

# 1st Talk of Tropical Geometry Seminar V. 2

Setup:  $K = \bar{K}$ ,  $\text{val}: K^\times \rightarrow \mathbb{R} = \Gamma_{\text{val}}$ ,  $M = \mathbb{Z}^n$ ,  $M_{\mathbb{R}} = M \otimes_{\mathbb{Z}} \mathbb{R}$ ,  $N = \text{Hom}_{\mathbb{Z}}(M, \mathbb{Z})$ ,  $N_{\mathbb{R}} = N \otimes_{\mathbb{Z}} \mathbb{R}$

•  $R = K[x_1^{\pm}, \dots, x_n^{\pm}]$  ring of Laurent poly over  $K$ ,  $\rightsquigarrow$  coordinate ring of  $T^n$   $(K^\times)^n$

•  $f \in R$ ,  $f = \sum_{\substack{n \in S \subset N \\ |S| < \infty}} a_n z^n$ ,  $\rightsquigarrow \text{trop}(f): \mathbb{R}^n \rightarrow \mathbb{R}$ ,  $n(w)$   
 $\text{trop}(f)(w) = \min_{n \in S} \{ \text{val}(a_n) + \langle n, w \rangle \}$

Notice:  $\text{trop}(f)$  is akin to replacing  $+$  w/  $\oplus$ ,  $\cdot$  w/  $\odot$ , and then replacing each  $a_n$  w/  $\text{val}(a_n)$ .

•  $V(f)$  is a subvariety of  $T^n \rightsquigarrow \text{trop}(V(f)) = \left\{ w \in \mathbb{R}^n \mid \text{trop}(f) \text{ is not linear @ } w \right\}$   
 $=$  the "nonlinear locus" of  $\text{trop}(f)$ .

Lots of ways to think of  $\text{trop}(V(f))$

TFAE  
 (i)  $\text{trop}(V(f))$  as above

(ii) closure in  $\mathbb{R}^n$  of  $\left\{ w \in \mathbb{R}^n \mid \text{in}_w(f) \text{ is not a monomial} \right\}$

(iii) closure in  $\mathbb{R}^n$  of  $\left\{ (\text{val}(z_1), \dots, \text{val}(z_n)) \in \mathbb{R}^n \mid z \in V(f) \right\}$

initial form of  $f$  @  $w$  — elements of  $K[x_1, \dots, x_n]$   
 — track the monomials of  $f$  which are the minimizers @  $w \in \mathbb{R}^n$ , roughly  
 $\text{trop}(f) \text{ linear @ } w \iff \text{in}_w(f) \text{ is a monomial}$

This is Krapanov's Theorem — it's basically the Fundamental Theorem of Tropical Geometry in the case of curves. Proof in § 3.3 of Sturmfels, Mackenzie

There's a lot of combinatorial data associated to tropical varieties

Prop:  $f \in R$ . Then  $\text{trop}(V(f))$  is the support of a rational polyhedral complex of dimension  $(n-1)$  in  $\mathbb{R}^{n-1}$ . It is the  $(n-1)$  skeleton of the polyhedral complex dual to the Newton polytope of  $f$ .

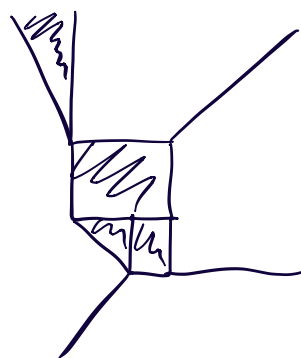
# Definitions from Polyhedral Geometry

- Polyhedron  $P \subseteq \mathbb{R}^n =$  finite intersection of halfspaces
- Polytope  $P \subseteq \mathbb{R}^n =$  bounded Polyhedron (compact Polyhedron)
- Face of  $P$  (Polyhedron)  $\rightsquigarrow$  determined (nonuniquely) by  $w \in (\mathbb{R}^n)^\vee = N_{\mathbb{R}}$   

$$\text{face}_w(P) = \{x \in P \mid \langle w, x \rangle \leq \langle w, y \rangle, \forall y \in P\}$$
- Facet of  $P =$  face not contained properly in any other face
- Polyhedral Complex  $\Sigma =$  collection of polyhedra s.t.
  - (a)  $P \in \Sigma \Rightarrow \text{face}_w(P) \in \Sigma$
  - (b)  $P, Q \in \Sigma \Rightarrow P \cap Q = \emptyset$  or is a face of both  $P$  and  $Q$



