the principal  $\text{GL}_n(\mathbb{R})$ -bundle of frames on  $M$ , an  $H$ -structure on  $M$  is an equivalence class of reductions of the structure group of  $\mathcal{B}(M)$  to  $H$ .

**Example 2.5.** Let  $\rho: \text{O}_n \hookrightarrow \text{GL}_n(\mathbb{R})$  be inclusion. A reduction of the structure group of  $\mathcal{B}(M)$  to  $\text{O}_n$  is a smoothly varying choice of which bases of  $T_x M$  are orthonormal, i.e. a smoothly varying inner product on  $T_x M$ . Hence it's equivalent data to a Riemannian metric. The space of Riemannian metrics on  $M$  is connected, which implies that all reductions are equivalent; a manifold has a single  $\text{O}_n$ -structure.  $\blacktriangleleft$

**Example 2.6.** Let  $\rho: \text{SO}_n \hookrightarrow \text{GL}_n(\mathbb{R})$  be inclusion. In this case, a reduction of the structure group of  $\mathcal{B}(M)$  to  $\text{SO}_n$  specifies which bases of  $T_x M$  are oriented at every point, and therefore defines an orientation on  $M$ . Two reductions are equivalent iff they define the same orientation. Therefore an  $\text{SO}_n$ -structure on  $M$  is equivalent data to an orientation.  $\blacktriangleleft$

In particular: an  $H$ -structure is data, and it need not always exist.

**Definition 2.7.** A *spin structure* on a manifold  $M$  is an  $H$ -structure for  $H = \text{Spin}_n$  along the map  $\rho: \text{Spin}_n \rightarrow \text{SO}_n \hookrightarrow \text{GL}_n(\mathbb{R})$ . A *spin manifold* is a manifold with a specified spin structure.

Example 2.6 immediately implies that a spin structure determines an orientation.

A reduction of the structure group to  $\text{U}_n$ , called an *almost complex structure*, is enough structure to make a real vector bundle into a complex one.

*Remark 2.8.* There are a few alternate ways to define tangential structures.

- (1) Recall that one way to define a real vector bundle  $E$  on a manifold  $M$  is through transition functions: if  $\mathcal{U}$  is an open cover trivializing  $E$ , then for every pair of intersecting opens  $U, V \in \mathcal{U}$ ,  $E$  defines a smooth function  $g_{UV}: U \cap V \rightarrow \text{GL}_n(\mathbb{R})$ . Then an  $H$ -structure is a choice of transition functions  $h_{UV}: U \cap V \rightarrow G$  such that for all intersecting  $U, V \in \mathcal{U}$ , the following diagram commutes.

$$\begin{array}{ccc} & & H \\ & \nearrow h_{UV} & \downarrow \rho \\ U \cap V & \xrightarrow{g_{UV}} & \text{GL}_n(\mathbb{R}). \end{array}$$

We define two such  $H$ -structures to be equivalent if they're homotopic (possibly after taking a common refinement of open covers). This is a formalization of the idea that, for example, an orientation is the structure such that all change-of-charts maps preserve the orientation of tangent vectors.

- (2) A faster, but less geometric, way to define tangential structures:  $\rho: H \rightarrow \text{GL}_n(\mathbb{R})$  induces a map  $B\rho: BH \rightarrow B\text{GL}_n(\mathbb{R})$ . An  $H$ -structure is a lift of the classifying map  $M \rightarrow B\text{GL}_n(\mathbb{R})$  of the vector bundle to a map  $M \rightarrow BH$ , and we say two  $H$ -structures are equivalent if they're homotopic.  $\blacktriangleleft$

These structures are obstructed by characteristic classes; often a characteristic class is a complete obstruction.

**Theorem 2.9.** Let  $M$  be a manifold.

- $M$  is orientable iff  $w_1(M) = 0$ .
- $M$  is spinnable iff  $w_1(M) = 0$  and  $w_2(M) = 0$ .

**Proposition 2.10.** Let  $M$  be an orientable manifold. The set of orientations of  $M$  is an  $H^0(M; \mathbb{Z}/2)$ -torsor.

Explicitly, we can reverse orientation on any connected component, so a general switch from one orientation to another is defined by a subset of  $\pi_0(M)$ , i.e. a function  $\pi_0(M) \rightarrow \mathbb{Z}/2$ .

**Proposition 2.11.** Let  $M$  be an oriented manifold admitting a spin structure. Then, the set of spin structures on  $M$  inducing the given orientation is an  $H^1(M; \mathbb{Z}/2)$ -torsor.

One way to think of this is through transition functions: let  $\mathcal{U}$  be an open cover of  $M$  trivializing  $TM$ ; then the spin structure determines (up to homotopy) lifts of the transition functions  $g_{UV} : U \cap V \rightarrow \mathrm{GL}_n(\mathbb{R})$  to  $\tilde{g}_{UV} : U \cap V \rightarrow \mathrm{Spin}_n$ , satisfying a cocycle condition on triple intersections. A Čech cocycle for an  $h \in H^1(M; \{\pm 1\})$  is data of functions  $h_{UV} : U \cap V \rightarrow \{\pm 1\}$  satisfying a cocycle condition on triple intersections. Then, the transition functions  $h_{UV} \cdot \tilde{g}_{UV} : U \cap V \rightarrow \mathrm{Spin}_n$  still satisfy a cocycle condition, hence define a spin structure.

**2.3. Stiefel-Whitney numbers and unoriented cobordism.** Fix a dimension  $n \geq 0$ ; we'll allow the empty set to be an  $n$ -manifold. Recall that two  $n$ -manifolds  $M$  and  $N$  are (*unoriented*) *cobordant* if there's an  $(n + 1)$ -manifold  $X$  such that  $\partial X = M \amalg N$ ; one says  $X$  is a *cobordism* from  $M$  to  $N$ .

By gluing cobordisms, cobordism is an equivalence relation; the set of equivalence classes is denoted  $\Omega_n^O$ . This is an abelian group under disjoint union, and

$$\Omega_*^O := \bigoplus_{n \geq 0} \Omega_n^O$$

is a graded ring under Cartesian product. This is called the (*unoriented*) *cobordism ring*.

*Remark 2.12.* Fix a tangential structure  $G$ . The above goes through when restricted to manifolds and cobordisms with  $G$ -structure, and therefore defines  $G$ -cobordism groups and rings, denoted  $\Omega_n^G$  and  $\Omega_*^G$ . Frequently considered are oriented cobordism, spin cobordism, and framed cobordism.  $\blacktriangleleft$

It's a classical question in algebraic topology, and a hard one, to compute cobordism rings. Somewhat easier is the construction of *cobordism invariants*, maps out of  $\Omega_*^O$  to some other ring that are easier to compute. For example, one can show that the mod 2 Euler characteristic is a cobordism invariant: if  $M$  is cobordant to  $N$ , then  $\chi(M) \equiv \chi(N) \pmod{2}$ . (This admits a direct cellular argument, but we'll prove it later with characteristic classes.) We're going to construct some more.

**Definition 2.13.** Let  $M$  be a closed  $n$ -manifold, so that it admits a unique fundamental class in  $\mathbb{F}_2$  cohomology, and let  $n = i_1 + \dots + i_k$  be a partition of  $n$ . Then, the *Stiefel-Whitney number*

$$w_{i_1 i_2 \dots i_k} := \langle w_{i_1}(M) w_{i_2}(M) \cdots w_{i_k}(M), [M] \rangle.$$

That is, multiply all of the specified Stiefel-Whitney classes together, then cap with the fundamental class.

In the exercises you'll prove this is a cobordism invariant. Great! But it turns out the Stiefel-Whitney numbers are a *complete* invariant.

**Theorem 2.14** (Thom). *As graded rings,*

$$\Omega_*^O \cong \mathbb{F}_2[x_i \mid i \neq 2^j - 1] \cong \mathbb{F}_2[x_2, x_4, x_5, x_6, x_8, \dots],$$

where if  $i$  is even,  $x_i = [\mathbb{R}P^i]$ . Moreover, two  $n$ -manifolds  $M$  and  $N$  are cobordant iff their Stiefel-Whitney numbers all agree.

The significance of this theorem is difficult to overstate: Thom more or less invented differential topology in order to prove it.

*Remark 2.15.* The odd-dimensional generators are certain *Dold manifolds*  $P(m, n) := (S^m \times \mathbb{C}P^n)/\mathbb{Z}/2$ , where  $\mathbb{Z}/2$  acts by the antipodal map on  $S^m$  and complex conjugation on  $\mathbb{C}P^n$ .  $\blacktriangleleft$

The lesson today is: we know how to compute Stiefel-Whitney numbers, so we can tell whether two manifolds are cobordant. Later we'll give analogous results for other kinds of cobordism.

## 2.4. Some example calculations.

**Proposition 2.16.** *There is no immersion  $\mathbb{R}P^9 \hookrightarrow \mathbb{R}^{14}$ .*

*Proof.* Suppose  $f : \mathbb{R}P^9 \hookrightarrow \mathbb{R}^{14}$  is such an immersion. Then, there is a short exact sequence of vector bundles on  $\mathbb{R}P^9$

$$0 \longrightarrow T\mathbb{R}P^9 \longrightarrow f^*(T\mathbb{R}^{14}) \longrightarrow \nu \longrightarrow 0,$$

where  $\nu$  is the normal bundle. Hence by the Whitney sum formula,

$$w(\mathbb{R}P^9)w(\nu) = w(f^*T\mathbb{R}^{14}) = 1,$$



because  $T\mathbb{R}^{14}$  is trivial. Expanding,

$$w(\mathbb{R}P^9) = (1+x)^{10} = 1 + x^2 + x^8,$$

so if you solve for  $w(\nu)$ , it has to be

$$w(\nu) = 1 + x^2 + x^4 + x^6.$$

However,  $\nu$  is 5-dimensional, so  $w_6(\nu) = 0$ . ☒

Some more useful facts about Stiefel-Whitney classes follow. Recall that the *determinant* of a vector bundle  $E$  is its top exterior power  $\text{Det } E := \Lambda^{\text{rank } E} E$ .

