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# On approximation of real numbers by algebraic numbers of bounded degree

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#### Abstract

Dirichlet proved that for any real irrational number  $\xi$  there exist infinitely many rational numbers p/q such that  $|\xi - p/q| < q^{-2}$ . The correct generalization to the case of approximation by algebraic numbers of degree  $\leq n, n > 2$ , is still unknown. Here we prove a result which improves all previous estimates concerning this problem for n > 2.

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# 1. Introduction

The problem of approximating real numbers by algebraic numbers is of classical interest in the theory of Diophantine approximation. In 1842 Dirichlet proved that for any real irrational number  $\xi$  there exist infinitely many rational numbers p/q such that

$$|\xi - p/q| < q^{-2}. \tag{1.1}$$

By the theorem of Hurwitz the upper bound  $q^{-2}$  can be replaced by  $1/\sqrt{5}q^{-2}$  and in some sense this result is best possible. Denote by |P| the height of a polynomial P, that is the largest absolute value of the coefficients of P. Multiplying (1.1) by q, we get  $|q\xi - p| < q^{-1}$ , and so we obtain the polynomial interpretation of Dirichlet's theorem, namely that for any real irrational number  $\xi$  there exist infinitely many polynomials P with integer coefficients of first degree such that  $|P(\xi)| \ll |P|^{-1}$ . Here  $\ll$  is the Vinogradov symbol and the implicit constant depends on  $\xi$  only.

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Let  $\mathbb{A}_n$  denote the set of algebraic numbers of degree  $\leq n$ . Using Dirichlet's box principle, it is easy to prove a more general statement which claims that for any real number  $\xi \notin \mathbb{A}_n$  there exist infinitely many polynomials P with integer coefficients of degree  $\leq n$  such that  $|P(\xi)| \ll |P|^{-n}$ , where the implicit constant depends on  $\xi$  and n only. There are also complex and p-adic analogs of this theorem. For these reasons it is very natural to suppose that (1.1) can also be generalized to the case of approximation by algebraic numbers of degree  $\leq n$ . However, finding the correct generalization turns out to be very difficult.

Denote by  $H(\alpha)$  the height of an algebraic number  $\alpha$ , that is the largest absolute value of the coefficients of its minimal polynomial. In 1961 E. Wirsing [14] made the conjecture that for any real number  $\xi \notin \mathbb{A}_n$  and any  $\varepsilon > 0$  there exist infinitely many algebraic numbers  $\alpha \in \mathbb{A}_n$  such that

$$|\xi - \alpha| \ll H(\alpha)^{-n-1+\varepsilon},\tag{1.2}$$

where the implicit constant should depend on  $\xi$ , n, and  $\varepsilon$  only. Later W.M. Schmidt [7] conjectured that the optimal exponent in (1.2) is -n - 1. These conjectures have not been resolved except in some special cases. V.G. Sprindžuk [8] showed that the conjecture of Wirsing is true for almost all real numbers. In [14] E. Wirsing also proved that for any real number  $\xi \notin A_n$  there exist infinitely many algebraic numbers  $\alpha \in A_n$  such that  $|\xi - \alpha| \ll H(\alpha)^{-C(n)}$ , where  $\lim_{n\to\infty} (C(n) - n/2) = 2$  and the implicit constant depends on  $\xi$  and n only. He considered the complex case as well (the *p*-adic analog is contained in [6]). The basic idea consists in constructing infinitely many pairs of polynomials with integer coefficients of degree  $\leq n$  that have "small" absolute values at  $\xi$  and have no common root. Considering their resultant gives us the desired result.

Another approach to this problem was described by H. Davenport and W.M. Schmidt [2]. They considered linearly independent linear forms  $L(\mathbf{X})$  and  $M(\mathbf{X})$  in three variables  $\mathbf{X} = (x_1, x_2, x_3)$  and proved that there are infinitely many integer points  $\mathbf{X}$  such that

$$|L(\mathbf{X})| \ll |M(\mathbf{X})| |\mathbf{X}|^{-3},$$

where  $[\mathbf{X}] = \max(|x_1|, |x_2|, |x_3|)$  and the implicit constant depends on *L* and *M* only. To deduce the conjecture for n = 2, one has to put  $L(\mathbf{X}) = x_1\xi^2 + x_2\xi + x_3$ ,  $M(\mathbf{X}) = 2x_1\xi + x_2$  and use the well-known inequality

$$|\xi - \alpha| \leqslant n \frac{|G(\xi)|}{|G'(\xi)|},\tag{1.3}$$

where *G* is a polynomial of degree *n* and  $\alpha$  is the root of *G* closest to  $\xi$ . In [3,4] (see also [7]) H. Davenport and W.M. Schmidt obtained generalizations of their theorem. Unfortunately, these generalizations do not help to solve the problem for n > 2. Moreover, the investigations of these authors revealed a fundamental impossibility to proving the conjecture for n > 2 using arbitrary linear forms. In fact, in [3] they also showed that for any  $k \ge 1$  and any  $n \ge k + 2$  there exist linearly independent linear forms  $L(\mathbf{X}), M_1(\mathbf{X}), \ldots, M_k(\mathbf{X})$  in *n* variables  $\mathbf{X} = (x_1, \ldots, x_n)$  such that for every  $\varepsilon > 0$  and every integer point  $\mathbf{X}$  the following inequality holds:

$$|L(\mathbf{X})| \gg \max(|M_1(\mathbf{X})|, \dots, |M_k(\mathbf{X})|) |\mathbf{X}|^{-k-2-\varepsilon},$$

where  $\overline{\mathbf{X}} = \max(|x_1|, \dots, |x_n|)$  and the implicit constant depends on  $\varepsilon$  only. For a long period after this there were no new ideas or methods presented to help resolve the conjecture for n > 2 or even to improve the theorem of Wirsing.

In 1993 V.I. Bernik and K.I. Tsishchanka [1] obtained an improvement of Wirsing's result. They proved that for any real number  $\xi \notin \mathbb{A}_n$  there exist infinitely many algebraic numbers  $\alpha \in \mathbb{A}_n$  such that  $|\xi - \alpha| \ll H(\alpha)^{-B(n)}$ , where  $\lim_{n\to\infty} (B(n) - n/2) = 3$  and the implicit constant depends on  $\xi$  and n only. The essence of the proof is to construct infinitely many polynomials Q with integer coefficients of degree  $\leq n$  such that either  $|Q(\xi)| \ll \overline{Q}|^{-\frac{n+\delta}{1+\delta}}$  and  $|Q'(\xi)| \gg \overline{Q}$  or  $|Q(\xi)| \ll \overline{Q}|^{-n-\delta}$ , where  $\delta$  is some positive number. In the first case we use (1.3), whereas in the second case we apply Wirsing's method. Choosing the optimal value of  $\delta$ , we get the desired result. The complex and p-adic analogs of this theorem are contained in [11] and [12], respectively.

In 1996 a new approach to this problem was introduced which became a useful starting point for further investigations. The first announcement of it was made in [9]. The paper [10] contains the most comprehensive description of the method. The idea can be interpreted in the following way. We first construct a sequence of *n*-tuples of linearly independent auxiliary polynomials with integer coefficients of degree  $\leq n$  that have "small" absolute values at a given point. Then using them, we construct infinitely many polynomials with properties similar to Q from above.

