# A time-reversal anomaly, bordism, and index theory

Dan Freed

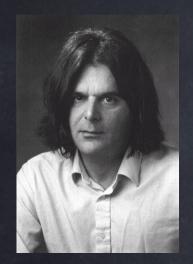
University of Texas at Austin

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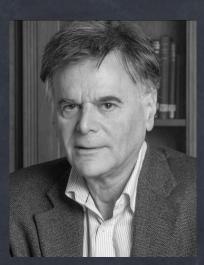
Joint work with Mike Hopkins arXiv:1908.09916

The ideas in this talk touch on many periods of Graeme's mathematics:

Topological K-Theory ---> Geometric Quantum Theory







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**Theorem B:** The following six  $\mathfrak{m}_c$ -manifolds generate the group  $\pi_{12}M\mathfrak{m}_c\otimes\mathbb{Z}_2$ :

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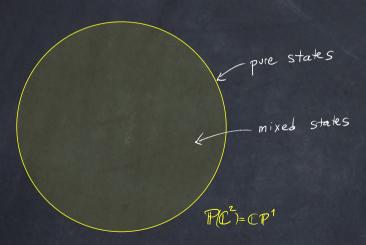
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So quantum geometry  $(\mathbb{PH}, p)$  is Fubini-Study geometry  $(\mathbb{PH}, d)$  of projective space

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A beautiful paper of Graeme tells what cohomology is appropriate for Lie groups G

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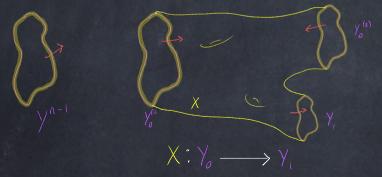
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 $\mathcal{F}$  can be a *collection* of fields;  $\mathcal{F}(M)$  is the simplicial set of fields on an *n*-manifold M

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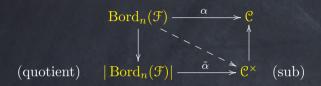
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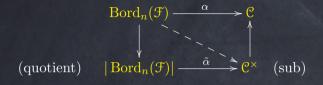
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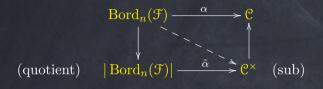
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- Unitarity is an additional structure not included in the Axiom System
- Field theories have a composition law (tensoring, stacking) with unit  $\implies$  invertibility





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**Takeaways:** An invertible field theory is modeled as a spectrum map with domain a bordism spectrum (introduced by F-Hopkins-Teleman in arXiv:0711.1909)

An invertible field theory is a generalized "cocycle" on a bordism spectrum

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Note that  $\mathcal{I}^{n+1}(\operatorname{Bord}_n(\mathfrak{F}))$  consists of *n*-dimensional *once-categorifield theories*: a closed *n*-manifold maps to a complex line, the "categorification" of an invertible complex number

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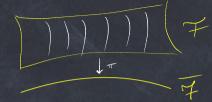


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These are called 't Hooft anomalies; their deformation classes contain powerful information

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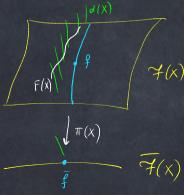
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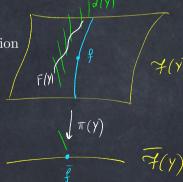
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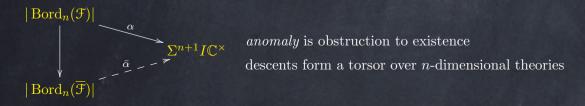
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To carry out quantization we must descend the projectivity/anomaly  $\alpha$ :



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- Quantization is linear—the anomaly obstructs quantization
- If the obstruction vanishes, one must specify descent data, which is a torsor over the abelian group of invertible field theories
- There is a well-developed theory of invertible field theories, so this part of quantum field theory is accessible using geometric and topological tools

### Theorems (joint with Hopkins)

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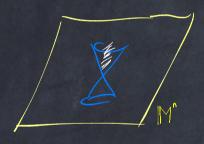
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Wick rotation: the theory is defined on (1) oriented manifolds, or (2) unoriented manifolds

# M-Theory from 11d supergravity

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 $\rho$  pin

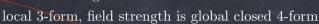
pin<sup>+</sup> structure

g

Riemannian metric



Rarita-Schwinger field



SUPERGRAVITY THEORY IN 11 DIMENSIONS

E. CREMMER, B. JULIA and J. SCHERK

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Abstract: We present the action and transformation laws of supergravity in 11 dimensions which is expected to be closely related to the O(8) theory in 4 dimensions after dimensional reduction.