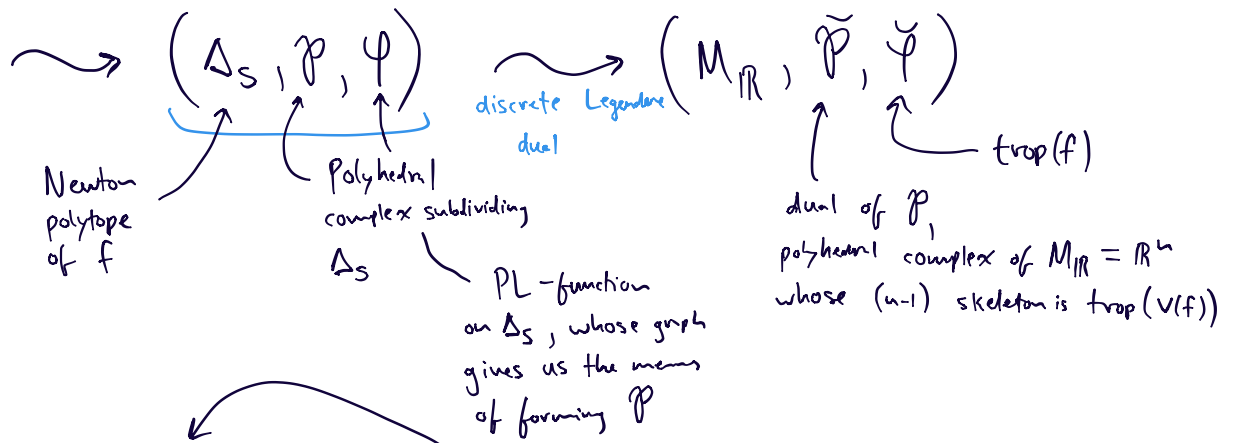
polyhedra are called cells



- Support of  $\Sigma$ ,  $|\Sigma| = \bigcup_{\sigma \in \Sigma} \sigma \subset M_{\mathbb{R}} = \mathbb{R}^n$

- $\Sigma$  is of pure-dimension  $d$  if every facet of  $\Sigma$  is of dimension  $d$ .

$f \in \mathbb{R}$

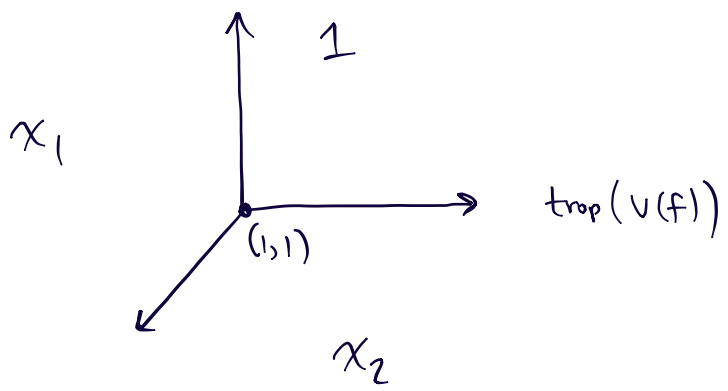


$\Delta_S := \text{conv}(S)$  in  $N_{\mathbb{R}}$  (convex hull)

Ex 1:

$f \in \mathbb{R} = K[x_1, x_2]$

$F = \text{trop}(f) = \underline{1} \oplus (0 \circ x_1) \oplus (0 \circ x_2)$



$S = \{(0,0), (1,0), (0,1)\}$

$S = \{(1,1), (1,-1), (-1,1)\}$

$f = x_1 x_2 + x_1 \frac{1}{x_2} + \frac{1}{x_1} \cdot x_2$

$\text{trop}(f)(w) = \sum_{u \in S} \text{val}(a_u) + \langle u, w \rangle$

General Procedure  $(\Delta_S, \mathcal{P}, \varphi) \rightsquigarrow (M_{\mathbb{R}}, \tilde{\mathcal{P}}, \tilde{\varphi})$

Start with a tropical polynomial  $f = \sum_{n \in S} a_n z^n$ ,  $S \subset \mathbb{N}$ ,  $|S| < \infty$

- $\Delta_S =$  Newton polytope of  $f = \bigcap_{\substack{C \subset \mathbb{N}_{\mathbb{R}} \\ C \text{ is convex} \\ \text{and} \\ S \subset C}} C = \text{conv}(S) \subset \mathbb{N}_{\mathbb{R}}$

• Define  $\varphi: \Delta_S \rightarrow \mathbb{R}$ . Convenient to move to  $\dim + = 1$ ;

-  $\tilde{S} =$  ("upper"  $S$ )  $= \{(n, a_n) \mid n \in S\}$

-  $\tilde{\Delta}_S =$  ("upper" convex hull)  $= \left\{ (n, x) \in \mathbb{N}_{\mathbb{R}} \times \mathbb{R} \mid \begin{array}{l} n \in \Delta_S \text{ and } x \geq a \\ \text{for some } a \in \mathbb{R}, \text{ s.t.} \\ (n, a) \in \text{conv}(\tilde{S}) \end{array} \right\}$

choose  $\varphi: \Delta_S \rightarrow \mathbb{R}$  to be the PL-function s.t.

$$\text{graph}(\varphi) = \partial \tilde{\Delta}_S \cap \text{conv}(\tilde{S}) = \text{"lower boundary"}$$