In this paper, we develop the described method and prove a result which improves all previous estimates concerning the real case of this problem for n > 2.

**Theorem.** Let *n* be an integer at least 3. Then for any real number  $\xi \notin \mathbb{A}_n$  there exist infinitely many algebraic numbers  $\alpha \in \mathbb{A}_n$  such that  $|\xi - \alpha| \ll H(\alpha)^{-A(n)}$ , where A(n) is the largest real root of the polynomial

$$T(x) = \begin{cases} 4x^5 - (4n+18)x^4 + (n^2+11n+30)x^3 \\ -(2n^2+10n+22)x^2 + (2n^2+7n+4)x \\ +n^2 - 5n + 2 \\ 2x^5 - (n+12)x^4 + (2n+30)x^3 + (2n-41)x^2 \\ -(3n-29)x + 2n - 10 \end{cases} \quad if n > 5.$$
(1.4)

The implicit constant depends on  $\xi$  and n only.

It can be shown (see Lemma 10.1) that

$$\lim_{n \to \infty} (A(n) - n/2) = 4.$$

Table 1 contains the approximate values of C(n), B(n), and A(n) corresponding to Wirsing's theorem, the theorem from [1] and the theorem above, respectively.

From now on, *n* is a fixed integer at least 3. To shorten notation, we continue to write *A* instead of A(n). The proof of the theorem will be indirect. Without loss of generality we can confine ourselves to the range  $0 < \xi < 1/4$ . So we assume that there exists a real number  $\xi \notin A_n$  in this range with the property that for any  $c_1 > 0$  there is  $H_1 > 0$  such that for all  $\alpha \in A_n$  with  $H(\alpha) > H_1$  we have

$$|\xi - \alpha| > c_1 H(\alpha)^{-A}. \tag{1.5}$$

n	C(n)	B(n)	A(n)
3	3.28	3.5	3.73
4	3.82	4.12	4.45
5	4.35	4.71	5.14
6	4.87	5.28	5.76
7	5.39	5.84	6.36
8	5.90	6.39	6.93
9	6.41	6.93	7.50
10	6.92	7.47	8.06
50	26.98	27.84	28.70
100	51.99	52.92	53.84

This will ultimately lead to a contradiction, and the theorem will follow. A detailed description of the idea of the proof will be given at the end of Section 4 after the key lemmas and constructions are introduced in Sections 2 and 3.

#### 2. Auxiliary lemmas

In this section, we recall some relevant lemmas from [5,10,13].

**Lemma 2.1.** (See [10, Lemma 3.1].) Let  $G(x) = g_n x^n + \dots + g_1 x + g_0$  be a polynomial with integer coefficients such that  $|G(\xi)| < 1/2$ . Then there is an index  $j \in \{1, \dots, n\}$  such that  $|g_j| = \overline{G}$ .

**Lemma 2.2.** (See [10, Lemma 3.2].) Let G be a polynomial and j an index as in Lemma 2.1. Suppose  $|g_i| \leq \xi^{n-1}[G]$  for every  $i \in \{1, ..., n\} \setminus \{j\}$ . Then  $|G'(\xi)| > \xi^{n-1}[G]$ .

**Lemma 2.3.** (See [5, Lemma 2].) Let  $G, G_1, \ldots, G_k$  be polynomials such that  $G = G_1 \cdots G_k$ and deg  $G = \ell$ . Then

$$e^{-\ell}[\overline{G_1}]\cdots \overline{G_k} \leqslant \overline{G} \leqslant (\ell+1)^{k-1}[\overline{G_1}]\cdots \overline{G_k}].$$
 (2.1)

**Lemma 2.4.** (See [10, Lemma 3.6].) Let  $G_1$ ,  $G_2$  be polynomials with integer coefficients of degree  $\leq \ell$ . Let  $G_1$  be irreducible over  $\mathbb{Z}$  and  $\overline{G_1} > e^{\ell} \overline{G_2}$ . Then  $G_1$  and  $G_2$  have no common root.

**Lemma 2.5.** Let  $G_1, G_2 \in \mathbb{Z}[x]$  be polynomials with deg  $G_1 = \ell$ , deg  $G_2 = m$ ,  $1 \leq \ell, m \leq n$ . Suppose that  $G_1$  and  $G_2$  have no common root. If  $\ell m \geq 2$ , then at least one of the following estimates is true:

(i) 
$$1 < c_2 \max\{|G_1(\xi)|, |G_2(\xi)|\}^2 \max\{\overline{|G_1|}, \overline{|G_2|}\}^{m+\ell-2},$$
  
(ii)  $1 < c_2 \max\{|G_1(\xi)||G_1'(\xi)||G_2'(\xi)|, |G_2(\xi)||G_1'(\xi)|^2\}\overline{|G_1|^{m-2}|G_2|^{\ell-1}},$   
(iii)  $1 < c_2 \max\{|G_2(\xi)||G_1'(\xi)||G_2'(\xi)|, |G_1(\xi)||G_2'(\xi)|^2\}\overline{|G_1|^{m-1}|G_2|^{\ell-2}},$  (2.2)

where

$$c_2 = (2n)! ((n+1)!)^{2n-2}.$$

If  $\ell = m = 1$ , then

$$1 \leq 2 \max\{ \left| G_1(\xi) \right|, \left| G_2(\xi) \right| \} \max\{ \overline{G_1}, \overline{G_2} \}.$$

$$(2.3)$$

**Proof.** The first part of this lemma was already proved in [10] (see Lemma 3.4). To obtain (2.3), we put  $G_1(x) = g_1^{(1)}x + g_0^{(1)}$ ,  $G_2(x) = g_1^{(2)}x + g_0^{(2)}$ . Then

$$1 \leqslant \left\| \begin{array}{c} g_1^{(2)} & g_0^{(2)} \\ g_1^{(1)} & g_0^{(1)} \end{array} \right\| = \left\| \begin{array}{c} g_1^{(2)} & G_2(\xi) \\ g_1^{(1)} & G_1(\xi) \end{array} \right\| \leqslant 2 \max\{ \left| G_1(\xi) \right|, \left| G_2(\xi) \right| \} \max\{ \overline{|G_1|}, \overline{|G_2|} \}.$$

