

# The Geometry and Topology of Orientifolds II

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Ongoing joint work with Jacques Distler and Greg Moore

*And there are simply too many slides, that's all. Just cut a few and it will be perfect. (Emperor Joseph II)*

*We mock the thing we are to be. (Mel Brooks)*

# SUMMARY

- There are new “abelian” objects in differential geometry which are *local*, so can serve as fields in the sense of physics. In our work: twistings of  $K$ -theory and its cousins, twisted spin structures and spinor fields, twisted differential  $KR$ -objects, ...
  - Underlying topological objects lie in a twisted cohomology theory.
  - Two theories: **worldsheet** (short distance, fundamental, 2d) and **spacetime** (long distance, effective, 10d).
  - In the foundational theory of orientifolds we are proving two theorems which are *topological*:
    - **Ramond-Ramond** charge due to gravitational orientifold background (localization in equivariant  $KO$ -theory,  $KO$  **Wu** class)
    - anomaly cancellation on the worldsheet (exotic notion of orientation)
- Proofs: new variations on old themes in  $K$ -theory and index theory.
- Most intricate matching we know between topological features in a short distance theory and its long distance approximation.

# TWISTINGS OF $KR$ -THEORY

There are many approaches to twistings of  $K$ -theory: **Donovan-Karoubi**, **Rosenberg**, **Atiyah-Segal**, **Bouwknegt-Carey-Mathai-Murray-Stevenson**, etc. We adapt **F.-Hopkins-Teleman (arXiv:0711.1906)** to  $KR$ -theory.

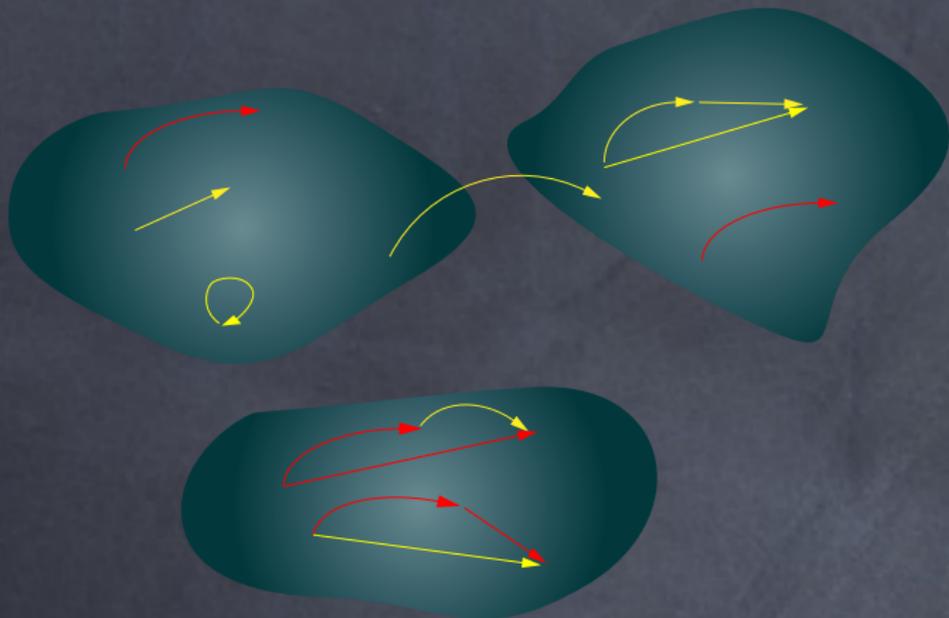
Let  $X$  be a **local quotient groupoid** in the sense that locally it is isomorphic to  $S//G$  for  $S$  a nice space (e.g. manifold) and  $G$  a compact Lie group. We write

$$X : \quad X_0 \begin{array}{c} \xleftarrow{p_1} \\ \xleftarrow{p_0} \end{array} X_1$$

Specify a double cover  $\pi: X_w \rightarrow X$  by a homomorphism  $\phi: X_1 \rightarrow \mathbb{Z}/2\mathbb{Z}$ . Then  $X_w$  is represented by the groupoid

$$X_w : \quad X_0 \begin{array}{c} \xleftarrow{p_1} \\ \xleftarrow{p_0} \end{array} X'_1$$

where  $X'_1 = \{(a \xrightarrow{f} b) \in X_1 : \phi(f) = 0\}$  is the kernel of  $\phi$ . It is classified by  $w \in H^1(X; \mathbb{Z}/2\mathbb{Z})$  (cohomology of geometric realization).