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 $J = -\frac{V}{4\kappa^2}R\omega - \frac{i}{2}\sqrt{\psi}\int_{\Gamma^{MV}}^{\Gamma^{MV}}D_V(\omega+\omega)\Psi_{\rho} - \frac{V}{48}E_{Ver}F^{MV}$   $+\frac{KV}{192}(\overline{\Psi}_{M}\Gamma^{MV})^{AB} + \frac{i}{2}\nabla^{A}\Gamma^{VS}\Psi^{A}(F_{AB})^{AB} + \frac{i}{2}\nabla^{A}\Gamma^{VS}\Psi^{A}(F_{AB})^{AB}$   $+\frac{i}{2}\nabla^{A}\sigma_{\sigma}^{A} + \frac{i}{2}\nabla^{A}\sigma_{\sigma}^{A} + \frac{i}{2}\nabla^{A}\sigma_{\sigma$ 

The Lagrangian we find is the following :

F=JA

• Wick rotation:  $time-reversal\ symmetry$  if the theory is defined on unoriented manifolds. The Rarita-Schwinger field  $\psi$  is a form of spinor field; in this case we need a pin<sup>+</sup> structure

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which is skew-symmetric:  $\kappa(-c) = -\kappa(c)$ 

The Lagrangian we find is the following:
$$\vec{A} = -\frac{V}{4\kappa^2}R(\omega) - \frac{iV}{2}\overline{V}_{\mu}\Gamma^{\mu\nu\rho}D_{\nu}(\underline{\omega+\dot{\omega}})\Psi_{\rho} - \frac{V}{48}\overline{F}_{\mu\nu\rho\sigma}F^{\mu\nu\rho\sigma} \\
+ \frac{KV}{\sqrt{92}}(\overline{V}_{\mu}\Gamma^{\mu\nu}\alpha_{\beta}^{\alpha}x^{5}\Psi_{\nu} + 12\overline{\Psi}^{\alpha}\Gamma^{\alpha}\delta\Psi^{\alpha})(F_{\alpha\beta}^{\alpha}x^{5} + \widehat{F}_{\alpha\beta}^{\alpha}x^{5}) \\
+ \frac{2K}{(144)^2}\xi^{\alpha}(\overline{V}_{\mu}\Gamma^{\mu\nu}\alpha_{\beta}^{\alpha}x^{3}\Psi_{\mu}^{\alpha}\beta_{\alpha}\beta_{\beta}\beta_{\alpha}\mu\nu\rho}F_{\alpha}^{\alpha}\alpha_{\alpha}^{\alpha}\alpha_{\beta}^{\alpha}\Psi_{\mu}^{\alpha}F_{\alpha}\beta_{\alpha}\beta_{\alpha}\Psi_{\alpha}^{\alpha}}+ A_{\mu\nu\rho}$$

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**Definition:** Let M be a pin<sup>+</sup> manifold. An  $\mathfrak{m}_c$  structure on M is a  $w_1$ -twisted integer lift of  $w_4(M)$ . Compare: spin<sup>c</sup> structure = integer lift of  $w_2(M)$ 

- n = 11,  $\mathcal{F}$  consists of:  $\rho$  pin<sup>+</sup> structure
  - g Riemannian metric
  - $\psi$  Rarita-Schwinger field
  - ${\it C}$  local 3-form, field strength is global closed 4-form

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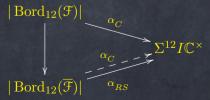
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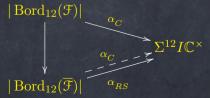
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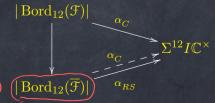
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**Theorem A:** The total anomaly  $\alpha_{RS} \otimes \alpha_C$  of M-theory is trivializable



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Fact: Anomaly theories  $\alpha_{RS}$ ,  $\alpha_{C}$  are topological and unitary, so factor through  $\mathcal{F}_{top} = \{pin^{+}, \mathfrak{m}_{c}\}$  and hence through the Thom spectrum  $M\mathfrak{m}_{c}$ 