Lemma 2.6. (See [13, Theorem 1].) Let

$$\Lambda_{\mu}(\mathbf{X}) = \sum_{\nu=1}^{m} g_{\mu\nu} x_{\nu}, \quad \mu = 1, \dots, k,$$

be k linear forms in m variables  $\mathbf{X} = (x_1, \ldots, x_m)$ . Suppose that the forms  $\Lambda_{\mu}$  are real for  $\mu = 1, \ldots, p$  and that the remaining forms consist of q pairs of complex conjugate forms arranged so that  $\Lambda_{p+2t-1} = \overline{\Lambda}_{p+2t}$  for  $t = 1, \ldots, q$ . Let also S be a positive integer and suppose that

$$S^{2}\left\{\prod_{\nu=1}^{m}\alpha_{\nu}^{-2}\right\}\left\{\prod_{\mu=1}^{k}\left(1+\beta_{\mu}^{-2}\sum_{\eta=1}^{m}\alpha_{\eta}^{2}|g_{\mu\eta}|^{2}\right)\right\}\leqslant1,$$

where  $\alpha_{\nu} \ge 1$  for  $\nu = 1, ..., m$ ,  $\beta_{\mu} > 0$  for  $\mu = 1, ..., k$ , and  $\beta_{p+2t-1} = \beta_{p+2t}$  for t = 1, ..., q. Then there exist S distinct pairs of nonzero lattice points

$$\pm \mathbf{V}_s = \pm \begin{pmatrix} v_{1s} \\ \vdots \\ v_{ms} \end{pmatrix}, \quad s = 1, \dots, S,$$

in  $\mathbb{Z}^m$  each of which satisfies the following conditions:

$$\begin{aligned} \left| \Lambda_{\mu}(\pm \mathbf{V}_{s}) \right| &\leq \beta_{\mu}, \quad \mu = 1, \dots, p, \\ \left| \Lambda_{\mu}(\pm \mathbf{V}_{s}) \right| &\leq \left( \frac{2}{\pi} \right)^{1/2} \beta_{\mu}, \quad \mu = p + 1, \dots, k, \\ \left| v_{\nu s} \right| &\leq \alpha_{\nu}, \quad \nu = 1, \dots, m. \end{aligned}$$

# 3. Construction of auxiliary polynomials

In this section, the word "polynomial" shall mean a nonzero polynomial with integer coefficients of degree  $\leq n$ . Put

$$c_3 = \max(\xi^{-n+1}, n!).$$

We first construct a sequence of polynomials  $P_i$  such that

294

(i) 
$$\frac{1}{2} > |P_1(\xi)| > c_3 |P_2(\xi)| > \cdots > c_3^{i-1} |P_i(\xi)| > \cdots,$$

(ii) 
$$\overline{P_1} < \overline{P_2} < \dots < \overline{P_i} < \dots,$$
  
(iii) for any polynomial  $P$  with  $\overline{P} < \overline{P_{i+1}}$  we have  $|P(\xi)| \ge c_3^{-1} |P_i(\xi)|.$  (3.1)

Fix some  $h \ge 1$ . Consider the set of polynomials P with  $|P| \le h$ . Their values at  $\xi$  are distinct. Hence there is a unique (up to the sign) polynomial  $P_1$  with  $P_1 \leq h$  and minimal absolute value at  $\xi$ . Now increase h until a polynomial  $P_2$  with  $P_2 \leqslant h$ ,  $|P_2(\xi)| < c_3^{-1}|P_1(\xi)|$  appears. If there are several polynomials of this kind, pick one with minimal absolute value at  $\xi$ , etc. Repeating this process, we obtain the sequence of polynomials (3.1).

For any integer i > 1 we set  $Q_i^{(0)}(x) = P_i(x)$ . Write

$$Q_i^{(0)}(x) = b_n^{(0)} x^n + \dots + b_1^{(0)} x + b_0^{(0)}.$$

By Lemma 2.1 there is an index  $j_1 \in \{1, ..., n\}$  such that  $|b_{j_1}^{(0)}| = \boxed{Q_i^{(0)}}$ . We now successively construct polynomials  $Q_i^{(0)}, ..., Q_i^{(n-1)}$  and distinct integers  $j_1, ..., j_n$ 

from  $\{1, \ldots, n\}$ . Write

$$Q_i^{(\ell)}(x) = b_n^{(\ell)} x^n + \dots + b_1^{(\ell)} x + b_0^{(\ell)} \quad (\ell = 0, \dots, n-1).$$

The polynomials  $Q_i^{(\ell)}$  and the integers  $j_{\ell+1}$  (which we call the *indices of the Q<sub>i</sub>-system*) will have the following properties:

(i)  $|Q_i^{(\ell)}(\xi)| < c_3^{-1} |P_{i-1}(\xi)|,$ 

(ii) 
$$|b_{j_{\mu}}^{(\ell)}| \leq c_{3}^{-1} |Q_{i}^{(\mu-1)}| \quad (\mu = 1, \dots, \ell),$$

(iii) 
$$|b_{j_{\ell+1}}^{(\ell)}| = \overline{\mathcal{Q}_i^{(\ell)}},$$
  
(iv)  $\overline{\mathcal{Q}_i^{(\ell)}} \leqslant c_3^{\frac{\ell+1}{n-\ell}} \left( |P_{i-1}(\xi)| \prod_{\nu=0}^{\ell-1} \overline{\mathcal{Q}_i^{(\nu)}} \right)^{-\frac{1}{n-\ell}}$ 
(3.2)

(if  $\ell = 0$ , we have (3.2)(i) and (3.2)(iii) only). Moreover, if for some  $\ell$  with  $0 \le \ell \le n - 1$  there is a polynomial  $Q(x) = b_n x^n + \dots + b_1 x + b_0$  satisfying

$$|Q(\xi)| < c_3^{-1} |P_{i-1}(\xi)|,$$
  
 $|b_{j\mu}| \le c_3^{-1} \overline{|Q_i^{(\mu-1)}|} \quad (\mu = 1, \dots, \ell)$ 

(if  $\ell = 0$ , we have  $|Q(\xi)| < c_3^{-1} |P_{i-1}(\xi)|$  only), then  $\overline{|Q|} \ge \overline{|Q_i^{(\ell)}|}$ . In other words,  $Q_i^{(\ell)}$  has minimum height among polynomials with (3.2)(i) and (3.2)(ii). We call this *the minimality property* of  $Q_i^{(\ell)}$ .

The pair  $(Q_i^{(0)}, j_1)$  has the desired properties. Let t be some integer with  $0 \le t < n-1$ and suppose  $(Q_i^{(0)}, j_1), \ldots, (Q_i^{(t)}, j_{t+1})$  have been constructed so that (3.2)(i)–(iv) and the minimality property hold, and  $j_1, \ldots, j_{t+1}$  are distinct integers in  $\{1, \ldots, n\}$ . We now construct  $(Q_i^{(t+1)}, j_{t+2})$ . By Minkowski's theorem on linear forms there is a polynomial  $Q_i^{(t+1)}(x) = b_n^{(t+1)}x^n + \cdots + b_1^{(t+1)}x + b_0^{(t+1)}$  having

(i) 
$$|Q_{i}^{(t+1)}(\xi)| < c_{3}^{-1} |P_{i-1}(\xi)|,$$
  
(ii)  $|b_{j\mu}^{(t+1)}| \leq c_{3}^{-1} \overline{|Q_{i}^{(\mu-1)}|} \quad (\mu = 1, \dots, t+1),$   
(iii)  $|b_{\eta}^{(t+1)}| \leq c_{3}^{\frac{t+2}{n-t-1}} \left( |P_{i-1}(\xi)| \prod_{\nu=0}^{t} \overline{|Q_{i}^{(\nu)}|} \right)^{-\frac{1}{n-t-1}}$ 
(3.3)

for all  $\eta \in \{1, ..., n\} \setminus \{j_1, ..., j_{t+1}\}$ . If there are several polynomials of this kind, pick one whose height is minimal. By Lemma 2.1 there is an index  $j_{t+2} \in \{1, ..., n\}$  such that

$$|b_{j_{t+2}}^{(t+1)}| = \overline{Q_i^{(t+1)}}.$$
 (3.4)