**Proposition 2.17.** *If  $E \rightarrow M$  is a real vector bundle,  $w_1(E) = w_1(\text{Det } E)$ .*

The analogous result for Chern classes was an exercise yesterday, and this is true for the same reasons.

**Proposition 2.18.** *Let  $E, E' \rightarrow M$  be real line bundles, where  $M$  is a closed manifold. Then, the following are equivalent:*

- (1)  $E \cong E'$ .
- (2)  $w(E) = w(E')$ .
- (3)  $w_1(E) = w_1(E')$ .

**Corollary 2.19.** *Let  $M$  be a closed  $n$ -manifold. The following three maps are group isomorphisms:*

$$\text{Bun}_{\mathbb{Z}/2}(M) \xrightarrow{-\times_{\mathbb{Z}/2}\mathbb{R}} \text{Line}(M) \xrightarrow{w_1} H^1(M; \mathbb{Z}/2) \xrightarrow{\text{PD}} H_{n-1}(M; \mathbb{Z}/2).$$

The first map is the associated bundle construction, the second is the first Stiefel-Whitney class, and the third is Poincaré duality.

It is possible, and enlightening, to describe compositions or maps going the other way. For example, given an embedded  $(n-1)$ -manifold  $N \subset M$ , one can construct a principal  $\mathbb{Z}/2$ -bundle on  $M$  by declaring it to be trivial on  $M \setminus N$ , and on  $N$ , glue by switching the two fibers.

**Proposition 2.20.** *The top Stiefel-Whitney number  $\langle w_n, [M] \rangle$  of a closed manifold is its Euler characteristic modulo 2.*

Later we'll see that if  $M$  is orientable,  $w_n$  is the reduction of another characteristic class which encodes the Euler characteristic in  $\mathbb{Z}$ .

## 2.5. Exercises. Most important:

- (1) Analogous to yesterday's calculation of  $c(\mathbb{C}P^n)$ , show that  $w(\mathbb{R}P^n) = (1+x)^{n+1}$ , where  $x$  is the nonzero element of  $H^1(\mathbb{R}P^n; \mathbb{Z}/2) \cong \mathbb{Z}/2$ .
- (2) For which  $n$  is  $\mathbb{R}P^n$  orientable? Spin?
- (3) We provided a definition of the  $k^{\text{th}}$  Chern class as the Poincaré dual of the dependency locus of  $k$  generic sections. Can you provide the analogous definition for the  $k^{\text{th}}$  Stiefel-Whitney class and prove it's equivalent to the one given in lecture?
- (4) Show that the top Stiefel-Whitney class of an odd-dimensional manifold vanishes.
- (5) Show that when  $n \neq 2^k - 1$ ,  $\mathbb{R}P^n$  does not embed in  $\mathbb{R}^{n+1}$ .

Also important, especially if you're interested:

- (1) There are two groups  $\text{Pin}_n^+$  and  $\text{Pin}_n^-$  which are double covers of  $O_n$ ; for each one, the connected component of the identity is  $\text{Spin}_n$ . Thus, one may speak of  $\text{Pin}^+$ - and  $\text{Pin}^-$ -structures on manifolds; the former is a trivialization of  $w_2$ , and the latter is a trivialization of  $w_2 + w_1^2$ . For which  $n$  is  $\mathbb{R}P^n$   $\text{Pin}^+$ ?  $\text{Pin}^-$ ?
- (2) Show that an orientation and either a  $\text{Pin}^+$  or a  $\text{Pin}^-$  structure determines a Spin structure. (This is not the same as: an orientable and  $\text{Pin}^\pm$  manifold is spin: we're choosing structures.)
- (3) Find a manifold  $M$  which is not parallelizable, but with  $w(M) = 1$ .
- (4) Express  $w(M \times N)$  in terms of  $w(M)$  and  $w(N)$ .
- (5) Show that if  $E$  is any vector bundle,  $E \oplus E$  is orientable. Can you make sense of this geometrically?
- (6) Show that a Stiefel-Whitney number defines a group homomorphism  $\Omega_n^O \rightarrow \mathbb{F}_2$ .
- (7) Show that if an  $n$ -manifold  $M$  embeds in  $\mathbb{R}^{n+1}$ , then  $w_j(M) = w_1(M)^j$ .

- (8) Consider the fiber bundle  $S^2 \rightarrow E \rightarrow S^1$  where we quotient  $S^2 \times [0, 1]$  by  $(x, 0) \sim (f(x), 1)$ , where  $f$  has degree  $-1$ . What are its Stiefel-Whitney classes? Is it orientable? If instead you use a degree-1 map, what's the total space?
- (9) Show there's no immersion  $\mathbb{R}P^{2^k} \hookrightarrow \mathbb{R}^{2^{k+1}-2}$  (hence Whitney's theorem is optimal).
- (10) Show a real vector bundle  $E$  is orientable iff  $\text{Det } E$  is trivial.

Additional exercises:

- (1) If  $E_1$  and  $E_2$  are vector bundles such that two of  $E_1, E_2$ , and  $E_1 \oplus E_2$  are spin, show that the third is also spin.
- (2) Find two  $\text{Pin}^+$  manifolds  $M$  and  $N$  such that  $M \times N$  is not  $\text{Pin}^+$ . Repeat for  $\text{Pin}^-$ . (This is ultimately the reason why the cobordism groups  $\Omega_*^{\text{pin}^+}$  and  $\Omega_*^{\text{pin}^-}$  aren't rings. As a spin structure determines a  $\text{Pin}^\pm$  structure, at least they're still modules over  $\Omega_*^{\text{Spin}}$ . Said another way,  $M\text{Pin}^+$  and  $M\text{Pin}^-$  aren't ring spectra, but they are module spectra over  $M\text{Spin}$ .)
- (3) Show that all Stiefel-Whitney numbers of  $M$  vanish iff the Stiefel-Whitney numbers of its stable normal bundle vanish.
- (4) Let  $y \in H^1(M; \mathbb{Z}/2)$  and  $N \hookrightarrow M$  be a Poincaré dual to  $y$ . Obtain a formula for the mod 2 Euler characteristic of  $N$  as  $\langle c, [M] \rangle$  for some  $c \in H^n(M; \mathbb{Z}/2)$ . Hint: feel free to assume that if  $L \rightarrow M$  is a line bundle and  $N \subset M$  is Poincaré dual to  $w_1(L)$ , then  $\nu_{N \hookrightarrow M} \cong L|_N$ .
- (5) Show that if  $n$  is an odd number and  $M$  is a closed,  $n$ -dimensional manifold then for  $0 \leq k \leq (d-1)/2$  and any  $y \in H^1(M; \mathbb{Z}/2)$ ,  $w_{n-2k}(M)y^{2k} = 0$ .
- (6) Show there is no vector bundle  $E \rightarrow \mathbb{R}P^\infty$  whose direct sum with the tautological bundle  $S$  is trivial.

### 3. STABLE COHOMOLOGY OPERATIONS AND THE WU FORMULA

Today, we're going to discuss Wu classes, which are also characteristic classes for real vector bundles in  $\mathbb{Z}/2$  cohomology. This means they're polynomials over the Stiefel-Whitney classes, but they way in which they arise is interesting and useful.

**3.1. Stable cohomology operations.** Wu classes arise through stable cohomology operations, which are a worthwhile digression.

**Definition 3.1.** A *cohomology operation* is a natural transformation of functors  $\theta : H^p(-; A) \rightarrow H^q(-; B)$ , meaning it commutes with pullback. If in addition it commutes with suspension,  $\theta$  is said to be *stable*.

**Example 3.2.**

- One simple example is the squaring map  $x \mapsto x^2$  in any degree and any coefficients. This is not stable.
- The *Pontrjagin square*  $\mathcal{P} : H^2(X; \mathbb{Z}/2) \rightarrow H^4(X; \mathbb{Z}/4)$  is a more interesting example, which is the squaring map, but using the fact that if  $x \in \mathbb{Z}$ , knowing  $x \bmod 2$  suffices to determine  $x^2 \bmod 4$ .
- Here's an explicit example of a stable operation. The short exact sequence

$$0 \longrightarrow \mathbb{Z} \xrightarrow{\cdot 2} \mathbb{Z} \longrightarrow \mathbb{Z}/2 \longrightarrow 0$$

induces a short exact sequence of cochain complexes

$$0 \longrightarrow C^*(M; \mathbb{Z}) \xrightarrow{\cdot 2} C^*(M; \mathbb{Z}) \longrightarrow C^*(M; \mathbb{Z}/2) \longrightarrow 0,$$

and hence a long exact sequence in cohomology:

$$\dots \longrightarrow H^n(M; \mathbb{Z}) \longrightarrow H^n(M; \mathbb{Z}) \longrightarrow H^n(M; \mathbb{Z}/2) \xrightarrow{\beta_0} H^{n+1}(M; \mathbb{Z}) \longrightarrow \dots$$

The connecting morphism  $\beta_0$  is called the *Bockstein homomorphism*.

If we instead started with the short exact sequence

$$0 \longrightarrow \mathbb{Z}/2 \longrightarrow \mathbb{Z}/4 \longrightarrow \mathbb{Z}/2 \longrightarrow 0,$$

we'd obtain a different Bockstein homomorphism  $\beta_4 : H^i(M; \mathbb{Z}/2) \rightarrow H^{i+1}(M; \mathbb{Z}/2)$ . Both of these are stable. ◀

Since Eilenberg-Mac Lane spaces represent cohomology, a cohomology operation of type  $H^p(-; A) \rightarrow H^q(-; B)$  is determined by a homotopy class of maps  $K(A, p) \rightarrow K(B, q)$ . That is, the abelian group of cohomology operations from  $H^p(-; A) \rightarrow H^q(-; B)$  is  $[K(A, p), K(B, q)] = H^q(K(A, p); B)$ . Calculating this is a complicated problem.

Stable cohomology operations admit an axiomatic description. It turns out that over  $\mathbb{Z}$ , all stable cohomology operations are either multiples of the identity, or come from stable cohomology operations over  $\mathbb{F}_p$ . We'll only need the case  $p = 2$  today, though.