### Theorems (joint with Hopkins)

Theorem A: The total anomaly  $\alpha_{RS} \otimes \alpha_{C}$  of M-theory is trivializable

*M-theory* is a form of string theory; we treat it in a quantum field theoretic context

The main work goes into the proof of the following bordism computation

**Theorem B:** The following six  $\mathfrak{m}_c$ -manifolds generate the group  $\pi_{12}M\mathfrak{m}_c\otimes\mathbb{Z}_2$ :

$$(W_0', \tilde{c}_0'), \quad (W_0'', 0), \quad (W_1, \lambda)$$
$$(K \times \mathbb{HP}^2, \lambda), \quad (\mathbb{RP}^4, \tilde{c}_{\mathbb{RP}^4}') \times B, \quad (\mathbb{RP}^4 \# \mathbb{RP}^4, 0) \times B$$

I will explain how to pass from Theorem A to Theorem B and a bit more...

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General formula for the anomaly theory:

$$\alpha_{\mathbb{S}} \colon MT\mathrm{Spin} \xrightarrow{\phi_{\mathrm{ABS}} \wedge [\mathbb{S}]} KO \wedge \Sigma^{n-2}KO \xrightarrow{\mu} \Sigma^{n-2}KO \xrightarrow{\mathrm{Pfaff}} \Sigma^{n+2}I\mathbb{Z}$$

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The isomorphism class is a differential refinement; the formula is for the deformation class

### Index theory on pin manifolds

Use the embeddings

$$\operatorname{Pin}_n^+ \longleftrightarrow \operatorname{Spin}_{n,1}$$
  
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**Proposition:**  $\tau_W := \exp(2\pi i \eta(W)/4)$  on a closed pin<sup>+</sup> 12-manifold W is (i) independent of the metric on W, (ii) a pin<sup>+</sup> bordism invariant, and (iii) a root of unity

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 $\mathbb{RP}^{12}$  generates the first summand of tangential pin<sup>+</sup> bordism

$$\pi_{12}MT$$
Pin<sup>+</sup>  $\cong \mathbb{Z}/2^8\mathbb{Z} \oplus \mathbb{Z}/2^4\mathbb{Z} \oplus \mathbb{Z}/2^2\mathbb{Z}$ 

and (Gilkey-Stolz) 
$$\tau_{\mathbb{RP}^{12}} = \exp\left(\frac{2\pi i}{2^8}\right)$$

More generally, for  $V \to W$  a real vector bundle over a closed pin<sup>+</sup> 12-manifold set

$$\tau_W(V) = \exp\left(2\pi i \; \frac{\eta_W(V)}{4}\right)$$

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**Theorem (Zhang):** Let  $V \to W$  be a real vector bundle over a closed pin<sup>+</sup> 12-manifold W. Let  $L \to W$  be the orientation real line bundle,  $H \to \mathbb{RP}^{20}$  the tautological line bundle, and  $\gamma \colon W \to \mathbb{RP}^{20}$  a map such that  $\gamma^*H \cong L$ . Then

$$\gamma_*([V]) = 2^{11} \frac{\eta_W(V)}{4} (1 - [H]) \quad \text{in } \widetilde{KO}^0(\mathbb{RP}^{20})$$

The group  $\widetilde{KO}^0(\mathbb{RP}^{20})$  is cyclic of order  $2^{11}$  with generator 1-[H]

# The Rarita-Schwinger anomaly: summary

• The partition function  $\hat{\alpha}_{RS} \colon \pi_{12}MT\mathrm{Pin}^+ \to \mathbb{C}^{\times}$  of  $\alpha_{RS} \colon MT\mathrm{Pin}^+ \to \Sigma^{12}I\mathbb{C}^{\times}$  is

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- There are several formulas/techniques to compute this topological invariant
- It turns out that the composition

$$\pi_{12}M\mathfrak{m}_c \longrightarrow \pi_{12}MT\mathrm{Pin}^+ \stackrel{\hat{\alpha}_{RS}}{\longrightarrow} \mathbb{C}^\times$$

factors through  $\mu_2 = \{\pm 1\} \subset \mathbb{C}^{\times}$ 

# Theorems (joint with Hopkins)

**Theorem A:** The total anomaly  $\alpha_{RS} \otimes \alpha_C$  of M-theory is trivializable

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#### The anomalous term in the action