We show that

$$j_{t+2} \in \{1, \dots, n\} \setminus \{j_1, \dots, j_{t+1}\},$$
(3.5)

that is  $j_1, \ldots, j_{t+2}$  are distinct integers in  $\{1, \ldots, n\}$ . In fact, by the minimality property we have  $\boxed{Q_i^{(\mu-1)}} \leq \boxed{Q_i^{(t+1)}}$  for  $\mu = 1, \ldots, t+1$ . Thanks to this, (3.3)(ii), (3.4) and the definition of  $c_3$  we get

$$|b_{j_{\mu}}^{(t+1)}| \leq c_{3}^{-1} \overline{|\mathcal{Q}_{i}^{(\mu-1)}|} < \overline{|\mathcal{Q}_{i}^{(\mu-1)}|} \leq \overline{|\mathcal{Q}_{i}^{(t+1)}|} = |b_{j_{t+2}}^{(t+1)}|,$$

so  $|b_{j_{\mu}}^{(t+1)}| < |b_{j_{t+2}}^{(t+1)}|$  for  $\mu = 1, ..., t + 1$ . This gives (3.5). Finally, (3.2)(iv) with  $\ell = t + 1$  follows from (3.3)(iii), (3.4) and (3.5). The arguments above imply that (3.2)(i)–(iv) with  $\ell = t + 1$  and the minimality property hold for  $(Q_i^{(t+1)}, j_{t+2})$  and  $j_1, ..., j_{t+2}$  are distinct integers in  $\{1, ..., n\}$ . In this way  $(Q_i^{(0)}, j_1), ..., (Q_i^{(n-1)}, j_n)$  are constructed.

By (3.1)(ii) and the minimality property we have

$$\overline{P_{i-1}} < \overline{Q_i^{(0)}} \leqslant \overline{Q_i^{(1)}} \leqslant \cdots \leqslant \overline{Q_i^{(n-1)}}.$$
(3.6)

**Lemma 3.1.** For any integer i > 1 the polynomials  $P_{i-1}, Q_i^{(0)}, \ldots, Q_i^{(n-2)}$  are linearly independent.

Proof. Put

$$D = \begin{vmatrix} P_{i-1}(\xi) & Q_i^{(0)}(\xi) & \dots & Q_i^{(n-2)}(\xi) \\ a_{j_1} & b_{j_1}^{(0)} & \dots & b_{j_1}^{(n-2)} \\ \vdots & \vdots & & \vdots \\ a_{j_{n-1}} & b_{j_{n-1}}^{(0)} & \dots & b_{j_{n-1}}^{(n-2)} \end{vmatrix}, \qquad d = \begin{vmatrix} b_{j_1}^{(0)} & \dots & b_{j_1}^{(n-2)} \\ \vdots & & \vdots \\ b_{j_{n-1}}^{(0)} & \dots & b_{j_{n-1}}^{(n-2)} \end{vmatrix},$$

where  $a_{j_1}, \ldots, a_{j_{n-1}}$  are the coefficients of  $P_{i-1}$ . Let  $\mu$  and  $\ell$  be some integers with  $1 \leq \mu \leq \ell \leq n-2$ . By (3.2)(ii), (3.6) and the definition of  $c_3$  we have

$$\left|b_{j_{\mu}}^{(\ell)}\right| \leqslant c_{3}^{-1} \left|\mathcal{Q}_{i}^{(\mu-1)}\right| \leqslant c_{3}^{-1} \left|\mathcal{Q}_{i}^{(\ell)}\right| \leqslant \frac{1}{n!} \left|\mathcal{Q}_{i}^{(\ell)}\right|,$$

hence

$$|d| > \prod_{\nu=0}^{n-2} |b_{j_{\nu+1}}^{(\nu)}| - \frac{1}{n} \prod_{\nu=0}^{n-2} \left[ \mathcal{Q}_i^{(\nu)} \right] = \frac{n-1}{n} \prod_{\nu=0}^{n-2} \left[ \mathcal{Q}_i^{(\nu)} \right]$$
(3.7)

by (3.2)(iii). On the other hand, by (3.6) the absolute values of other minors from the last n-1 rows of D are  $< (n-1)! \prod_{\nu=0}^{n-2} \left[ Q_i^{(\nu)} \right]$ . By this, (3.2)(i), (3.7) and the definition of  $c_3$  we get

$$\begin{split} |D| &> \frac{n-1}{n} \left| P_{i-1}(\xi) \right| \prod_{\nu=0}^{n-2} \left[ \mathcal{Q}_i^{(\nu)} \right] - (n-1)! \left( \sum_{\nu=0}^{n-2} \left| \mathcal{Q}_i^{(\nu)}(\xi) \right| \right) \prod_{\nu=0}^{n-2} \left[ \mathcal{Q}_i^{(\nu)} \right] \\ &> \frac{n-1}{n} \left| P_{i-1}(\xi) \right| \prod_{\nu=0}^{n-2} \left[ \mathcal{Q}_i^{(\nu)} \right] - \frac{n-1}{n} \left| P_{i-1}(\xi) \right| \prod_{\nu=0}^{n-2} \left[ \mathcal{Q}_i^{(\nu)} \right] \\ &= 0. \end{split}$$

So,  $D \neq 0$ , therefore the polynomials  $P_{i-1}, Q_i^{(0)}, \dots, Q_i^{(n-2)}$  are linearly independent.  $\Box$ 

# 4. Construction of polynomials $L_{i,\tau}$

Let *i* and  $\tau$  be integers greater than 1 and let  $\kappa_1, \ldots, \kappa_{n-1}$  be the indices of the  $Q_{\tau}$ -system. Consider the following system of *n* inequalities:

$$\begin{vmatrix} P_{i-1}(\xi)x_1 + \sum_{\nu=0}^{n-2} Q_i^{(\nu)}(\xi)x_{\nu+2} \end{vmatrix} \leq (2n)^{n/2} c_3^{n-1} |P_{i-1}(\xi)| \prod_{\nu=0}^{n-2} \left[ Q_i^{(\nu)} \right] \prod_{\nu=0}^{n-2} \left[ Q_{\tau}^{(\nu)} \right]^{-1}, \\ \begin{vmatrix} a_{\kappa_1} x_1 + \sum_{\nu=0}^{n-2} b_{\kappa_1}^{(\nu)} x_{\nu+2} \end{vmatrix} \leq c_3^{-1} \left[ Q_{\tau}^{(0)} \right], \\ \vdots \\ \begin{vmatrix} a_{\kappa_{n-1}} x_1 + \sum_{\nu=0}^{n-2} b_{\kappa_{n-1}}^{(\nu)} x_{\nu+2} \end{vmatrix} \leq c_3^{-1} \left[ Q_{\tau}^{(n-2)} \right].$$

$$(4.1)$$

**Lemma 4.1.** The system (4.1) has a nonzero integer solution  $(\tilde{x}_1, \ldots, \tilde{x}_n)$  which satisfies the following conditions:

$$|\tilde{x}_1| \leq R \overline{|P_{i-1}|}^{-1}, \qquad |\tilde{x}_{\nu}| \leq R \overline{|Q_i^{(\nu-2)}|}^{-1} \quad (\nu = 2, \dots, n),$$
(4.2)

where

$$R = \max\left\{2^{n/2}n^{(n-1)/2}c_3^{n-1}\prod_{\nu=0}^{n-2}\left[\overline{\mathcal{Q}_i^{(\nu)}}\right]\prod_{\nu=0}^{n-2}\left[\overline{\mathcal{Q}_\tau^{(\nu)}}\right]^{-1}\left[\overline{P_{i-1}}\right], \ n^{-1/2}c_3^{-1}\left[\overline{\mathcal{Q}_\tau^{(n-2)}}\right]\right\}.$$
 (4.3)