**Definition 3.3.** The stable cohomology operations  $H^*(-; \mathbb{F}_2) \rightarrow H^*(-; \mathbb{F}_2)$  form a graded  $\mathbb{F}_2$ -algebra called the *Steenrod algebra*  $\mathcal{A}$ , which is generated by classes  $Sq^n \in \mathcal{A}_n$  for  $n \geq 0$ , called *Steenrod squares*, such that:

- $Sq^n : H^k(-; \mathbb{F}_2) \rightarrow H^{k+n}(-; \mathbb{F}_2)$  commutes with pullback and is a group homomorphism.
- $Sq^0 = \text{id}$ .
- $Sq^1 = \beta_4$ .
- Restricted to classes of degree  $n$ ,  $Sq^n$  is the map  $x \mapsto x^2$ .
- If  $n > |x|$ , then  $Sq^n x = 0$ .
- The *Cartan formula*

$$Sq^n(xy) = \sum_{i+j=n} Sq^i(x)Sq^j(y).$$

Equivalently, the total Steenrod square  $Sq := 1 + Sq^1 + Sq^2 + \dots$  is a ring homomorphism.

It's a theorem that these axioms uniquely determine  $\mathcal{A}$ , but actually constructing the Steenrod squares is involved.

As a consequence, the Steenrod squares satisfy the *Adem relations*

$$Sq^i Sq^j = \sum_{k=0}^{\lfloor i/2 \rfloor} \binom{j-k-1}{i-2k} Sq^{i+j-k} Sq^k.$$

Since we can apply any element of  $\mathcal{A}$  to any cohomology class,  $H^*(M; \mathbb{F}_2)$  is a module over  $\mathcal{A}$  for any  $M$ . Pullback maps are  $\mathcal{A}$ -module homomorphisms, as is the connecting morphism in a long exact sequence.

**Example 3.4.** Let's determine the  $\mathcal{A}$ -module structure on  $H^*(\mathbb{R}P^4; \mathbb{Z}/2) \cong \mathbb{Z}/2[a]/(a^5)$  with  $|a| = 1$ . We know  $Sq^0 a = a$  and  $Sq^1 a = a^2$ , and all higher Steenrod squares vanish. Now we can use the Cartan formula:

- $Sq(a^2) = Sq(a)Sq(a) = (a + a^2)^2 = a^2 + a^4$ . Hence  $Sq^1 a^2 = 0$ ,  $Sq^2 a^2 = a^4$ , and all others vanish.
- $Sq(a^3) = Sq(a)Sq(a^2) = (a + a^2)(a^2 + a^4) = a^3 + a^4$ , so  $Sq^1 a^3 = a^4$  and all others vanish.  $\blacktriangleleft$

**3.2. The Wu class and Wu formula.** We're going to use Poincaré duality to turn the Steenrod squares into characteristic classes. One formulation of Poincaré duality is that for any closed  $n$ -manifold  $M$ ,

$$H^k(M; \mathbb{Z}/2) \otimes H^{n-k}(M; \mathbb{Z}/2) \xrightarrow{\smile} H^n(M; \mathbb{Z}/2) \xrightarrow{\frown[M]} \mathbb{Z}/2$$

is a nondegenerate pairing. This is the adjoint to the usual Poincaré duality statement (an isomorphism between  $H^k$  and  $H_{n-k}$ ).

In particular,  $H^k(M; \mathbb{Z}/2) \cong (H^{n-k}(M; \mathbb{Z}/2))^*$ , so if we can produce linear functionals on  $H^{n-k}(M; \mathbb{Z}/2)$ , they will define cohomology classes for us. And  $Sq^k : H^{n-k}(M; \mathbb{Z}/2) \rightarrow H^n(M; \mathbb{Z}/2)$  is such a linear functional, so it's represented by some class  $v_k \in H^k(M; \mathbb{Z}/2)$ :  $v_k \smile x = Sq^k(x)$ . This class is called the  $k^{\text{th}}$  *Wu class* of  $M$ . Similarly, the *total Wu class* is  $v := 1 + v_1 + v_2 + \dots$ . The total Wu class satisfies

$$\langle v \smile x, [M] \rangle = \langle Sqx, [M] \rangle$$

for all  $x \in H^*(M; \mathbb{Z}/2)$ .

**Lemma 3.5.** *The Wu classes are natural, and hence are  $\mathbb{Z}/2$  characteristic classes of real vector bundles.*

By natural we mean the pullback of the total Wu class on  $M$  by  $f : N \rightarrow M$  is the total Wu class on  $N$ .

*Proof sketch.* The Stiefel-Whitney classes and Steenrod squares determine the Wu class, and both are natural.  $\square$

The Wu classes are something we haven't seen before: there's no vector bundle, just the manifold. So the theorem that every  $\mathbb{Z}/2$  characteristic class for real vector bundles is a polynomial in Stiefel-Whitney classes doesn't literally apply. But the Wu classes are still closely related to Stiefel-Whitney classes.

**Theorem 3.6 (Wu).**  $Sq(v) = w$ .

**Corollary 3.7.** *The Stiefel-Whitney classes of a manifold are homotopy invariants.*

**Corollary 3.8.** *Homotopy equivalent manifolds of the same dimension are unoriented cobordant.*

Here's another application of Theorem 3.6:

**Proposition 3.9** (Wu formula).

$$\text{Sq}^i w_k = \sum_{j=0}^i \binom{k+j-i-1}{j} w_{i-j} w_{k+j}.$$

**3.3. Some example applications.** The point of all this formalism is to be useful, so let's see some applications.

**Proposition 3.10.** *If  $M$  is a closed 2- or 3-manifold,  $w_1(M)^2 = w_2(M)$ .*

*Proof.* Here we use the fact that  $w = \text{Sq}(v)$ . Looking at the homogeneous terms,

$$\begin{aligned} w_1 &= \text{Sq}^1 v_0 + \text{Sq}^0 v_1 = v_1 \\ w_2 &= \text{Sq}^2 v_0 + \text{Sq}^1 v_1 + \text{Sq}^0 v_2 = v_1^2 + v_2 = w_1^2, \end{aligned}$$

because  $v_2 = 0$  on a 3-manifold. ⊠

**Corollary 3.11.** *Every orientable manifold of dimension at most 3 is spin.*

So the Wu classes force certain Stiefel-Whitney numbers to vanish. It's a theorem of Brown and Peterson that all such relationships between Stiefel-Whitney classes arise in this way.

**Proposition 3.12.** *Let  $M$  be an orientable 4-manifold. Then,  $M$  is spin iff all embedded surfaces have even intersection number.*

*Proof.* Since the intersection product is Poincaré dual to cup product, it suffices to show  $\langle a^2, [M] \rangle = 0$  for all  $a \in H^2(M; \mathbb{Z}/2)$  iff  $w_2(M) = 0$ .

Now we use the Wu formula.  $w_1$  is the degree-1 piece of  $\text{Sq}^v$ , so

$$w_1 = \text{Sq}^1 v_0 + \text{Sq}^0 v_1 = v_1,$$

and hence  $v_1 = 0$ . Next,

$$w_2 = \text{Sq}^2 v_0 + \text{Sq}^1 v_1 + \text{Sq}^0 v_2,$$

so  $w_2 = v_2$ . For any  $a \in H^2(M; \mathbb{Z}/2)$ ,

$$\langle a^2, [M] \rangle = \langle \text{Sq}^2 a, [M] \rangle = \langle v_2 a, [M] \rangle = \langle w_2 a, [M] \rangle.$$

Poincaré duality tells us the cup product pairing  $H^2(M; \mathbb{Z}/2) \otimes H^2(M; \mathbb{Z}/2) \rightarrow \mathbb{Z}/2$  is nondegenerate, so  $w_2 = 0$  iff  $\langle a^2, [M] \rangle = 0$  for all  $a$ , as desired. ⊠

The Wu classes tell you that you can get the Stiefel-Whitney classes directly out of the  $\mathcal{A}$ -module structure on  $H^*(M; \mathbb{Z}/2)$ , which can be useful if you don't have a good geometric description of your space.

**Example 3.13.** Just as one has real and complex projective spaces, one can define *quaternionic projective space*  $\mathbb{H}\mathbb{P}^n := \mathbb{H}^{n+1}/\mathbb{H}^\times$ , a  $4n$ -dimensional manifold which behaves quite a bit like  $\mathbb{R}\mathbb{P}^n$  and  $\mathbb{C}\mathbb{P}^n$ . For example,  $H^*(\mathbb{H}\mathbb{P}^n) \cong \mathbb{Z}[a]/(a^{n+1})$ , where  $|a| = 4$ . This fact completely determines the Stiefel-Whitney classes of  $\mathbb{H}\mathbb{P}^n$ .

For example, let  $n = 4$ . By degree reasons,  $\text{Sq}^4 a = a^2$  and no other Steenrod squares are nonzero, so  $\text{Sq}(a) = a + a^2$ . By the Cartan formula,  $\text{Sq}(a^k) = (\text{Sq}a)^k$  and so

$$\begin{aligned} \text{Sq}(a^2) &= (a + a^2)^2 = a^2 + a^4 \\ \text{Sq}(a^3) &= (a + a^2)(a^2 + a^4) = a^3 + a^4 + a^5 + a^6 = a^3 + a^4 \\ \text{Sq}(a^4) &= a^4. \end{aligned}$$

Often this is encoded in a diagram such as Figure 1.

The only possible nonzero Wu classes are  $v_0$ ,  $v_4$ , and  $v_8$ , and looking at the  $\mathcal{A}$ -action,  $v_4 = a$  and  $v_8 = a^2$ . Thus

$$\begin{aligned} w(\mathbb{H}\mathbb{P}^4) &= \text{Sq}(v) = \text{Sq}(1 + a + a^2) \\ &= 1 + (a + a^2) + (a^2 + a^4) \\ &= 1 + a + a^4, \end{aligned}$$

so  $w_4(\mathbb{H}\mathbb{P}^4) = a$ ,  $w_{16}(\mathbb{H}\mathbb{P}^4) = a^4$ , and all other Stiefel-Whitney classes are zero. ◀

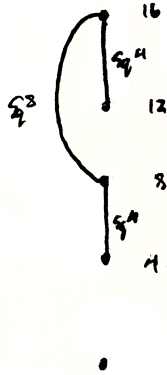


FIGURE 1. The  $\mathbb{Z}/2$ -cohomology of  $\mathbb{H}\mathbb{P}^4$ , as an  $\mathcal{A}$ -module.