The anomaly  $\alpha_C \colon M\mathfrak{m}_c \to \Sigma^{12}I\mathbb{C}^{\times}$  is of order 2

It arises from the inhomogeneous skew-symmetric cubic form

$$\kappa(c) = \frac{c^3 (p \cdot c)}{48}$$

in the action—the division by 24 is not anomalous

The Lagrangian we find is the following:

$$\begin{split} \vec{\mathcal{A}} &= -\frac{V}{4\kappa^2} \, R(\omega) - \frac{i \, V}{2} \, \vec{\Psi}_{\omega} \, \Gamma^{\mu\nu\rho} D_{\nu} (\underbrace{\omega + \underline{\omega}}_{2}) \, \Psi_{\rho} - \frac{V}{48} \, \underbrace{F}_{\mu\nu\rho\sigma} \, F^{\mu\nu\rho\sigma} \\ &+ \frac{K \, V}{\sqrt{92}} \, (\, \vec{\Psi}_{\omega} \, \Gamma^{\mu\nu\nu} \, \alpha_{\rho} \, \kappa^{5} \, \Psi_{\nu} + 12 \, \vec{\Psi}^{\alpha} \, \Gamma^{35} \Psi^{\alpha}) (\, \vec{F}_{\alpha\rho\sigma} \, \kappa^{5} + \, \vec{F}_{\alpha\rho\nu} \, \kappa^{5}) \\ &+ \frac{2 \, K}{2} \, \underbrace{\epsilon^{\lambda_{1} \lambda_{2}} \, \alpha_{3} \, \lambda_{4} \, (\beta_{\alpha} \beta_{2} \beta_{3} \beta_{4} \, \mu\nu\rho}_{\rho \, \alpha_{1} \, \alpha_{3} \, \lambda_{4} \, F_{\alpha_{1} \, \beta_{2} \beta_{3} \beta_{4}} \, A_{\mu\nu\rho}}_{\rho \, \alpha_{1} \, \alpha_{2} \, \alpha_{3} \, \lambda_{4} \, G_{\alpha} \, \alpha_{3} \, \alpha_{4} \, F_{\alpha_{1} \, \beta_{2} \beta_{3} \beta_{4}} \, A_{\mu\nu\rho}} \end{split}$$

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Thus membrane/fivebrane duality predicts a spacetime correction to the D=11 supermembrane action

$$I_{11}(\text{Lorentz}) = T_3 \int C_3 \wedge \frac{1}{(2\pi)^4} \left[ -\frac{1}{768} (\text{tr}R^2)^2 + \frac{1}{192} \text{tr}R^4 \right].$$
 (3.14)

Unfortunately, since the correct quantization of the supermembrane is unknown, this prediction is difficult to check. However, by simultaneous dimensional reduction [33] of (d=3,D=

# Recollection: algebraic theory of a quadratic form

 $\begin{array}{ll} L & \text{finitely generated free abelian group} \\ \langle -, - \rangle \colon L \times L \to \mathbb{Z} & \text{nondegenerate (i.e., unimodular) symmetric bilinear form} \\ & \downarrow \\ \bar{c} \in L \otimes \mathbb{Z}/2\mathbb{Z} & \text{unique element such that } \langle \bar{x}, \bar{x} \rangle \equiv \langle \bar{c}, \bar{x} \rangle \pmod{2}, \qquad \bar{x} \in L \otimes \mathbb{Z}/2\mathbb{Z} \end{array}$ 

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 $\langle c, c \rangle$  (mod 8) is independent of  $c \in L_{\text{char}}$ , so for any integer lift  $\sigma \in \mathbb{Z}$  of  $\langle c, c \rangle$  (mod 8),

$$\kappa_2(c) = \frac{\langle c, c \rangle - \sigma}{8}$$

is an integer  $(\sigma \text{ may be chosen to be the signature of } \langle -, - \rangle \text{ on } L \otimes \mathbb{Q})$ 

# Algebraic theory of a cubic form

L

$$\langle -, -, - \rangle \colon L \times L \to \mathbb{Z}$$

 $\bar{c} \in L \otimes \mathbb{Z}/2\mathbb{Z}$ 

finitely generated free abelian group symmetric trilinear form

satisfies  $\langle \bar{c}, \bar{x}, \bar{y} \rangle \equiv \langle \bar{x}, \bar{x}, \bar{y} \rangle + \langle \bar{x}, \bar{y}, \bar{y} \rangle \pmod{2}, \quad \bar{x}, \bar{y} \in L \otimes \mathbb{Z}/2\mathbb{Z}$ 