**Proof.** We apply Lemma 2.6 with S = 1, k = m = p = n, q = 0 and

$$\begin{aligned} \alpha_{1} &= R \overline{\left[P_{i-1}\right]^{-1}}, \qquad \alpha_{\nu} = R \overline{\left[Q_{i}^{(\nu-2)}\right]^{-1}} \quad (\nu = 2, ..., n), \\ \beta_{1} &= (2n)^{n/2} c_{3}^{n-1} \left|P_{i-1}(\xi)\right| \prod_{\nu=0}^{n-2} \overline{\left[Q_{i}^{(\nu)}\right]} \prod_{\nu=0}^{n-2} \overline{\left[Q_{\tau}^{(\nu)}\right]^{-1}}, \\ \beta_{\mu} &= c_{3}^{-1} \overline{\left[Q_{\tau}^{(\mu-2)}\right]} \quad (\mu = 2, ..., n), \\ g_{11} &= P_{i-1}(\xi), \qquad g_{1\nu} = Q_{i}^{(\nu-2)}(\xi) \quad (\nu = 2, ..., n), \\ g_{\mu1} &= a_{\kappa_{\mu-1}} \quad (\mu = 2, ..., n), \\ g_{\mu\nu} &= b_{\kappa_{\mu-1}}^{(\nu-2)} \quad (\nu = 2, ..., n, \ \mu = 2, ..., n). \end{aligned}$$
(4.4)

We claim that

$$\left\{\prod_{\nu=1}^{n} \alpha_{\nu}^{-2}\right\} \left\{\prod_{\mu=1}^{n} \left(1 + \beta_{\mu}^{-2} \sum_{\eta=1}^{n} \alpha_{\eta}^{2} |g_{\mu\eta}|^{2}\right)\right\} \leqslant 1.$$
(4.5)

In fact, by (4.4) we get

$$\prod_{\nu=1}^{n} \alpha_{\nu}^{-2} = R^{-2n} \overline{[P_{i-1}]^2} \prod_{\nu=0}^{n-2} \overline{[Q_i^{(\nu)}]^2}.$$
(4.6)

To estimate the second term of (4.5), we note that by (3.2)(i), (3.6) and (4.4) we have

 $\alpha_\eta \leqslant \alpha_1, \quad |g_{1\eta}| \leqslant |g_{11}| \quad \text{and} \quad \alpha_\eta |g_{\mu\eta}| \leqslant R,$ 

where  $\eta = 1, ..., n$  and  $\mu = 2, ..., n$ . Therefore

$$\prod_{\mu=1}^{n} \left( 1 + \beta_{\mu}^{-2} \sum_{\eta=1}^{n} \alpha_{\eta}^{2} |g_{\mu\eta}|^{2} \right) = \left( 1 + \beta_{1}^{-2} \sum_{\eta=1}^{n} \alpha_{\eta}^{2} |g_{1\eta}|^{2} \right) \prod_{\mu=2}^{n} \left( 1 + \beta_{\mu}^{-2} \sum_{\eta=1}^{n} \alpha_{\eta}^{2} |g_{\mu\eta}|^{2} \right)$$
$$\leq \left( 1 + n\beta_{1}^{-2} \alpha_{1}^{2} |g_{11}|^{2} \right) \prod_{\mu=2}^{n} \left( 1 + n\beta_{\mu}^{-2} R^{2} \right). \tag{4.7}$$

We also note that

$$R = \max\left\{n^{-1/2}\beta_1 \left| P_{i-1} \right| \left| P_{i-1}(\xi) \right|^{-1}, \ n^{-1/2}\beta_n\right\}$$

by (4.3) and (4.4). From this, (3.6) and (4.4) it follows that

$$n\beta_1^{-2}\alpha_1^2|g_{11}|^2 = n\beta_1^{-2}R^2 \overline{|P_{i-1}|^{-2}} |P_{i-1}(\xi)|^2 \ge 1$$

and

$$n\beta_{\mu}^{-2}R^2 \ge n\beta_n^{-2}R^2 \ge 1 \quad (\mu = 2, \dots, n).$$

Applying these inequalities to (4.7) and then using (4.4), we obtain

$$\prod_{\mu=1}^{n} \left( 1 + \beta_{\mu}^{-2} \sum_{\eta=1}^{n} \alpha_{\eta}^{2} |g_{\mu\eta}|^{2} \right) \leq 2n\beta_{1}^{-2} \alpha_{1}^{2} |g_{11}|^{2} \prod_{\mu=2}^{n} \left( 2n\beta_{\mu}^{-2} R^{2} \right) = R^{2n} \overline{P_{i-1}}^{-2} \prod_{\nu=0}^{n-2} \overline{Q_{i}^{(\nu)}}^{-2}$$

This and (4.6) give (4.5).  $\Box$ 

We now are in the right position to outline the proof of the theorem. Consider the following polynomial:

$$L_{i,\tau}(x) = P_{i-1}(x)\tilde{x}_1 + \sum_{\nu=0}^{n-2} Q_i^{(\nu)}(x)\tilde{x}_{\nu+2}, \qquad (4.8)$$

where, as before,  $(\tilde{x}_1, \ldots, \tilde{x}_n)$  is a nonzero integer solution of the system (4.1) which satisfies (4.2). From this and Lemma 3.1 it follows that  $L_{i,\tau}$  is nonzero and has integer coefficients. The main goal of the next four sections is to prove that there is an integer  $k_0$  such that if  $i > \tau \ge k_0$ and

$$\boxed{P_{i-1}} \leqslant c_4 \boxed{P_{\tau}},\tag{4.9}$$

where

$$c_4 = \left(2(2n)^{n/2}c_3^n\right)^{\frac{A-2}{n-1}},$$

then

$$|L_{i,\tau}(\xi)| < |L'_{i,\tau}(\xi)|^{-A+1}.$$
 (4.10)

To this end we first deduce the upper bounds for  $|P_{i-1}(\xi)|$ ,  $\prod_{\nu=0}^{n-2} Q_i^{(\nu)}$  and  $Q_i^{(n-2)}$  (see Section 5). Using these estimates and Lemma 4.1, we obtain the upper bounds for  $|L_{i,\tau}(\xi)|$  and  $|L'_{i,\tau}(\xi)|$  in Section 6. To prove (4.10), we also need the lower bounds for  $|P_{i-1}(\xi)|$  which we

derive in Section 7. In Section 8, we combine all these estimates and deduce (4.10). In Section 9, we use (4.10) to prove that

$$|P_{\tau-1}(\xi)| \prod_{\nu=0}^{n-2} \overline{[Q_{\tau}^{(\nu)}]} \leq (2n)^{n/2} c_3^n |P_{i-1}(\xi)| \prod_{\nu=0}^{n-2} \overline{[Q_{i}^{(\nu)}]}$$

and then we show that this leads us to a contradiction. This will complete the proof of the theorem. Finally, the last section contains the necessary explanations concerning the calculations from this paper.