**Example 3.14.** The *Wu manifold*  $W := \mathrm{SU}_3/\mathrm{SO}_3$  is a five-dimensional manifold. One can show that its mod 2 cohomology is  $H^*(W; \mathbb{Z}/2) \cong \mathbb{Z}/2[z_2, z_3]/(z_2^2, z_3^2)$ , and the  $\mathcal{A}$ -action is  $\mathrm{Sq}^1 z_2 = z_3$  and  $\mathrm{Sq}^2 z_3 = z_2^5$ . Hence  $v(W) = 1 + v_2$ , which determines the Stiefel-Whitney classes. Only  $w_2$  and  $w_3$  can be nonzero, by looking at cohomology. And indeed,

$$\begin{aligned} w_2(W) &= \mathrm{Sq}^2 v_0 + \mathrm{Sq}^1 v_1 + \mathrm{Sq}^0 v_2 = v_2 = z_2 \\ w_3(W) &= \mathrm{Sq}^3 v_0 + \mathrm{Sq}^2 v_1 + \mathrm{Sq}^1 v_2 + v_3 = \mathrm{Sq}^1 z_2 = z_3, \end{aligned}$$

so  $w(W) = 1 + z_2 + z_3$ .

This is noteworthy because it means the Stiefel-Whitney number  $w_{2,3} = \langle w_2(W)w_3(W), [W] \rangle = 1$ , and you'll show in the exercises that in dimension 5, all Stiefel-Whitney numbers are either 0 or equal to  $w_{2,3}$ . Thus,  $\Omega_5^0 \cong \mathbb{Z}/2$  with  $W$  as a generator, and you can check you don't get a generator from any 5-dimensional product of projective spaces.  $\blacktriangleleft$

### 3.4. The Bockstein and integral Stiefel-Whitney classes.

**Definition 3.15.** Let  $E \rightarrow M$  be a real vector bundle. The  $k^{\mathrm{th}}$  *integral Stiefel-Whitney class* of  $E$ , denoted  $W_n(E)$ , is  $\beta_0 w_{n-1}(E) \in H^n(M; \mathbb{Z})$ .

For every  $n$ , there's a Lie group  $\mathrm{Spin}^c_n$  which can be defined in a few ways: it's the quotient

$$\mathrm{Spin}^c_n := (\mathrm{Spin}_n \times \mathrm{U}_1)/\mathbb{Z}/2,$$

where  $\mathbb{Z}/2$  acts as  $-1$  on both components.

**Proposition 3.16.** A  $\mathrm{Spin}^c$ -structure on an oriented manifold is obstructed by the third integral Stiefel-Whitney class.

Using the Bockstein long exact sequence, this is the same thing as  $w_2$  being in the image of the reduction map  $H^2(M; \mathbb{Z}) \rightarrow H^2(M; \mathbb{Z}/2)$ . A choice of preimage of  $w_2$  determines a  $\mathrm{Spin}^c$  structure and is called its *first Chern class*.

**Proposition 3.17.** An almost complex structure determines a  $\mathrm{Spin}^c$  structure, and the first Chern classes agree.

Thus  $W_3$  is an obstruction to an almost complex structure. It's not the only obstruction; we'll find more in Proposition 4.4.

### 3.5. Exercises. Most important:

- (1) Which Wu classes vanish on a 5-manifold? What about an orientable 5-manifold?
- (2) Show that any orientable 4-manifold is  $\mathrm{Spin}^c$ .
- (3) Determine the action of the Steenrod algebra on  $H^*(\mathbb{R}\mathbb{P}^n; \mathbb{Z}/2)$ .

Also important, especially if you're interested:

- (1) Let  $M$  be a  $2n$ -dimensional manifold. Show that there exists an  $n$ -dimensional embedded submanifold  $Y$  such that for any other  $n$ -dimensional embedded submanifold  $N \subset M$ ,  $I_2(N, N) = I_2(Y, N)$ . (Here  $I_2$  denotes the mod 2 intersection number.)

- (2) Show that if  $M$  is a closed 4-manifold embedding in  $\mathbb{R}^6$ , then  $\chi(M)$  is even.
- (3) Show that if  $M$  is a closed, orientable manifold of dimension 6 or 10,  $\chi(M)$  is even.
- (4) Show that for any vector bundle  $E \rightarrow M$ , the smallest  $k \geq 1$  such that  $w_k(E) \neq 0$ , if one exists, is a power of 2.
- (5) Show that  $\beta_4 w_{2k+1}(E) = w_1(E)w_{2k+1}(E)$  and  $\beta_4 w_{2k}(E) = w_{2k+1}(E) + w_1(E)w_{2k}(E)$ . Hint: check this on the universal bundle  $EO_n \rightarrow BO_n$ .
- (6) Show that  $\Omega_2^{\text{Spin}^c}$  and  $\Omega_4^{\text{Spin}^c}$  are infinite, but that  $\Omega_3^{\text{Spin}^c} = 0$ . (In fact,  $\Omega_2^{\text{Spin}^c} \cong \mathbb{Z}$  and  $\Omega_4^{\text{Spin}^c} \cong \mathbb{Z}^2$ .)

Additional exercises:

- (1) Show that if  $M$  is an oriented manifold and  $H^*(M)$  contains no torsion, then  $M$  is  $\text{Spin}^c$ . Conclude that  $\mathbb{C}P^n$  is  $\text{Spin}^c$  for all  $n$ .
- (2) There's a group  $\text{Pin}^c_n = (\text{Pin}^+_n \times U_1)/\mathbb{Z}/2$  analogous to the definition of  $\text{Spin}^c$ . The obstruction to a  $\text{Pin}^c$ -structure on  $E \rightarrow M$  is exactly  $W_3(E)$ . Show that  $\mathbb{R}P^n$  is  $\text{Pin}^c$  iff it's  $\text{Pin}^+$  iff it's  $\text{Pin}^-$  (and hence,  $\mathbb{R}P^n$  is  $\text{Spin}^c$  iff it's spin).
- (3) Show that if  $M$  is a spin 5-manifold,  $w(M) = 1$ . If  $M$  is a  $\text{Pin}^-$  5-manifold, show that  $w(M) = 1 + w_1(M)$ .
- (4) Show that  $w_3(M) = 0$  for a closed 4-manifold  $M$ .
- (5) Generalize Proposition 3.12 to the unoriented setting.
- (6) Is every 4-manifold  $\text{Pin}^c$ ?
- (7) Is the product of two  $\text{Pin}^c$  manifolds necessarily  $\text{Pin}^c$ ?
- (8) Let  $x \in H^*(X; \mathbb{Z}/2)$ ,  $y \in H^*(X)$ , and  $z \in H_*(X)$ .
  - (a) Show that  $\beta_0(xy) = \beta_0(x)y$ .
  - (b) Show that  $\beta_0(x) \frown z = \beta_0(x \frown \rho_2(z))$ , where  $\rho_2$  denotes reduction mod 2.
- (9) A theorem of Hoekzema:<sup>4</sup> we'll show that if  $M$  is a closed manifold with  $w_i(M) = 0$  for  $i \leq 2^k$  and  $2^{k+1} \nmid \dim(M)$ , then  $\chi(M)$  is even.
  - (a) Reduce to  $\dim(M) = 2^{k+1}m + 2^k$ . Let  $n = \dim(M)/2$ .
  - (b) Show that  $v_i(M) = 0$  for  $i \leq 2^k$ .
  - (c) Use the Adem relations to decompose  $\text{Sq}^n$  in terms of Steenrod squares of degrees at most  $2^{k-1}$ .
  - (d) Conclude that  $\text{Sq}^n: H^n(M; \mathbb{Z}/2) \rightarrow H^{2n}(M; \mathbb{Z}/2) = 0$ .
  - (e) Use the Wu formula to show that  $w_{2n}(M) = \text{Sq}^n v_n(M)$ .
  - (f) Conclude that  $\chi(M) = 0$ .

#### 4. CHERN, PONTRJAGIN, AND EULER CLASSES

**4.1. Chern classes.** We've been here before. Let's quickly recall a definition, and then discuss some properties. Many are directly analogous to properties of Stiefel-Whitney classes, in a way that's strongly reminiscent of the passage from mod 2 intersection theory of unoriented submanifolds to integral intersection theory with orientations. This analogy is not a coincidence.

We've provided several definitions of Chern classes already. From a universal perspective,  $H^*(BU) \cong \mathbb{Z}[c_1, c_2, \dots]$ , with  $|c_k| = 2k$ , thus defining characteristic classes for complex vector bundles. Things like naturality, stability, and the Whitney sum formula follow.

If  $M$  is an almost complex manifold, its tangent bundle has the structure of a complex vector bundle. In this case we may define Chern numbers of  $M$  as usual. We can also do this if  $M$  is a *stably almost complex* manifold, meaning we've placed a complex structure on  $TM \oplus \underline{\mathbb{R}}^k$ ; this uses the fact that Chern classes are stable.

Here are some more properties of Chern classes. Some of these will be reminiscent of analogous properties for Stiefel-Whitney classes.

**Proposition 4.1.** *Let  $E \rightarrow M$  be a complex vector bundle.*

- (1)  $c_1(E) = c_1(\text{Det } E)$ .
- (2) If  $\bar{E}$  denotes the complex conjugate bundle, then  $\bar{E} \cong E^*$  and  $c_k(\bar{E}) = (-1)^k c_k(E)$ .
- (3) If  $M$  is a stably almost complex manifold, its top Chern number is equal to  $\chi(M)$ .
- (4) Under the reduction homomorphism  $H^*(M) \rightarrow H^*(M; \mathbb{Z}/2)$ ,  $c_n(E) \mapsto w_{2n}(E)$ , and  $w_{2n+1}(E) = 0$ .

Just as  $w_1$  classifies real line bundles,  $c_1$  classifies complex line bundles.

<sup>4</sup><https://arxiv.org/pdf/1704.06607.pdf>.