Pictured is the groupoid  $X$ . Yellow arrows  $f$  satisfy  $\phi(f) = 0$ ; red arrows  $f$  satisfy  $\phi(f) = 1$ . The groupoid  $X_w$  has only the yellow arrows.

Extend the groupoid to a simplicial space by fiber products:

$$X : \quad X_0 \rightrightarrows X_1 \rightrightarrows X_2 \rightrightarrows X_3 \cdots$$

For  $V$  is a complex vector space,  $\phi \in \mathbb{Z}/2\mathbb{Z}$ , set

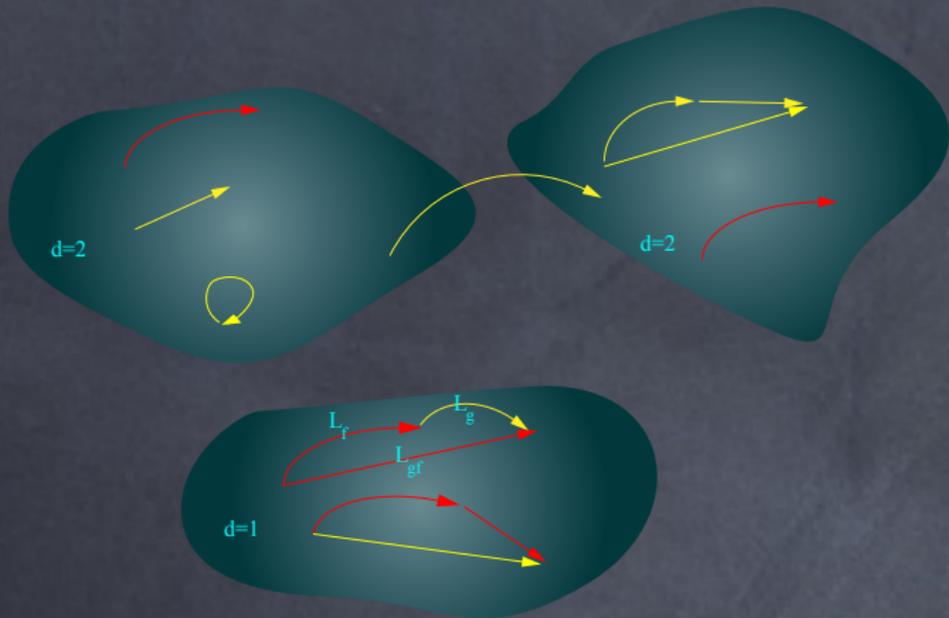
$$\phi V = \begin{cases} V, & \phi = 0; \\ \bar{V}, & \phi = 1. \end{cases}$$

**Definition:** A *twisting* of  $KR(X_w)$  is a triple  $\tau = (d, L, \theta)$  consisting of a locally constant function  $d: X_0 \rightarrow \mathbb{Z}$ , a  $\mathbb{Z}/2\mathbb{Z}$ -graded complex line bundle  $L \rightarrow X_1$ , and for  $(a \xrightarrow{f} b \xrightarrow{g} c) \in X_2$  an isomorphism

$$\theta: \phi(f) L_g \otimes L_f \xrightarrow{\cong} L_{gf}.$$

There are consistency conditions for  $d$  on  $X_1$  and for  $\theta$  on  $X_3$ .

**Warning:** In general, we replace  $X$  by a locally equivalent groupoid.



The degree  $d$  is the same on components of  $X_0$  connected by an arrow. There is an isomorphism  $\theta: \overline{L_g} \otimes L_f \rightarrow L_{gf}$  for the labeled arrows.

Another picture:

$$\begin{array}{ccccccc}
 & & L & & \theta & & \\
 & & \downarrow & & & & \\
 X_0 & \xleftarrow{\quad} & X_1 & \xleftarrow{\quad} & X_2 & \xleftarrow{\quad} & X_3 \cdots \\
 \downarrow d & & \downarrow \epsilon & & & & \\
 \mathbb{Z} & & \mathbb{Z}/2\mathbb{Z} & & & & 
 \end{array}$$

We define a (higher) groupoid of twistings and commutative composition law. Isomorphism classes of twistings of  $KR(X_w)$  are classified by

$$H^0(X; \mathbb{Z}) \times H^1(X; \mathbb{Z}/2\mathbb{Z}) \times H^{w+3}(X; \mathbb{Z}),$$

$d \qquad \qquad \qquad \epsilon \qquad \qquad \qquad (L, \theta)$

where the last factor is cohomology in a local system. This is an isomorphism of sets but *not* of abelian groups.