• Get  $\mathcal{P} =$  polyhedral decomp  $\Delta_S$ , take

$$\mathcal{P} = \left\{ \text{images of proper faces of } \tilde{\Delta}_S \text{ under } p_i: \mathbb{N}_{\mathbb{R}} \times \mathbb{R} \rightarrow \mathbb{N}_{\mathbb{R}} \right\}$$

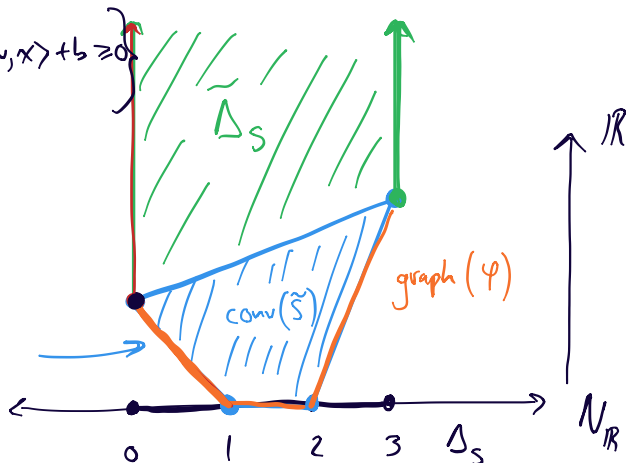
Ex:  $f = 1 \oplus (0 \oplus x) \oplus (0 \oplus x^2) \oplus (2 \oplus x^3)$ ,  $M = \mathbb{Z}$ ,  $N \cong \mathbb{Z}$

-  $S = \left\{ \frac{0}{1}, 1, 2, 3 \right\}$   $\tilde{\Delta}_S \subset \mathbb{R}^2$   $\mathbb{H} \cap \tilde{\Delta}_S = \text{face}_w(\tilde{\Delta}_S)$

$$H_{\vec{w}, b} = \{x \in \mathbb{R}^2 \mid \langle w, x \rangle + b \geq 0\}$$

-  $\Delta_S = [0, 3] \in \mathbb{N}_{\mathbb{R}}$

-  $\tilde{S} = \{(0, 1), (1, 0), (2, 0), (3, 2)\}$   
 $\text{conv}(\tilde{S})$



-  $\tilde{\Delta}_S$

$$\varphi = \begin{cases} -x+1 & x \in [0,1] \\ 0 & x \in [1,2] \\ 2x-4 & x \in [2,3] \end{cases}$$

$$- \mathcal{P} = \begin{array}{ccc} [0,1] & [1,2] & [2,3] \\ \bullet \text{---} \bullet & \bullet \text{---} \bullet & \bullet \text{---} \bullet \\ \bullet & \bullet & \bullet \\ \{0\} & \{1\} & \{2\} \end{array}$$

$\rightsquigarrow$  polyhedral decomp of  $\Delta_S$

$$(\Delta_S, \mathcal{P}, \varphi)$$

General Procedure (for dualization)

$$(M_{\mathbb{R}}, \check{\mathcal{P}}, \check{\varphi})$$

•  $M_{\mathbb{R}}$  ✓

•  $\check{\mathcal{P}} = \text{dual of } \mathcal{P} = \left\{ \check{\tau} \mid \tau \in \mathcal{P} \right\}$

$$\check{\tau} = \left\{ m \in M_{\mathbb{R}} \mid \exists a \in \mathbb{R}, \text{ s.t. } a \leq \varphi(u) + \langle m, u \rangle \right. \\ \left. \text{for all } u \in \Delta_S \text{ w/ equality } \Leftrightarrow u \in \tau \right\}$$

•  $\check{\varphi}: M_{\mathbb{R}} \rightarrow \mathbb{R}, \quad \check{\varphi}(m) = \max \left\{ a \in \mathbb{R} \mid \begin{array}{l} a \leq \varphi(u) + \langle m, u \rangle \\ \forall u \in \Delta_S \end{array} \right\}$

Ex:

$$\check{\mathcal{P}}: [0,1] \mapsto \{-1\}, \quad [1,2] \mapsto \{0\}, \quad [2,3] \mapsto \{2\}$$

$$\{0\} \mapsto (-\infty, -1]; \quad \{1\} \mapsto [-1, 0], \quad \{2\} \mapsto [0, 2], \quad \{3\} \mapsto [2, \infty)$$

$$\check{\varphi} \Big|_{(-\infty, -1]}(x) = 3x+2 \rightsquigarrow 2 \otimes x^3$$

$$\check{\psi} \Big|_{[-1,0]}(x) = 2x + 0$$

$$\check{\psi} \Big|_{[0,2]}(x) = x + 0$$

$$\check{\psi} \Big|_{[2,\infty)}(x) = 0 \cdot x + 1$$

$$\check{\psi} = 1 \oplus (0 \circ x) \oplus (0 \circ x^2) \oplus (2 \circ x^3)$$