Though we can't define a cobordism ring of complex manifolds (what's a complex structure on an odd-dimensional manifold?), stably almost complex structures work fine. The stably almost complex cobordism ring is denoted  $\Omega_*^U$ .<sup>5</sup>

**Theorem 4.2** (Milnor, Novikov). *As graded rings,*

$$\Omega_*^U \cong \mathbb{Z}[x_1, x_2, \dots],$$

where  $|x_k| = 2k$ . Moreover, two stably almost complex manifolds are cobordant iff all of their Chern numbers agree.

In  $\Omega_*^U \otimes \mathbb{Q}$ , we can take  $\mathbb{C}\mathbb{P}^k$  as a generator of the degree- $2k$  piece, but over  $\mathbb{Z}$ , things are more complicated.

*Remark 4.3.* The identification of  $\Omega_*^U$  with the ring of formal group laws is a major organizing principle in stable homotopy theory, allowing one to define generalized cohomology theories that see a lot of the structure of stable homotopy theory. This is an active area of research known as the *chromatic program*. ◀

There isn't a single characteristic class which obstructs a stably almost complex structure. However, a stably almost complex structure is exactly what it means to have Chern classes, so we obtain a necessary condition.

**Proposition 4.4.** *If  $E \rightarrow M$  is a stably almost complex vector bundle,  $w_{2k+1}(E) = 0$  and  $W_{2k+1}(E) = 0$  for all  $k$ .*

That is, the odd-degree Stiefel-Whitney classes are zero and the even-degree ones are reductions of integral classes (namely, Chern classes of the tangent bundle).

**4.2. Pontrjagin classes.** We'll leverage the Chern classes to define integral cohomology classes for real vector bundles. At this point you broadly know how the story goes.

**Definition 4.5.** Let  $E \rightarrow M$  be a real vector bundle. Then,  $E_{\mathbb{C}} := E \otimes \underline{\mathbb{C}}$  is a complex vector bundle, which we call the *complexification* of  $E$ .

Note that complexification doubles the rank.

**Definition 4.6.** Let  $E \rightarrow M$  be a real vector bundle. Then, its  $k^{\text{th}}$  Pontrjagin class is  $p_k(E) := (-1)^k c_{2k}(E_{\mathbb{C}}) \in H^{4k}(M)$ . The *total Pontrjagin class* is  $p(E) := 1 + p_1(E) + \dots$ . As usual,  $p_i(M) := p_i(TM)$ , and  $p(M) := p(TM)$ .

*Remark 4.7.* Not everyone uses the same sign convention when defining Pontrjagin classes. ◀

The Pontrjagin classes satisfy most of the usual axioms; in particular, they are stable. However, they do *not* follow the Whitney sum formula! Thankfully, the difference  $p(E \oplus F) - p(E)p(F)$  is 2-torsion, so if you work over  $\mathbb{Q}$  (or even  $\mathbb{Z}[1/2]$ ) Pontrjagin classes satisfy the Whitney sum formula.

Pontrjagin numbers are used to classify oriented cobordism. The answer is not as clean as for unoriented cobordism

**Theorem 4.8** (Thom, Wall).

- (1) *All torsion in  $\Omega_*^{\text{SO}}$  is 2-torsion.*
- (2) *As graded rings,*

$$\Omega_*^{\text{SO}} \otimes \mathbb{Q} \cong \mathbb{Q}[x_1, x_2, \dots],$$

where  $|x_k| = 4k$ , and  $x_k = [\mathbb{C}\mathbb{P}^{2k}]$ .

- (3) *Two oriented  $n$ -manifolds are oriented cobordant iff their Pontrjagin and Stiefel-Whitney numbers agree.*

*Remark 4.9.* Ultimately because  $\text{Spin}_n \rightarrow \text{SO}_n$  is a double cover, the forgetful map  $\Omega_*^{\text{Spin}} \rightarrow \Omega_*^{\text{SO}}$  is an isomorphism after tensoring with  $\mathbb{Z}[1/2]$ . In particular,  $\Omega_*^{\text{Spin}} \otimes \mathbb{Q} \cong \mathbb{Q}[\tilde{x}_1, \tilde{x}_2, \dots]$  with  $|\tilde{x}_k| = 4k$ . However, we can't take  $\mathbb{C}\mathbb{P}^{2k}$  to be generators anymore.

To get characteristic numbers that characterize spin cobordism, one has to define characteristic classes for real  $K$ -theory, a generalized cohomology theory. ◀

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<sup>5</sup>There's a similar issue with defining a cobordism ring of symplectic manifolds, and what one obtains is stably almost symplectic cobordism.

**4.3. The Euler class.** The Euler class is an unstable characteristic class for oriented vector bundles, arising because the map  $H^*(BO_n) \rightarrow H^*(BSO_n)$  induced by the inclusion  $SO_n \hookrightarrow O_n$  is not surjective. Throughout this section,  $E \rightarrow M$  is an oriented real vector bundle of rank  $k$ .

**Definition 4.10.** The Euler class of  $E$ ,  $e(E) \in H^k(M)$ , is the Poincaré dual to the zero locus of a generic section of  $E$ .<sup>6</sup>

That is, choose a section  $s \in \Gamma(E)$  that's transverse to the zero section, and let  $N = s^{-1}(0)$ , which is a codimension- $k$  submanifold of  $M$ . Then,  $e(E)$  is Poincaré dual to the class  $N$  represents in  $H_{n-k}(M)$ .

**Proposition 4.11.**

- (1) *The Euler class is natural.*
- (2) *The Euler class satisfies the Whitney sum formula:  $e(E_1 \oplus E_2) = e(E_1)e(E_2)$ .*
- (3) *If  $E$  possesses a nonvanishing section,  $e(E) = 0$ .*
- (4) *If  $E^{\text{op}}$  denotes  $E$  with the opposite orientation, then  $e(E^{\text{op}}) = -e(E)$ .*
- (5) *If  $k$  is odd,  $e(E)$  is 2-torsion.*

Most of these follow directly from the definition.

**Proposition 4.12** (Relationship with other characteristic classes).

- (1) *Reduction mod 2  $H^k(M) \rightarrow H^k(M; \mathbb{Z}/2)$  carries  $e(E) \rightarrow w_k(E)$ .*
- (2) *If  $F \rightarrow M$  is a complex vector bundle of rank  $2k$ ,  $e(F) = c_k(F)$ .*
- (3)  *$e(E)^2 = c(E_{\mathbb{C}})$ . Hence if  $k$  is even,  $e(E)^2 = p_{k/2}(E)$ .*

The characteristic number associated to the Euler class is familiar.

**Proposition 4.13.** For any oriented manifold  $M$ ,  $\langle e(M), [M] \rangle = \chi(M)$ , its Euler characteristic.

Sometimes, people define the Euler class for *sphere bundles*, i.e. fiber bundles whose fibers are spheres. This definition is equivalent to ours: given a sphere bundle  $S^k \rightarrow E \rightarrow M$ , we can create a vector bundle  $V(E) \rightarrow M$  whose unit sphere bundle is  $E$ . The Euler class of  $E$  is defined to be that of  $V(E)$ .

Sphere bundles are good examples to play with: you can build them out of manifolds you already understand, but they may twist in interesting ways. Moreover, there are tools for computing with them.

**Definition 4.14.** Let  $A$  be an abelian group and  $\pi: E \rightarrow M$  be a fiber bundle, where  $M$  is  $n$ -dimensional and the fiber is  $k$ -dimensional, and (if  $A \neq \mathbb{Z}/2$ ) assume that both  $E$  and  $M$  are oriented. For each  $j$ , there's a sequence of maps

$$H^{k+j}(E; A) \xrightarrow{\text{PD}} H_{n-j}(E; A) \xrightarrow{\pi_*} H_{n-j}(M; A) \xrightarrow{\text{PD}} H^j(M; A),$$

where the first and third arrows are Poincaré duality. The composition of these maps is called the *Gysin map*  $\pi_!: H^{k+j}(E; A) \rightarrow H^j(M; A)$ .

The Gysin map goes by a variety of colorful names, including the *wrong-way map*, the *umkehr map*, the *shriek map*, the *pushforward map*, and the *surprise map*. Indeed, it's surprising: we have a covariant map in cohomology!

*Remark 4.15.* For intuition, you can look to de Rham cohomology, where the Gysin map is integration on the fiber. That is, since  $E$  is locally  $S^k \times U$ , we can integrate a differential  $(j+k)$ -form over  $S^k$  to obtain a  $j$ -form on  $U$ . This is precisely the Gysin map.  $\blacktriangleleft$

**Theorem 4.16** (Gysin long exact sequence). *Let  $A$  be an abelian group and  $\pi: E \rightarrow M$  be a sphere bundle with fiber  $S^k$ . Assume (unless  $A = \mathbb{Z}/2$ ) that the fibers of  $E \rightarrow M$  are consistently oriented. Then, there is a long exact sequence*

$$\dots \longrightarrow H^m(E; A) \xrightarrow{\pi_!} H^{m-k}(M; A) \xrightarrow{\cdot e(E)} H^{m+1}(M; A) \xrightarrow{\pi^*} H^{m+1}(E; A) \longrightarrow \dots$$

That is, Gysin map, cup with the Euler class, pullback.

*Remark 4.17.* The Gysin long exact sequence is a special case of the Serre spectral sequence, and may be proven in that way.  $\blacktriangleleft$

<sup>6</sup>If  $k > \dim M$ , this does not make sense, but then  $H^k(M) = 0$  anyways, so we let  $e(E) = 0$ .



**4.4. The splitting principle.** We discuss the general splitting principle for principal bundles for compact Lie groups; this was first done by Borel and Hirzebruch, though we follow May's exposition. Throughout this section, unless otherwise specified,  $G$  is a compact, connected Lie group.

Recall that a compact, connected, abelian Lie group is isomorphic to  $\mathbb{T}^n$  for some  $n$ .

**Definition 4.18.** A *torus* in  $G$  is a compact, connected, abelian Lie subgroup. A *maximal torus*  $T$  is maximal with respect to inclusion, i.e. if  $T' \supseteq T$ , then  $T' = T$ .