**Key point:** We can realize twistings as objects in a **cohomology theory**. Special case: involution on  $X_w$  acts trivially—so twistings of  $KO(X)$ —twistings classified by **Postnikov** truncation  $ko < 0 \cdots 2 >$  of connective  $ko$  with homotopy groups  $\pi_{\{0,1,2\}} = \{\mathbb{Z}, \mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/2\mathbb{Z}\}$ .

An object in twisted  $KR^q(X)$  may be represented by a pair  $(E, \psi)$ , where  $E \rightarrow X_0$  is a  $\mathbb{Z}/2\mathbb{Z}$ -graded **Clifford** $_q$ -module and for each  $(a \xrightarrow{f} b) \in X_1$  we have an isomorphism

$$\psi: \phi^{(f)}(L_f \otimes E_a) \xrightarrow{\cong} E_b$$

There is a consistency condition on  $X_2$ .

**Warning:** In general we need to use a more sophisticated model in which  $E$  has infinite rank and an odd skew-adjoint Fredholm operator.

**Definition:** A *differential twisting* of  $KR(X_w)$  is a quintet  $\check{\tau} = (d, L, \theta, \nabla, B)$  where  $\tau = (d, L, \theta)$  is a twisting,  $\nabla$  is a covariant derivative on  $L$ , and  $B \in \Omega^2(X_0)$  satisfies

$$(-1)^\phi p_1^* B - p_0^* B = \frac{i}{2\pi} \text{curv}(\nabla) \quad \text{on } X_1.$$

The 3-form  $H = dB$  is a global *twisted* form:  $(-1)^\phi p_1^* H = p_0^* H$ . It is the *curvature* of  $\check{\tau}$ . (Ungraded version in **Schreiber-Schweigert-Waldorf**).

## Remarks:

- We could continue and give a finite dimensional model for objects in the twisted differential  $KR$ -theory  $\widetilde{KR}^{\check{r}}(X_w)$ . We have not developed an infinite dimensional model along these lines.
- Because these objects have cohomological significance, we can give topological models. For the differential objects we can give models following **Hopkins-Singer**. Can develop products, pushforwards, etc.
- Other models of differential objects in ordinary cohomology and  $K$ -theory are being developed. (**Deligne**, **Simons-Sullivan**, **Bunke-Kreck-Schick-Schroeder-Wiethaup**, ...) )
- There is not yet a general *equivariant* theory of differential objects. There is some work for ordinary cohomology (**Gomi**) and for finite group actions in  $K$ -theory (**Szabo-Valentino**, **Ortiz**).

We leave this general discussion to return to orientifolds, where the foregoing provides an explicit model of the  **$B$ -field**. We formulate everything in a **model-independent** manner.

# NSNS SUPERSTRING BACKGROUND

The (Neveu-Schwarz)<sup>2</sup>-NSNS fields are relevant for both the worldsheet (2d) and spacetime (10d) theories. As in Jacques' lecture we have the following concise

**Definition:** An *NSNS superstring background* consists of:

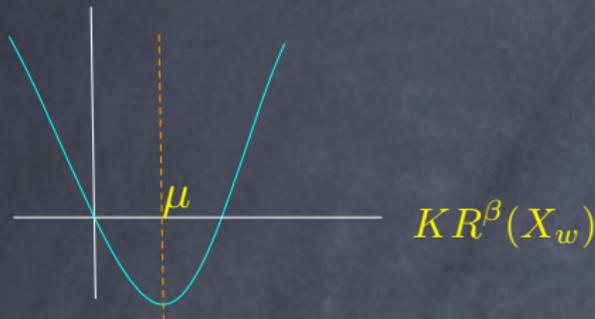
- (i) a 10-dimensional smooth orbifold  $X$  together with Riemannian metric and real-valued scalar (dilaton) field;
  - (ii) a double cover  $\pi: X_w \rightarrow X$  (**orientation double cover**);
  - (iii) a differential twisting  $\check{\beta}$  of  $KR(X_w)$  ( **$B$ -field**);
  - (iv) and a *twisted spin structure*  $\kappa: \mathfrak{R}(\beta) \rightarrow \tau^{KO}(TX - 2)$ .
- An orbifold (in the sense of **Satake**) is presented by a local quotient groupoid which is locally  $S//\Gamma$  with  $\Gamma$  *finite*.
  - We do not have time today to explicate  $\kappa$ , an isomorphism of twistings of  $KO(X)$ .
  - This compact and precise definition is one of our main offerings.