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**Lemma 1:** There exists a unique  $\hat{p} \in L^* \otimes \mathbb{Z}/24\mathbb{Z}$  such that

$$\hat{p} \cdot \hat{x} \equiv 4\hat{x}^3 + 6\hat{c}\hat{x}^2 + 3\hat{c}^2\hat{x} \pmod{24}$$

for all  $\hat{x} \in L \otimes \mathbb{Z}/24\mathbb{Z}$  and mod 24 reductions  $\hat{c}$  of characteristic elements c.

# Algebraic theory of a cubic form

finitely generated free abelian group

 $\langle -, -, - \rangle \colon L \times L \to \mathbb{Z}$  symmetric trilinear form

 $\bar{c} \in L \otimes \mathbb{Z}/2\mathbb{Z} \qquad \text{satisfies } \langle \bar{c}, \bar{x}, \bar{y} \rangle \equiv \langle \bar{x}, \bar{x}, \bar{y} \rangle + \langle \bar{x}, \bar{y}, \bar{y} \rangle \pmod{2}, \quad \bar{x}, \bar{y} \in L \otimes \mathbb{Z}/2\mathbb{Z}$ 

# **Lemma 2:** Let $p \in L^*$ satisfy $p \equiv \hat{p} \pmod{24}$ . Then

$$\frac{c^3 - p \cdot c}{24} \pmod{2}$$

lies in  $\mathbb{Z}/2\mathbb{Z}$  and is independent of  $e \in L_{\text{char}}$ . Furthermore, there exist lifts  $p \in L^*$  of  $\hat{p}$  such that this invariant vanishes, in which case

$$\kappa_3(c) = \frac{c^3 - p \cdot c}{48}$$

is an integer. Also,  $\kappa_3(-c) = -\kappa_3(c)$ .

# Cubic form on a closed $\mathfrak{m}_c$ 12-manifold W

$$L = H^{4}(W; \mathbb{Z}_{w_{1}})/\text{torsion}$$

$$L^{*} = H^{8}(W; \mathbb{Z})/\text{torsion}$$

$$\langle x, y, z \rangle = (x \smile y \smile z)[W]$$

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**Proposition 1:** In  $BPin^+$  there is a unique characteristic class  $\bar{p} \in H^8(BPin^+; \mathbb{Z})/\text{torsion}$  whose lift to BSpin satisfies

$$2p = p_2 - \lambda^2$$

where  $2\lambda = p_1$ . Then  $\bar{c}$  and  $\bar{p} \pmod{24}$  satisfy the previous conditions

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**Proposition 2:** Let W be a closed  $\mathfrak{m}_c$  12-manifold and  $\tilde{c} \in H^4(W; \mathbb{Z})$  a  $w_1$ -twisted integer lift of  $w_4(W)$ . Then

$$\frac{\tilde{c}^3 - \bar{p}(W)\tilde{c}}{48} \pmod{\mathbb{Z}}$$

lies in  $\frac{1}{2}\mathbb{Z}/\mathbb{Z}$ , is independent of the choice of  $\tilde{c}$ , and is a bordism invariant of  $\mathfrak{m}_c$ -manifolds

# The C-field anomaly: summary

• The partition function  $\hat{\alpha}_C \colon \pi_{12} M \mathfrak{m}_c \to \mathbb{C}^{\times}$  of  $\alpha_C \colon M \mathfrak{m}_c \to \Sigma^{12} I \mathbb{C}^{\times}$  is

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It takes values in  $\mu_2 = \{\pm 1\} \subset \mathbb{C}^{\times}$ 

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•  $\hat{\alpha}_C$  is a very easy invariant to compute

## Theorems (joint with Hopkins)

Theorem A: The total anomaly  $\alpha_{RS} \otimes \alpha_{C}$  of M-theory is trivializable

*M-theory* is a form of string theory; we treat it in a quantum field theoretic context

The main work goes into the proof of the following bordism computation

**Theorem B:** The following six  $\mathfrak{m}_c$ -manifolds generate the group  $\pi_{12}M\mathfrak{m}_c\otimes\mathbb{Z}_2$ :

$$(W_0', \tilde{c}_0'), \quad (W_0'', 0), \quad (W_1, \lambda)$$
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I will explain how to pass from Theorem A to Theorem B and a bit more...