**Proposition 4.19.** *Maximal tori exist for  $G$ . Any two maximal tori are conjugate.*

A maximal torus is a choice, but not a very strong one. So we choose such a maximal torus  $T$ , and let  $n$  denote its *rank* (i.e.  $T \cong \mathbb{T}^n$ ).

The inclusion  $i: T \hookrightarrow G$  defines a map  $Bi: BT \rightarrow BG$ ; concretely,  $BG := EG/G$  and  $BT := EG/T$  (since  $EG$  is a contractible space with a free  $T$ -action, so it's also an  $ET$ ), so  $Bi$  is a fiber bundle with fiber  $G/T$ .

Let  $P \rightarrow X$  be a principal  $G$ -bundle, where  $X$  is path-connected, and let  $f_P: X \rightarrow BG$  denote the classifying map. Let  $q: Y \rightarrow X$  denote the pullback of  $Bi$ , so  $q$  is also a fiber bundle with fiber  $G/T$ . We hence have a commutative diagram

$$\begin{array}{ccc} Y & \xrightarrow{g} & BT \\ \downarrow q & & \downarrow Bi \\ X & \xrightarrow{f_P} & BG. \end{array}$$

**Theorem 4.20** (Generalized splitting principle).

- *There is a canonical reduction of the structure group of  $q^*P \rightarrow Y$  to  $T$ .*
- *The map  $q^*: H^*(X; \mathbb{Q}) \rightarrow H^*(Y; \mathbb{Q})$  is an inclusion.*

Why do we care? If  $c \in H^*(BG; \mathbb{Q})$  is a characteristic class for principal  $G$ -bundles, then it defines a characteristic class for principal  $T$ -bundles via  $Bi$ . Since  $f_P \circ q = Bi \circ g$ , so if  $Q \rightarrow Y$  denotes the reduction of the structure group to  $T$ , then  $c(Q) = q^*c(P)$ , and since  $q^*$  is injective, then  $c(Q)$  determines  $c(P) \in H^*(X; \mathbb{Q})$ .

An isomorphism  $T \cong \mathbb{T}^n$  determines a decomposition of  $Q$  as a product (in a suitable sense) of  $n$  principal  $\mathbb{T}$ -bundles, hence  $c(Q)$  as a product

$$(4.21) \quad \prod_{i=1}^n (1 + x_i),$$

where the  $x_i \in H^2(Y; \mathbb{Q})$  are called *roots* of  $P$ . So if you want to prove a fact about characteristic classes, it often suffices to check on principal  $\mathbb{T}$ -bundles and see what happens when you take products.

*Proof sketch of Theorem 4.20.* The first part follows from the commutativity of the pullback diagram. There's a reduction of structure group from  $(Bi)^*EG \xrightarrow{G} BT$  to  $ET \xrightarrow{T} BT$  (in fact, this is universal), and hence a reduction of structure group from  $g^*(Bi)^*EG \xrightarrow{G} Y$  to  $g^*ET \xrightarrow{T} Y$ . By commutativity of the diagram,  $q^*P \cong g^*(Bi)^*EG$  in  $\text{Bun}_G(Y)$ , so  $q^*P$  also has this reduction.

The second part of the proof relies on computations of the cohomology of  $BG$  and  $G/T$  by Borel. First, since  $H^*(BG; \mathbb{Q})$  is a polynomial on even-degree generators, then the Serre spectral sequence for the fibration  $G/T \rightarrow BT \rightarrow BG$  with  $\mathbb{Q}$  coefficients collapses, so as  $H^*(BG; \mathbb{Q})$ -modules,

$$(4.22) \quad H^*(BT; \mathbb{Q}) \cong H^*(BG; \mathbb{Q}) \otimes H^*(G/T; \mathbb{Q}),$$

where  $H^*(BG; \mathbb{Q})$  acts on  $H^*(BT; \mathbb{Q})$  through  $(Bi)^*$ .

Since  $G/T \rightarrow Y \rightarrow X$  is the pullback of  $G/T \rightarrow BT \rightarrow BG$ , there's an induced map of Serre spectral sequences, so the Serre spectral sequence for this fibration collapses, and

$$(4.23) \quad H^*(Y; \mathbb{Q}) \cong H^*(X; \mathbb{Q}) \otimes H^*(G/T; \mathbb{Q}).$$

Moreover, using the edge homomorphism, one can show that  $q^*: H^*(Y; \mathbb{Q}) \rightarrow H^*(X; \mathbb{Q})$  is the map induced by  $x \mapsto x \otimes 1$ .  $\square$

*Remark 4.24.* The statement of Theorem 4.20 can be strengthened if you understand the cohomology of  $BG$  better — in fact, you can replace  $\mathbb{Q}$  with any ring  $R$  such that if  $H_*(G; \mathbb{Z})$  has  $p$ -torsion, then  $p^{-1} \in R$ .  $\blacktriangleleft$

**Example 4.25.** Let  $G = U_n$ , so the diagonal matrices form a maximal torus of rank  $n$ . Passing to the bundle of unitary frames, we can apply the splitting principle to complex vector bundles, and conclude that after pulling back to  $Y$ , a complex vector bundle  $E \rightarrow X$  factors as a direct sum of line bundles  $L_1, \dots, L_n$  with Chern roots  $x_1, \dots, x_n$ . Then  $c_k(E)$  is the  $k^{\text{th}}$  symmetric polynomial in these roots.

In this case,  $Y \rightarrow X$  has another, more concrete description.

**Definition 4.26.** Let  $V$  be a finite-dimensional complex Hilbert space. The *flag manifold*  $Fl(V)$  is the manifold whose points are orthogonal decompositions of  $V$  as a direct sum of one-dimensional subspaces.

The diffeomorphism class of the flag manifold does not depend on the choice of Hermitian metric.

Then,  $Y \rightarrow X$  is the *flag bundle*  $p: Fl(E) \rightarrow M$ , the fiber bundle whose fiber at an  $x \in M$  is  $Fl(E_x)$ . The total space is also called the *flag manifold*.

In this case, since  $H^*(BU_n)$  is free, we can work over  $\mathbb{Z}$ . ◀

**Example 4.27.** For  $G = SO_{2n}$ ,  $H^*(BSO_{2n}; \mathbb{Q})$  is the polynomial algebra on the Pontrjagin classes and the Euler class  $e$ , with  $e^2 = p_n$ . The maximal torus  $\mathbb{T}^n$  sits as the diagonal matrices in  $U_n \subset SO_{2n}$  (realizing a complex  $n$ -dimensional vector space as an oriented real  $2n$ -dimensional vector space). In this case, the generalized splitting principle implies that if  $E$  is an oriented real rank- $2n$  vector bundle, then  $q^*E$  splits as a sum of (realifications of) complex line bundles  $L_1, \dots, L_n$ , and

$$(4.28) \quad p_i(q^*E) = \sigma_i^2(c_1(L_1), \dots, c_1(L_n)).$$

The idea is that the Pontrjagin classes of  $E$  are the Chern classes of  $E_{\mathbb{C}}$ , and the Chern roots of  $E_{\mathbb{C}}$  come in pairs  $\pm x_1, \dots, \pm x_n$ , which is why we get  $\sigma_i^2$ .

In a similar way, the Euler class splits as

$$(4.29) \quad e(q^*E) = \sigma_n(c_1(L_1), \dots, c_1(L_n)).$$
◀

**Example 4.30.** For  $G = SO_{2n+1}$ ,  $H^*(BSO_{2n+1}; \mathbb{Q})$  is the polynomial algebra on the Pontrjagin classes. The maximal torus  $\mathbb{T}^n$  sits as the diagonal matrices in  $U_n \subset SO_{2n+1}$  (realizing a complex  $n$ -dimensional vector space as an oriented real  $2n$ -dimensional vector space, plus the last coordinate). In this case, the generalized splitting principle implies that if  $E$  is an oriented real rank  $(2n + 1)$  vector bundle, then  $q^*E$  splits as a sum of (realifications of) complex line bundles  $L_1, \dots, L_n$  and a trivial real line bundle, and its Pontrjagin classes split as in (4.28). ◀

**Example 4.31.** Since  $O_n$  isn't connected, this doesn't quite work for it. But enough of the structure persists with  $\mathbb{F}_2$  coefficients, using the subgroup  $O_1^n$ ; the spectral sequence arguments of Theorem 4.20 work with  $\mathbb{F}_2$  coefficients, and in particular we can conclude that  $q^*$  is an injection on mod 2 cohomology and there's a canonical reduction to a principal  $O_1^n$ -bundle. This implies that over  $Y$ , a real vector bundle  $E$  splits as a sum of  $n$  real line bundles  $L_1, \dots, L_n$ , and

$$(4.32) \quad w_k(E) = \sigma_i(w_1(L_1), \dots, w_1(L_n)).$$
◀

#### 4.5. Exercises. Most important:

- (1) Show that  $T\mathbb{C}P^n$  is not isomorphic to its complex conjugate.
- (2) Show that  $\mathbb{C}P^4$  cannot be embedded in  $\mathbb{R}^{11}$ .
- (3) Let  $M$  be a manifold with an orientation-reversing diffeomorphism. Show that  $[M] \in \Omega_*^{\text{SO}}$  is torsion. (Hint: this diffeomorphism sends  $[M] \mapsto -[M]$ . How does it affect the Pontrjagin classes? Alternatively, by a direct argument, you could find a manifold bounding  $M \amalg M$ , showing  $[M]$  is 2-torsion.)
- (4) Show that if  $E \subset TS^{2n}$ ,  $E$  is either trivial or all of  $TS^{2n}$ .
- (5) The Euler class of a complex vector bundle is equal to its top Chern class, but the Euler class is unstable and Chern classes are stable. How can this be?
- (6) Prove Proposition 4.13. Hint: use the definition of the Euler characteristic as the sum of local indices of a vector field.

Also important, especially if you're interested:

- (1) Why is  $p(S^n) = 1$ ?
- (2) In contrast to Chern, Pontrjagin, and Stiefel-Whitney numbers, there are manifolds with nonzero Euler characteristic that bound. What's an example?