# 5. Upper bounds for $|P_{i-1}(\xi)|$ , $\prod_{\nu=0}^{n-2} Q_i^{(\nu)}$ and $Q_i^{(n-2)}$

Before we deduce the estimates, several observations are necessary. It follows from (1.3) and (1.5) that there exists  $c_5$  with  $0 < c_5 < 1$  such that

$$\left|G'(\xi)\right| < c_5^{-1} \left|G(\xi)\right| \overline{G}^A \tag{5.1}$$

for any  $G \in \mathbb{Z}[x]$ ,  $G \neq 0$ , deg  $G \leq n$ . Put

$$\omega = \omega(n) = (n-1)\frac{A-1}{A-2}$$

Note that  $\omega$  is well defined by (10.1)(iii). Put also

$$c_{6} = c_{2}^{1/2} \left( \xi^{n-1} c_{3}^{1-n(A-1)} c_{5} \right)^{-\frac{1}{A-2}} e^{n\omega},$$
  

$$c_{7} = \min\{ \left| P(\xi) \right| \colon P \in \mathbb{Z}[x], \ P \neq 0, \ \deg P \leqslant n, \ \overline{P} \leqslant \max\{c_{6}, e^{n} \overline{P_{1}} \} \}$$

and

$$c_{8} = \max\left\{\xi^{-n+1} (2n)^{n(A-1)/2} c_{3}^{(2n-1)(A-1)-1} c_{4}^{(n-1)(A-1)}, \\ c_{6}^{2} \max\left\{c_{5}^{-2} c_{6}^{2}, c_{7}^{-1}\right\}^{n-1} e^{n(2n-1)}\right\}.$$
(5.2)

It follows from (1.3) and (1.5) that there exists  $H_2 > 0$  such that

$$\left|G'(\xi)\right| < c_8^{-1} \left|G(\xi)\right| \overline{G}\right|^A \tag{5.3}$$

for any  $G \in \mathbb{Z}[x]$ , deg  $G \leq n$ ,  $\overline{G} > H_2$ . From now on,  $H_2$  is a fixed number. By (5.1) and (5.3) we have

$$\left|G'(\xi)\right| < \delta^{-1} \left|G(\xi)\right| \overline{G}\right|^A \tag{5.4}$$

for any  $G \in \mathbb{Z}[x]$ ,  $G \neq 0$ , deg  $G \leq n$ , where

$$\delta = \delta(G) = \begin{cases} c_5 & \text{if } \overline{G} \leqslant H_2, \\ c_8 & \text{if } \overline{G} > H_2. \end{cases}$$

**Lemma 5.1.** Let i be an integer > 1. Then

$$\left|P_{i-1}(\xi)\right| < \left(\xi^{n-1}c_3^{1-n(A-1)}\delta\right)^{-\frac{1}{A-2}} \overline{\left|P_i\right|}^{-\omega},\tag{5.5}$$

where  $\delta = \delta(P_i)$ . Suppose  $i_0$  is an integer > 1 such that  $\overline{P_{i_0}} > H_2$ . Then for any  $i \ge i_0$  we have

(i) 
$$|P_{i-1}(\xi)| < \overline{P_i}|^{-\omega}$$
,  
(ii)  $|P_{i-1}(\xi)| < \overline{P_i}|^{-n}$ ,  
(iii)  $\prod_{\nu=0}^{n-2} \overline{Q_i^{(\nu)}} < (2n)^{-n/2} (c_3 c_4)^{-n+1} |P_{i-1}(\xi)|^{-\frac{A-2}{A-1}}$ ,  
(iv)  $\overline{Q_i^{(n-2)}} < |P_{i-1}(\xi)|^{-\frac{A-2}{A-1}} \overline{P_i}|^{-n+2}$ . (5.6)

Suppose *i* and  $\tau$  are integers such that  $i > \tau \ge i_0$  and (4.9) holds. Then

$$\left| Q_{\tau}^{(n-2)} \right| < \left| P_{i-1}(\xi) \right|^{-\frac{A-2}{A-1}} \left| \overline{P_{i-1}} \right|^{-n+2}.$$
(5.7)

**Proof.** We first note that  $Q_i^{(n-1)}$  satisfies the conditions of Lemma 2.2 by (3.2)(ii) with  $\ell = n - 1$ , (3.6) and the definition of  $c_3$ . From this lemma, (3.2)(i) and (5.4) it follows that

$$\xi^{n-1} \overline{\left[ \mathcal{Q}_i^{(n-1)} \right]} < \left| \mathcal{Q}_i^{(n-1)'}(\xi) \right| < \delta^{-1} \left| \mathcal{Q}_i^{(n-1)}(\xi) \right| \overline{\left[ \mathcal{Q}_i^{(n-1)} \right]^A} < c_3^{-1} \delta^{-1} \left| P_{i-1}(\xi) \right| \overline{\left[ \mathcal{Q}_i^{(n-1)} \right]^A},$$

where  $\delta = \delta(Q_i^{(n-1)})$ . Hence

$$\left| Q_i^{(n-1)} \right|^{-A+1} < \xi^{-n+1} c_3^{-1} \delta^{-1} \left| P_{i-1}(\xi) \right|.$$

By this and (3.2)(iv) with  $\ell = n - 1$  we have

$$c_{3}^{-n(A-1)}\left(\left|P_{i-1}(\xi)\right|\prod_{\nu=0}^{n-2}\left[\overline{\mathcal{Q}_{i}^{(\nu)}}\right]\right)^{A-1} < \xi^{-n+1}c_{3}^{-1}\delta^{-1}\left|P_{i-1}(\xi)\right|.$$
(5.8)

Using (3.6) in (5.8), we obtain

$$c_{3}^{-n(A-1)} \left( \left| P_{i-1}(\xi) \right| \left| \overline{P_{i}} \right|^{n-1} \right)^{A-1} < \xi^{-n+1} c_{3}^{-1} \delta^{-1} \left| P_{i-1}(\xi) \right|.$$
(5.9)

Since  $Q_i^{(n-1)} \ge P_i$  by (3.6), it follows that (5.9) can be rewritten as (5.5) by the definition of  $\delta$ .