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 $V(x) \longrightarrow W$  real adjoint vector bundle to principal  $E_8$ -bundle with characteristic class

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$$\left\langle \frac{c^3 - pc}{48} + \frac{1}{2}\hat{A}(W)\operatorname{ch}V(x) + \frac{1}{4}\hat{A}(W)\operatorname{ch}(TW - 4), [W] \right\rangle = 0$$

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We could not find a conceptual proof for the pin case, so we turned to computation

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Then we searched for 12-dimensional  $\mathfrak{m}_c$ -manifolds which represent the algebraic generators

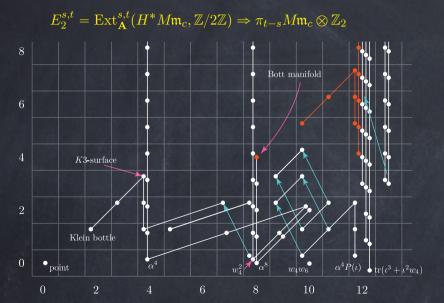
## Generators of the $\mathfrak{m}_c$ bordism group

**Theorem B:** The following six  $\mathfrak{m}_c$ -manifolds generate the group  $\pi_{12}M\mathfrak{m}_c\otimes\mathbb{Z}_2$ :

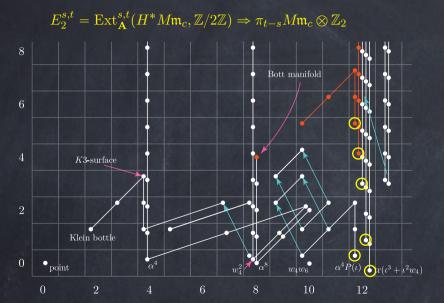
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$$K$$
 $K3$  surface $B$ quaternionic projective plane $B$ Bott manifold $\mathbb{HP}^2 \# \mathbb{HP}^2 \longrightarrow W_0' \longrightarrow \mathbb{RP}^4$  $S^4 \times (\mathbb{HP}^2 \# \mathbb{HP}^2) \xrightarrow{2:1} W_0'$  $\mathbb{RP}^8 \longrightarrow W_0'' = \mathbb{P}(K_{\mathbb{R}}^{\oplus 2} \oplus \mathbb{R}) \xrightarrow{\rho} S^4$  $K_{\mathbb{R}} \to S^4$  generating  $\mathbb{H}$ -line bundle $\mathbb{HP}^2 \longrightarrow W_1 \longrightarrow \mathbb{CP}^1 \times \mathbb{CP}^1$  $\mathcal{B}_{SO}(\mathcal{O}(1,1)_{\mathbb{R}} \oplus \mathbb{R} \to \mathbb{CP}^1 \times \mathbb{CP}^1)$  $SO_3 \cong \mathbb{P} \operatorname{Sp}_1 \subset \mathbb{HP}^2$ 

#### Adams spectral sequence



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The invariants on the generators

$(W, \tilde{c})$	$\alpha_{RS}(W)$	$\alpha_C(W)$
$(W_0', \tilde{c}_0')$	+1	+1
$(W_0'',0)$	+1	+1
$(W_1,\lambda)$	+1	+1
$(K \times \mathbb{HP}^2, \lambda)$	-1	-1
$(\mathbb{RP}^4, ilde{c}'_{\mathbb{RP}^4})$	+1	+1
$(\mathbb{RP}^4 \# \mathbb{RP}^4, 0)$	+1	+1

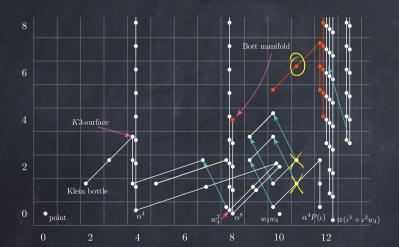
# Uniqueness

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**Theorem** (Guo–Hopkins): There are two trivializations of  $\alpha_{RS} \otimes \alpha_C$ 



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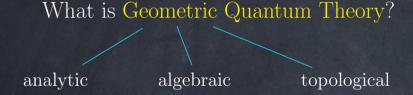
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# Happy Birthday, Graeme!