- (3) Exhibit two manifolds cobordant as unoriented manifolds, but not oriented manifolds.
- (4) Show that  $\Omega_5^{\text{SO}} \cong \mathbb{Z}/2$ , and the Wu manifold is a generator. This is the lowest-degree torsion in  $\Omega_*^{\text{SO}}$ .
- (5) Show that the mod 2 reduction of  $p_k(E)$  is  $w_{2k}(E)^2$ .
- (6) Show that odd Chern classes are 2-torsion.
- (7) Let  $N \subset M$  be an embedded submanifold with normal bundle  $\nu$ . Show that  $\langle [N], e(\nu) \rangle = I_2(N, N)$  (i.e. the mod 2 intersection number).
- (8) Complexification of line bundles commutes with tensor product, hence defines a group homomorphism  $H^1(X; \mathbb{Z}/2) \rightarrow H^2(X)$  for any space  $X$ .
  - (a) Show this is a cohomology operation.
  - (b) Show this is the Bockstein homomorphism  $\beta_0$ . Hence, if  $E \rightarrow M$  is a real line bundle,  $c_1(E \otimes \mathbb{C}) = \beta_0 w_1(E)$ .
  - (c) Using the splitting principle, show that if  $E \rightarrow M$  is a real vector bundle,  $c_1(E \otimes \mathbb{C}) = \beta_0 w_1(E)$ .
- (9) Let  $E, E' \rightarrow M$  be complex line bundles. Show  $E \cong E'$  iff  $c_1(E) = c_1(E')$  iff  $c(E) = c(E')$ .
- (10) Show that if  $E$  is an oriented real vector bundle, the tensor product of its Stiefel-Whitney roots is trivial. Hint: use the way the determinant interacts with  $\oplus$ .
- (11) Prove the claims made in Example 4.30 using the generalized splitting principle.

Additional exercises:

- (1) For which  $n$  is  $\mathbb{C}\mathbb{P}^n$  spin?
- (2) Let  $u \in H^4(\mathbb{H}\mathbb{P}^n)$  be the generator. Show that  $p(\mathbb{H}\mathbb{P}^n) = (1 + u)^{2n+2}/(1 + 4u)$ .
- (3) Complexification turns a real vector bundle into a complex vector bundle. Hence it turns a principal  $O_n$ -bundle into a principal  $U_n$ -bundle. Describe this process.
- (4) Let  $E \rightarrow M$  be an oriented  $(2k + 1)$ -dimensional vector bundle. Show that  $e(E) = \beta_0 w_{2k}(E)$ .
- (5) Prove part (3) of Proposition 4.12.
- (6) Give an example of
  - (a) an even-dimensional stably almost complex manifold which is not almost complex, and
  - (b) an odd-dimensional stably almost complex manifold.

## 5. CHARACTERISTIC CLASSES IN GENERALIZED COHOMOLOGY

Today, we're going to discuss some characteristic classes in generalized cohomology theories. This material is not nearly as standard as what we've done over the last few days.

**5.1. What are generalized cohomology theories?** Over the past half century, algebraic topologists have investigated constructions which behave like homology or cohomology, but are slightly different: they satisfy all of the Eilenberg-Steenrod axioms except for the dimension axiom.

**Definition 5.1.** A *generalized cohomology theory* (also *extraordinary cohomology theory*) is a collection of functors  $h^n: \mathcal{T}op_* \rightarrow \mathcal{A}b$  such that:

- Given a map  $f: A \rightarrow X$ , let  $X/A$  denote its cofiber. There is a natural transformation  $\delta: h^n(X/A) \rightarrow h^{n+1}(A)$  such that the following sequence is long exact:

$$\dots \longrightarrow h^n(A) \xrightarrow{h^n(f)} h^n(X) \longrightarrow h^n(X/A) \xrightarrow{\delta} h^{n+1}(A) \longrightarrow \dots$$

- $h^n$  takes wedge sums to direct sums: if  $X = \bigvee_i X_i$ , then the natural map

$$\bigoplus h^n(X_i) \longrightarrow h^n(X)$$

is an isomorphism.

The dual notion of a *generalized homology theory* is the same, except the differentials go in the other direction. This defines a reduced homology theory, i.e. one for spaces with basepoints.

**Example 5.2** (*K-theory*). Let  $X$  be a compact Hausdorff space. Then, the set of isomorphism classes of complex vector bundles on  $X$  is a semiring, so we can take its group completion and obtain a ring  $K^0(X)$ .

The following theorem is foundational and beautiful.

**Theorem 5.3** (Bott periodicity).  $K^0(\Sigma^2 X) \cong K^0(X)$ .

This allows us to promote  $K^*$  into a 2-periodic generalized cohomology theory  $K^*$ , called *complex K-theory*, by setting  $K^{2n}(X) = K^0(X)$  and  $K^{2n+1}(X) = K^0(\Sigma X)$ .<sup>7</sup>

Like cohomology,  $K$ -theory is *multiplicative*, i.e. it spits out  $\mathbb{Z}$ -graded rings. However,  $K^i(X)$  is often nonzero for negative  $i$ .

$K$ -theory admits a few variants.

- If you use real vector bundles instead of complex vector bundles, everything still works, but Bott periodicity is 8-fold periodic. Thus we obtain a periodic, multiplicative cohomology theory called *real K-theory*, denoted  $KO^*(X)$ . Its value on a point is encoded in the *Bott song*.
- Sometimes it will be simpler to consider a smaller variant where we only keep the negative-degree elements. This is called *connective K-theory*, and is denoted  $ku^*$  (for complex  $K$ -theory) or  $ko^*$  (for real  $K$ -theory). These are also multiplicative. ◀

**Example 5.4** (Bordism). Let  $X$  be a space and define  $\Omega_n^O(X)$  to be the set of equivalence classes of maps of  $n$ -manifolds  $M \rightarrow X$ , where  $[f_0: M \rightarrow X] \sim [f_1: N \rightarrow X]$  if there's a cobordism  $Y: M \rightarrow N$  and a map  $F: Y \rightarrow X$  extending  $f_0$  and  $f_1$ . This is an abelian group under disjoint union, and the collection  $\{\Omega_n^O\}$  defines a generalized homology theory called *unoriented bordism*.<sup>8</sup>

There's a lot of variations, based on whatever flavors of manifolds you consider. Using oriented manifolds produces *oriented bordism*  $\Omega_*^{SO}$ , spin manifolds produce *spin bordism*  $\Omega_*^{Spin}$ , and so forth. These are not direct sums of ordinary cohomology theories in general. ◀

The bordism rings we saw earlier this week are the case when  $X = \text{pt}$ .

**5.2. Generalized orientations and the generalized Euler class.** There's a lot to say about generalized orientation theory. The idea is that if you have a multiplicative cohomology theory  $E$  and an  $n$ -manifold  $M$  which is " $E$ -oriented," many of the properties of integer cohomology in the presence of a (usual) orientation carry over, including the presence of a fundamental class  $[M] \in E_n(M)$ , Poincaré duality, and a pushforward map.

**Definition 5.5.** Let  $E \rightarrow X$  be a vector bundle. Its *Thom space*  $\tau(E) := D(E)/S(E)$ , i.e. the unit disc bundle in  $E$  modulo the unit sphere bundle. The map to  $X$  induces a map  $p: \tau(E) \rightarrow X$ .

This definition requires a choice of a metric, but the homeomorphism type is independent of that choice.

**Theorem 5.6** (Thom isomorphism theorem). *Let  $E \rightarrow X$  be a vector bundle of rank  $k$ .*

- (1) *There is a Thom class  $U \in H^k(\tau(E); \mathbb{Z}/2)$ , and the map  $a \mapsto p^*(a) \smile U: H^*(X; \mathbb{Z}/2) \rightarrow H^{*+k}(\tau(E); \mathbb{Z}/2)$  is an isomorphism.*
- (2) *An orientation determines a Thom class  $U \in H^k(\tau(E))$ , and the map  $a \mapsto p^*(a) \smile U$  is an isomorphism in integral cohomology. Conversely, a Thom class determines an orientation.*

Therefore we make the following definition.

**Definition 5.7.** Let  $R$  be a multiplicative cohomology theory. Then an  $R$ -orientation of a rank- $k$  vector bundle  $E \rightarrow X$  is a choice of a Thom class  $U \in R^k(\tau(E))$  implementing a Thom isomorphism.

There are a few fundamental examples.

**Example 5.8.** The somewhat trivial examples: Theorem 5.6 implies that every vector bundle has a unique  $H\mathbb{F}_2$ -orientation, and that an  $H\mathbb{Z}$ -orientation is the same thing as an orientation in the usual sense. ◀

**Example 5.9.** Atiyah-Bott-Shapiro constructed an orientation of  $KO$ -theory given a spin structure, and of  $K$ -theory given a  $\text{Spin}^c$  structure. In particular, complex vector bundles have a canonical  $K$ -theory orientation. This also applies to connective  $ko$  and  $ku$ . ◀

**Definition 5.10.** Let  $E$  be an  $R$ -oriented vector bundle. Then its  $R$ -theory Euler class is the pullback of the Thom class by the zero section.

In particular, if  $E$  has a nonvanishing section, its  $R$ -theory Euler class vanishes.

Orientation theory for complex vector bundles is a rich theory. We'll say something about just the basics.

Let  $i: \mathbb{C}P^1 \rightarrow BU_1 = \mathbb{C}P^\infty$  be a map classifying the tautological bundle. Then, for any generalized cohomology theory  $h$ ,  $h^*(S^2) \cong \pi_0 h$  by the suspension isomorphism, so have a map  $i^*: h^*(BU_1) \rightarrow \pi_0 h$ .

<sup>7</sup>Extending from compact Hausdorff spaces to all of  $\mathcal{T}op$  is possible, but then one loses the vector-bundle-theoretic description.

<sup>8</sup>The corresponding cohomology theory is called *cobordism*.

**Theorem 5.11.** A complex orientation of a multiplicative cohomology theory  $R$  is equivalent data to a choice of a  $c_1^R \in \widetilde{R}^2(BU_1)$  such that  $i^*c_1^R = 1 \in \pi_0 R$ .

In particular, this defines a *first (generalized) Chern class* for complex line bundles in  $R$ -cohomology.