To deduce (5.6)(i) from (5.5), we note that  $\delta = c_8$ , since  $|P_i| > H_2$  by the assumption above. This gives the desired result by (5.2). The estimate (5.6)(ii) immediately follows from (5.6)(i), since  $\omega > n$  by the definition of  $\omega$  and (10.1)(iii). To obtain (5.6)(iii), we rewrite (5.8) as

$$\prod_{\nu=0}^{n-2} \left[ \mathcal{Q}_i^{(\nu)} \right] < \left( \xi^{n-1} c_3^{1-n(A-1)} \delta \right)^{-\frac{1}{A-1}} \left| P_{i-1}(\xi) \right|^{-\frac{A-2}{A-1}}$$

and the result follows by (5.2), since  $\delta = c_8$ . If we rewrite (5.6)(iii) as

$$\left| \mathcal{Q}_{i}^{(n-2)} \right| < (2n)^{-n/2} (c_{3}c_{4})^{-n+1} \left| P_{i-1}(\xi) \right|^{-\frac{A-2}{A-1}} \prod_{\nu=0}^{n-3} \left| \mathcal{Q}_{i}^{(\nu)} \right|^{-1}$$

and apply (3.6) to the right-hand side, we get (5.6)(iv) by the definitions of  $c_3$  and  $c_4$ . Similarly, since  $\tau \ge i_0$ , we have

$$\left[ \mathcal{Q}_{\tau}^{(n-2)} \right] < (2n)^{-n/2} (c_3 c_4)^{-n+1} \left| P_{\tau-1}(\xi) \right|^{-\frac{A-2}{A-1}} \prod_{\nu=0}^{n-3} \left[ \mathcal{Q}_{\tau}^{(\nu)} \right]^{-1},$$

which is

$$\leq (2n)^{-n/2} (c_3 c_4)^{-n+1} |P_{\tau-1}(\xi)|^{-\frac{A-2}{A-1}} |P_{\tau}|^{-n+2}$$

by (3.6). Since  $i > \tau$ , this gives (5.7) by (3.1)(i), (4.9) and the definitions of  $c_3, c_4$ .  $\Box$ 

# 6. Upper bounds for $|L_{i,\tau}(\xi)|$ and $|L'_{i,\tau}(\xi)|$

**Lemma 6.1.** Let  $i_0$  be an integer as in Lemma 5.1. Suppose i and  $\tau$  are integers such that  $i > \tau \ge i_0$  and (4.9) holds. Then the following estimates are valid:

(i) 
$$|L_{i,\tau}(\xi)| < |P_{i-1}(\xi)|^{\frac{1}{A-1}} \overline{|P_{i-1}|}^{-n+1},$$
  
(ii)  $|L'_{i,\tau}(\xi)| < |P_{i-1}(\xi)|^{3-A-\frac{A-2}{A-1}} \overline{|P_i|}^{-(n-2)(A-1)} \overline{|P_{i-1}|}^{-n+2}.$  (6.1)

**Proof.** By (4.1) and (4.8) we have

$$|L_{i,\tau}(\xi)| \leq (2n)^{n/2} c_3^{n-1} |P_{i-1}(\xi)| \prod_{\nu=0}^{n-2} \overline{\mathcal{Q}_i^{(\nu)}} \prod_{\nu=0}^{n-2} \overline{\mathcal{Q}_\tau^{(\nu)}}^{-1}.$$

Applying (5.6)(iii) to  $\prod_{\nu=0}^{n-2} Q_i^{(\nu)}$  and (3.6) with (4.9) to  $\prod_{\nu=0}^{n-2} Q_{\tau}^{(\nu)}^{(\nu)}^{-1}$ , we obtain (6.1)(i). We now estimate  $|L'_{i,\tau}(\xi)|$ . Using (3.2)(i), (3.6), (4.2), (4.8), (5.3) and the definitions of  $c_3$ ,

We now estimate  $|L_{i,\tau}(\xi)|$ . Using (3.2)(1), (3.6), (4.2), (4.8), (5.3) and the definitions of  $c_3$ ,  $c_8$ , we get

$$\begin{aligned} \left| L_{i,\tau}'(\xi) \right| &\leq \left| P_{i-1}'(\xi) \right| |\tilde{x}_{1}| + \sum_{\nu=0}^{n-2} \left| Q_{i}^{(\nu)'}(\xi) \right| |\tilde{x}_{\nu+2}| \\ &< c_{8}^{-1} R \left( \left| P_{i-1}(\xi) \right| \overline{P_{i-1}} \right|^{A-1} + \sum_{\nu=0}^{n-2} \left| Q_{i}^{(\nu)}(\xi) \right| \overline{Q_{i}^{(\nu)}} \right|^{A-1} \right) \end{aligned}$$

302

$$< c_8^{-1} R |P_{i-1}(\xi)| \left( \overline{|P_{i-1}|^{A-1}} + c_3^{-1} \sum_{\nu=0}^{n-2} \overline{|Q_i^{(\nu)}|^{A-1}} \right)$$
  
$$< R |P_{i-1}(\xi)| \overline{|Q_i^{(n-2)}|^{A-1}}.$$

This and (5.6)(iv) imply

$$|L'_{i,\tau}(\xi)| < R |P_{i-1}(\xi)|^{3-A} \overline{|P_i|^{-(n-2)(A-1)}}.$$
 (6.2)

We now show that

$$R < \left| P_{i-1}(\xi) \right|^{-\frac{A-2}{A-1}} \overline{\left| P_{i-1} \right|^{-n+2}}.$$
(6.3)

We first note that

$$R < \max\left\{ (2n)^{n/2} c_3^{n-1} \prod_{\nu=0}^{n-2} \left[ \overline{\mathcal{Q}_i^{(\nu)}} \right] \prod_{\nu=0}^{n-2} \left[ \overline{\mathcal{Q}_\tau^{(\nu)}} \right]^{-1} \left[ \overline{P_{i-1}} \right], \quad \left[ \overline{\mathcal{Q}_\tau^{(n-2)}} \right] \right\}$$
(6.4)

by (4.3) and the definition of  $c_3$ . We have

$$(2n)^{n/2}c_{3}^{n-1}\prod_{\nu=0}^{n-2}\left[\mathcal{Q}_{i}^{(\nu)}\right]\prod_{\nu=0}^{n-2}\left[\mathcal{Q}_{\tau}^{(\nu)}\right]^{-1}\left[\overline{P_{i-1}}\right] \leq (2n)^{n/2}(c_{3}c_{4})^{n-1}\prod_{\nu=0}^{n-2}\left[\mathcal{Q}_{i}^{(\nu)}\right]\left[\overline{P_{i-1}}\right]^{-n+2} \\ <\left|P_{i-1}(\xi)\right|^{-\frac{A-2}{A-1}}\left[\overline{P_{i-1}}\right]^{-n+2}$$

$$(6.5)$$

by (3.6), (4.9) and (5.6)(iii). Clearly, (6.3) follows from (5.7), (6.4) and (6.5). Combining (6.2) with (6.3), we get (6.1)(ii).  $\Box$ 

# 7. Lower bounds for $|P_{i-1}(\xi)|$

Put

$$\Phi(x) = \Phi(x, n) = \max\left\{n, \ 2A + n + x - 3 - 2\omega, \ A + \frac{n + x - 3 - \omega}{2}\right\}.$$

**Proposition 7.1.** Let  $i_0$  be an integer as in Lemma 5.1. Suppose  $k_0$  is an integer such that

$$\boxed{P_{k_0}} > (n+1)^{n-1} M^n \quad \text{with } M = \max\{c_2^{1/2} c_6^{-2} c_8, \ e^n \boxed{P_{i_0}}\}.$$
(7.1)

If  $P_{i-1}$  is irreducible and has degree n for some  $i > k_0$ , then

$$|P_{i-1}(\xi)|^{-1} < \overline{P_{i-1}}|^{\Phi(n)}.$$
 (7.2)

If  $P_{i-1}$  is irreducible and has degree < n or is reducible for some  $i > k_0$ , then

$$|P_{i-1}(\xi)|^{-1} < \overline{P_{i-1}}|^{\Phi(n-1)}.$$
 (7.3)

We first prove two lemmas.