# THEOREM 1: RR BACKGROUND CHARGE

The (Ramond)<sup>2</sup> =RR current on spacetime is *self-dual*. Its definition requires an extra topological datum: a quadratic form. We fix an NSNS superstring background.

**Definition:** An RR current is an object  $\check{j}$  in  $\widetilde{KR}^{\check{\beta}}(X_w)$ . The quadratic form of the self-dual structure is displayed on the next slide.

A quadratic form has an axis of symmetry, so defines a *center*  $\mu$  in its domain. Here the domain is the group of topological equivalence classes of currents, or *charges*. (Sign: The RR background charge is  $-\mu$ .)



Recall  $KO_{\mathbb{Z}/2\mathbb{Z}}^0(\text{pt}) \cong RO(\mathbb{Z}/2\mathbb{Z}) \cong \mathbb{Z}[\epsilon]/(\epsilon^2 - 1)$ , where  $\epsilon$  is the sign representation. The quadratic form is complicated to describe (**Hopkins-Singer**); one manifestation is on a 12-manifold  $M$  with orientation double-cover  $M_w$  and twisted spin structure.

$$\begin{array}{ccc}
 KR^\beta(M_w) & & j \\
 \downarrow & & \downarrow \\
 KO_{\mathbb{Z}/2\mathbb{Z}}^{\mathfrak{R}(\beta)}(M_w) \xrightarrow[\cong]{\kappa} KO_{\mathbb{Z}/2\mathbb{Z}}^{\tau^{KO}(TM-4)}(M_w) & & \kappa \bar{j} j \\
 \downarrow \pi_*^{M_w} & & \downarrow \\
 KO_{\mathbb{Z}/2\mathbb{Z}}^{-4}(\text{pt}) \cong \mathbb{Z} \times \mathbb{Z}\epsilon & & \pi_*^{M_w}(\kappa \bar{j} j) \\
 \downarrow & & \downarrow \\
 \mathbb{Z} & & \epsilon\text{-component } \pi_*^{M_w}(\kappa \bar{j} j)
 \end{array}$$

**Theorem (in progress):** In the NSNS superstring background assume  $X_w$  is a *manifold*, let  $i: F \hookrightarrow X_w$  be the fixed point set of the involution, and  $\nu$  its normal bundle. After inverting 2 the center is

$$\mu = \frac{1}{2} i_* \left( \frac{\kappa^{-1} \Xi(F)}{\psi^{-1}(\kappa^{-1} \phi \text{Euler}(\nu))} \right) \in KR[1/2]^\beta(X_w).$$

- $i_*: KR[1/2]^{i^* \beta - \tau^{KO}(\nu)}(F) \longrightarrow KR[1/2]^\beta(X_w)$ .
- We invert the multiplicative set  $S = \{(1 - \epsilon)^n\}_{n \in \mathbb{Z}_{>0}} \subset RO(\mathbb{Z}/2\mathbb{Z})$  and apply a localization theorem à la **Atiyah-Segal** in twisted  $\mathbb{Z}/2\mathbb{Z}$ -equivariant  $KO$ -theory. Here  $\phi \text{Euler}(\nu)$  is the image of the **Euler** class of the normal bundle after inverting  $S$ .
- $\psi$  is a twisted version of the **Adams** squaring operation.
- $\Xi(F)$  is  $KO$ -analog of the **Wu** class: “commutator” of  $\psi$  and **Thom**.
- Passing to rational cohomology we recover the physicists’ formula with the modified **Hirzebruch**  $L$ -genus, as in Jacques’ lecture.

# THEOREM 2: WORLDSHEET ANOMALY CANCELLATION

To specify a field theory we give a domain category of manifolds, **fields**, and an **action**. For the 2d worldsheet theory the fields are contained in

**Definition:** A *worldsheet* consists of

- (i) a compact smooth 2-manifold  $\Sigma$  (possibly with boundary) with Riemannian structure;
- (ii) a spin structure  $\alpha$  on the orientation double cover  $\hat{\pi}: \hat{\Sigma} \rightarrow \Sigma$  whose underlying orientation is that of  $\hat{\Sigma}$  (notation:  $\hat{w}$  for  $\hat{\Sigma} \rightarrow \Sigma$ );
- (iii) a smooth map  $\phi: \Sigma \rightarrow X$ ;
- (iv) an isomorphism  $\phi^*w \rightarrow \hat{w}$ , or equivalently a lift of  $\phi$  to an equivariant map  $\hat{\Sigma} \rightarrow X_w$ ;
- (v) a positive chirality spinor field  $\psi$  on  $\hat{\Sigma}$  with coefficients in  $\hat{\pi}^*\phi^*(TX)$ ;
- (vi) and a negative chirality spinor field  $\chi$  on  $\hat{\Sigma}$  with coefficients in  $T^*\hat{\Sigma}$  (the gravitino).