**Example 5.12.**

- In ordinary cohomology, we have the usual first Chern class; the nontriviality condition is encoding that the first Chern class of the tautological bundle  $S \rightarrow \mathbb{C}P^1$  is the usual generator of  $H^2(\mathbb{C}P^1) \cong \mathbb{Z}$ .
- Complex  $K$ -theory has a complex orientation defined by the class of the tautological line bundle  $EU_1 \times_{U_1} \mathbb{C} \rightarrow BU_1$  in  $K^0(BU_1) = K^2(BU_1)$ . ◀

However, this Chern class does *not* follow the usual Whitney sum formula. In many cases, the way in which it fails to do so uniquely determines  $R$ .

It turns out that the splitting principle holds for complex-oriented cohomology theories, and therefore one can define higher Chern classes, called *Conner-Floyd-Chern classes*  $c_k^R$ : if  $E = L_1 \oplus \cdots \oplus L_n$ , then  $c_k^R(E) = \sigma_i(c_1^R(L_1), \dots, c_1^R(L_n))$ , and by the splitting principle this suffices.

**Proposition 5.13.** For each  $n$ , there's an isomorphism  $R^*(BU_n) \cong R^*[[c_1^R, \dots, c_n^R]]$ .

**5.3.  $KO$ -characteristic classes.** In this section, we'll discuss two kinds of characteristic classes in real  $K$ -theory, with different applications.

First, we'll use  $KO$ -characteristic classes to attack embedding problems, in much the same way as one uses Stiefel-Whitney classes. This is due to Atiyah; we follow Dan Dugger's exposition. Sometimes they're less effective, and other times they're more effective. Given a real vector bundle  $E \rightarrow X$ , let

$$(5.14) \quad \lambda_t(E) := \sum_{i=0}^{\infty} t^i [\Lambda^i(E)] \in KO^0(X)[t].$$

Hence, if  $L$  is a line bundle,  $\lambda_t(L) = 1 + t[L]$ , and since  $\Lambda^*(E \oplus F) = \Lambda^*(E) \otimes \Lambda^*(F)$ , then

$$(5.15) \quad \lambda_t(E \oplus F) = \lambda_t(E)\lambda_t(F).$$

The reason one does this is that the exterior product operation isn't additive, but this is.

**Definition 5.16.** For an  $x \in \widetilde{KO}^0(X)$ , let  $\gamma_t(x) := \lambda_{t/(1-t)}(x)$ . If  $E \rightarrow X$  is a rank- $k$  vector bundle, its  $\tilde{\gamma}$ -class is

$$\tilde{\gamma}_t(E) := \gamma_t(E - \underline{\mathbb{R}}^k).$$

We'll let  $\tilde{\gamma}^i(E)$  denote the coefficient of  $t^i$  in  $\tilde{\gamma}_t(E)$ .

Here are some elementary properties of these classes:

**Proposition 5.17.**

- (1)  $\tilde{\gamma}_t(\underline{\mathbb{R}}^n) = 1$ .
- (2)  $\tilde{\gamma}_t(E \oplus \underline{\mathbb{R}}) = \tilde{\gamma}_t(E)$ .
- (3) *The Whitney sum formula:*  $\tilde{\gamma}_t(E \oplus F) = \tilde{\gamma}_t(E)\tilde{\gamma}_t(F)$ .
- (4) *If  $L$  is a line bundle, then  $\tilde{\gamma}_t(L) = 1 + t([L] - 1)$ , so  $\tilde{\gamma}^1(L) = [L] - 1$  and  $\tilde{\gamma}^k(L) = 0$  for  $k \geq 2$ .*
- (5) *If  $E$  is rank  $n$ , then  $\tilde{\gamma}^k(E) = 0$  for  $k > n$ .*

**Corollary 5.18.** Let  $M$  be a manifold which immerses as a codimension- $k$  submanifold of  $\mathbb{R}^N$ . Then  $\tilde{\gamma}^\ell(M) = 0$  for  $\ell > k$ .

*Proof.* In this setting, the normal bundle  $\nu$  is rank  $k$ , and  $TM \oplus \nu \cong i^*T\mathbb{R}^N = \underline{\mathbb{R}}^N$ . Therefore the Whitney sum formula implies  $\tilde{\gamma}_t(TM)\tilde{\gamma}_t(\nu) = 1$ , so  $\tilde{\gamma}_t(\nu) = \tilde{\gamma}_t(TM)^{-1}$ , and it vanishes above degree  $k$ . ◻

There's an analogous slightly stronger statement for embeddings.

In an exercise, you'll prove that  $\tilde{\gamma}_t(\mathbb{R}P^n) = (1 + t([S] - 1))^{n+1}$ , where  $S$  denotes the tautological bundle.

**Theorem 5.19 (Adams).** Let  $\varphi(n)$  denote the number of  $s \in \mathbb{N}$  with  $0 < s \leq n$  and  $s \equiv 0, 1, 2, 4 \pmod{8}$ .  $\widetilde{KO}^0(\mathbb{R}P^n) \cong \mathbb{Z}/2^{\varphi(n)}$ , and  $[L] - 1$  is a generator.

**Corollary 5.20 (Atiyah).** If  $\mathbb{R}P^n$  immerses into  $\mathbb{R}^{n+k}$ , then  $2^{\varphi(n)-j+1} \mid \binom{n+j}{j}$  for  $k < j \leq \varphi(n)$ .

*Proof.* Since  $\tilde{\gamma}_t(\mathbb{R}\mathbb{P}^n) = (1 + t([S] - 1))^{n+1}$ , then

$$(5.21) \quad \tilde{\gamma}_{(\mathbb{R}\mathbb{P}^n)^{-1}} = \sum_{j=0}^{\infty} (-1)^j \binom{n+j}{j} ([S] - 1)^j t^j.$$

If this is 0, then  $\binom{n+j}{j}([S] - 1)^j = 0$  for  $j > k$ , i.e.  $\binom{n+j}{j} \equiv 0 \pmod{2^{\varphi(n)}}$ . We can do a little better by checking that  $([S] - 1)^2 = 2[S] - 2$  in  $\widetilde{KO}^0(\mathbb{R}\mathbb{P}^n)$ , so  $([S] - 1)^j = (-2)^{j-1}([S] - 1)$ .  $\square$

And in particular, let  $\sigma(n)$  denote the largest  $j$  in  $[1, \varphi(n)]$  for which  $\binom{n+j}{j}$  doesn't divide  $2^{\varphi(n)-j+1}$  (or 0 if none exists). Then there is no immersion  $\mathbb{R}\mathbb{P}^n \hookrightarrow \mathbb{R}^{n+\sigma(n)-1}$ .

If you work all this out for  $\mathbb{R}\mathbb{P}^8$ , you get  $\sigma(8) = 4$ , so  $\mathbb{R}\mathbb{P}^8$  doesn't immerse in  $\mathbb{R}^{11}$ . This is better than what you can prove with Stiefel-Whitney classes, that  $\mathbb{R}\mathbb{P}^8$  doesn't immerse in  $\mathbb{R}^{14}$ .

The *KO-Pontrjagin classes* are characteristic classes for oriented vector bundles in *KO*-theory, defined using the generalized splitting principle.

**Lemma 5.22** (Atiyah-Hirzebruch). *Let  $T$  be the usual maximal torus for  $SO_n$ . Then the map  $Bi^* : K^0(BSO_n) \rightarrow K^0(BT)$  is injective.*

Hence to define a family of characteristic classes of principal  $SO_n$ -bundles, or of oriented vector bundles, it suffices to define them on complex line bundles.

**Definition 5.23** (Anderson-Brown-Peterson). *The *KO*-Pontrjagin classes are the unique characteristic classes  $\pi^j(E) \in KO^0(X)$  for oriented bundles  $E \rightarrow X$  in *KO*-theory satisfying:*

- If  $L$  is a complex line bundle,  $\pi^0(L) = 1$ ,  $\pi^1(L) = L - 2$ , and all other *KO*-Pontrjagin classes vanish.
- The Whitney sum formula: if  $\pi_s(E) := \sum_j \pi^j(E)s^j \in KO^0(X)[s]$ , then  $\pi_s(E \oplus F) = \pi_s(E)\pi_s(F)$ .

**Theorem 5.24** (Anderson-Brown-Peterson). *Two spin manifolds are spin cobordant iff they have the same Stiefel-Whitney numbers and *KO*-Pontrjagin numbers. Two manifolds with *SU*-structure are *SU*-cobordant iff they have the same Chern numbers and *KO*-Pontrjagin numbers.*

Some of these characteristic numbers have geometric meanings.

**Theorem 5.25** (Atiyah-Singer). *Let  $M$  be an  $(8k+1)$ - or  $(8k+2)$ -dimensional spin manifold; then  $\langle \pi^0(TM), [M] \rangle \in KO^{-1 \text{ or } 2}(\text{pt}) \cong \mathbb{Z}/2$  is equal to the mod 2 dimension of the space of harmonic spinors of  $M$ .*

What this means is: associated to  $M$  (and a Riemannian metric) is a spinor bundle  $S \rightarrow M$ ; sections of this bundle are called *spinors*. There is a Laplacian operator, so one may speak of harmonic spinors.

#### 5.4. Exercises.

- (1) Show that the Thom space of  $\underline{\mathbb{R}}^n \rightarrow X$  is  $\Sigma^n X$ .
- (2) Show that the Thom space of the tautological bundle on  $\mathbb{R}\mathbb{P}^n$  (resp.  $\mathbb{C}\mathbb{P}^n$ ) is  $\mathbb{R}\mathbb{P}^{n+1}$  (resp.  $\mathbb{C}\mathbb{P}^{n+1}$ ).
- (3) Show that in  $KO^0(\mathbb{R}\mathbb{P}^n)$ ,  $[T\mathbb{R}\mathbb{P}^n] + 1 = (n+1)[S]$ , where  $S$  denotes the tautological line bundle.
- (4) Using the previous exercise, conclude that  $\tilde{\gamma}_t(T\mathbb{R}\mathbb{P}^n) = (1 + t([S] - 1))^{n+1}$ .
- (5) Verify some of the properties in Proposition 5.17.