We focus on two factors in the effective action after integrating out the fermionic fields:

$$\text{pfaff } D_{\widehat{\Sigma}, \alpha}(\widehat{\pi}^* \phi^*(TX) - 2) \cdot \exp\left(i \int_{\Sigma} \check{\zeta} \cdot \phi^* \check{\beta}\right).$$

The **first factor** is the pfaffian of a (real) **Dirac** operator on the orientation double cover  $\widehat{\Sigma}$ . The **second factor** is the integral of the  $B$ -field over the worldsheet.

Work over a parameter space  $S$  of worldsheets. Then the **{first, second}** factor is a section of a flat hermitian line bundle  $\{L_{\psi}, L_B\} \rightarrow S$ . The first is the standard pfaffian line bundle with its **Quillen** metric and **Bismut-F** covariant derivative. We discuss the second below.

**Theorem (in progress):** There is a canonical geometric trivialization of the tensor product

$$L_{\psi} \otimes L_B \longrightarrow S$$

which is constructed from the twisted spin structure  $\kappa$  on spacetime  $X$ .

$$\text{pfaff } D_{\hat{\Sigma}, \alpha}(\hat{\pi}^* \phi^*(TX) - 2) \cdot \exp\left(i \int_{\Sigma} \check{\zeta} \cdot \phi^* \check{\beta}\right) : S \longrightarrow L_{\psi} \otimes L_B$$

- $\hat{\Sigma}$  has an orientation-reversing isometry which preserves all data except the spin structure  $\alpha$ . The line bundle  $L_{\psi} \rightarrow S$  can be computed in terms of a torsion class which measures the nonequivariance of  $\alpha$ . Variation of **Atiyah-Patodi-Singer**.
- We implicitly project the pullback  $\phi^* \check{\beta}$  of the  $B$ -field modulo the **Bott** periodicity action. This lands in a certain *multiplicative* cohomology theory  $R$  which is the **Postnikov** section  $ko\langle 0 \dots 4 \rangle$ , more precisely in  $\check{R}^{\hat{w}-1}(\Sigma)$ . Sadly, our data does not include an orientation on  $\Sigma$  which would allow us to integrate  $\phi^* \check{\beta}$ . This is the genesis of the mysterious  $\check{\zeta}$ . We explain by analogy on next slide.
- Denote the trivialization in the theorem as **1**. Then

$$\frac{\text{pfaff } D_{\hat{\Sigma}, \alpha}(\hat{\pi}^* \phi^*(TX) - 2) \cdot \exp\left(i \int_{\Sigma} \check{\zeta} \cdot \phi^* \check{\beta}\right)}{\mathbf{1}} : S \longrightarrow \mathbb{C}$$

is a function on  $S$  which is part of the “quantum integrand”.

Let  $M$  be a smooth compact  $n$ -manifold. Integration is defined as

$$\int_M : \Omega^{\hat{w}+n}(M) \longrightarrow \mathbb{R}$$

with domain the space of *densities*. A density pulls back to an  $n$ -form on the orientation double cover  $\widehat{M} \rightarrow M$ , odd under the involution.

An orientation in ordinary cohomology is a section of  $\widehat{M} \rightarrow M$ . Then let  $o \in \Omega^{\hat{w}}(M)$  be the function on  $\widehat{M}$  which is 1 on the image. (Alternative:  $o$  is an iso of twistings  $0 \rightarrow \hat{w}$  of real cohomology.) Integration on forms is now defined:

$$\begin{aligned} \Omega^n(M) &\longrightarrow \mathbb{R} \\ \omega &\longmapsto \int_M o \omega \end{aligned}$$

In  $\exp\left(i \int_{\Sigma} \check{\zeta} \cdot \phi^* \check{\beta}\right)$  the “orientation data” is an object  $\check{\zeta}$  which is a trivialization of an object  $\check{\epsilon} \in \check{R}^{\tau^{KO}(T\Sigma) - \hat{w} - 1}(\Sigma)$ . It is closely related to the class which measures the nonequivariance of the spin structure  $\alpha$  on  $\widehat{\Sigma}$ . The details involve explicit models with **Clifford** modules...

# SUMMARY

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