**Lemma 7.2.** Let *P* be a nonzero polynomial with integer coefficients of degree  $m \leq n$  and let

$$[P] \leqslant \max\{c_6, \ e^n [P_1]\}. \tag{7.4}$$

Then

$$|P(\xi)|^{-1} \leq c_7^{-1} \overline{|P|}^{\Phi(m)}.$$
 (7.5)

**Proof.** The result immediately follows from (7.4) by the definitions of  $c_7$  and  $\Phi$ , since

$$\left|P(\xi)\right|^{-1} \leqslant c_7^{-1} \leqslant c_7^{-1} \left|\overline{P}\right|^{\phi(m)}. \qquad \Box$$

**Lemma 7.3.** Let *P* be an irreducible polynomial with integer coefficients of degree  $m \le n$  and let

$$\overline{P} > \max\{c_6, \ e^n \overline{P_1}\}.$$

$$(7.6)$$

Then

$$|P(\xi)|^{-1} < \begin{cases} c_5^{-2} c_6^2 |P|^{\Phi(m)} & \text{if } |P| \leq M, \\ c_8^{-1} c_6^2 |P|^{\Phi(m)} & \text{if } |P| > M. \end{cases}$$
(7.7)

**Proof.** By (7.6) there exists a polynomial  $P_s$  such that

$$e^{n}\left[\overline{P_{s}}\right] < \left[\overline{P}\right] < e^{n}\left[\overline{P_{s+1}}\right]. \tag{7.8}$$

From this, (5.5) and the definitions of  $\delta$ ,  $c_6$  we deduce

$$|P_{s}(\xi)| < (\xi^{n-1}c_{3}^{1-n(A-1)}c_{5})^{-\frac{1}{A-2}}\overline{|P_{s+1}|}^{-\omega} < (\xi^{n-1}c_{3}^{1-n(A-1)}c_{5})^{-\frac{1}{A-2}}(e^{-n}\overline{|P|})^{-\omega} = c_{2}^{-1/2}c_{6}\overline{|P|}^{-\omega}.$$
(7.9)

Since *P* is irreducible and  $\overline{|P|} > e^n \overline{|P_s|}$ , by Lemma 2.4 the polynomials  $P_s$  and *P* have no common root. Therefore we can apply Lemma 2.5 to them. We distinguish three cases.

Case A. Suppose (2.2)(i) or (2.3) is valid. Then by (7.8) and the definition of  $c_2$  we have

$$1 < c_2^{1/2} \max\{|P_s(\xi)|, |P(\xi)|\} \max\{\overline{|P_s|}, \overline{|P|}\}^{n-1} = c_2^{1/2} \max\{|P_s(\xi)|, |P(\xi)|\}\overline{|P|}^{n-1}.$$
(7.10)

If  $|P_s(\xi)| \ge |P(\xi)|$ , then (7.9) and (7.10) yield

$$1 < c_2^{1/2} |P_s(\xi)| \overline{|P|}^{n-1} < c_6 \overline{|P|}^{-\omega+n-1},$$

which is  $< c_6[P]^{-1}$ , since  $\omega > n$  by the definition of  $\omega$  and (10.1)(iii). This is a contradiction, for  $|P| > c_6$  by (7.6). Hence  $|P_s(\xi)| < |P(\xi)|$ , therefore by (7.10) and the definition of  $\Phi$  we obtain

$$1 < c_2^{1/2} |P(\xi)| \overline{|P|^{n-1}} \leqslant c_2^{1/2} \overline{|P|^{-1}} |P(\xi)| \overline{|P|^{\Phi(m)}}.$$
(7.11)

This gives (7.7). In fact, (7.11) and the definitions of  $c_2$ ,  $c_5$ ,  $c_6$  imply

$$\left|P(\xi)\right|^{-1} < c_5^{-2} c_6^2 \overline{P}^{\varPhi(m)}$$

Similarly, using the definition of M in (7.11), we get

$$|P(\xi)|^{-1} < c_6^2 c_8^{-1} |P|^{\phi(m)}$$
 if  $|P| > M$ .

*Case B.* Suppose (2.2)(ii) is valid. Then by (5.4), (7.8), (7.9) and the definition of  $\delta$  we have

$$\begin{split} 1 &< c_2 \max\{|P_s(\xi)| |P'_s(\xi)| |P'(\xi)|, |P(\xi)| |P'_s(\xi)|^2\} \overline{|P_s|^{m-2} |P|^{n-1}} \\ &< c_2 \delta^{-2} |P_s(\xi)|^2 |P(\xi)| \overline{|P|^{2A+n+m-3}} \\ &< c_6^2 \delta^{-2} |P(\xi)| \overline{|P|^{2A+n+m-3-2\omega}}, \end{split}$$

where  $\delta = \delta(P_s)$ . Note that if |P| > M, then  $|P| > e^n |P_{i_0}| > e^n H_2$  by the definition of M. From this and (7.8) it follows that  $|P_s| > H_2$ . So,  $\delta(P_s) = c_8$  if |P| > M. This gives (7.7) by the definitions of  $\delta$  and  $\Phi$ .

*Case C.* Finally, suppose (2.2)(iii) is valid. Then by (5.4), (7.8), (7.9) and the definition of  $\delta$  we have

$$\begin{split} 1 &< c_2 \max\{|P(\xi)| |P'_s(\xi)| |P'(\xi)|, |P_s(\xi)| |P'(\xi)|^2\} \overline{|P_s|^{m-1} |P|^{n-2}} \\ &< c_2 \delta^{-2} |P(\xi)|^2 |P_s(\xi)| \overline{|P|^{2A+n+m-3}} \\ &< c_2^{1/2} c_6 \delta^{-2} |P(\xi)|^2 \overline{|P|^{2A+n+m-3-\omega}}, \end{split}$$

where  $\delta = \delta(P_s)$ . Using the arguments above, we deduce (7.7) from here by the definitions of  $c_2$ ,  $c_6$ ,  $\delta$ , M and  $\Phi$ .  $\Box$ 

**Proof of Proposition 7.1.** Let deg  $P_{i-1} = m$ ,  $1 \le m \le n$ , and let  $P_{i-1} = P_{i-1}^{(1)} \dots P_{i-1}^{(\gamma)}$ ,  $1 \le \gamma \le m$ , where the polynomials  $P_{i-1}^{(1)}, \dots, P_{i-1}^{(\gamma)}$  have integer coefficients and are irreducible over  $\mathbb{Z}$ . We first note that there is an index  $\nu$  with  $1 \le \nu \le \gamma$  such that  $|P_{i-1}^{(\nu)}| > M$ . In fact, in the contrary case by the right-hand side of (2.1) we obtain

$$\boxed{P_{i-1}} \leqslant (n+1)^{n-1} \boxed{P_{i-1}^{(1)}} \cdots \boxed{P_{i-1}^{(\gamma)}} \leqslant (n+1)^{n-1} M^n,$$

which contradicts (7.1). From this and Lemma 7.3 it follows that

$$\left|P_{i-1}^{(\nu)}(\xi)\right|^{-1} < c_6^2 c_8^{-1} \left[\overline{P_{i-1}^{(\nu)}}\right]^{\phi(m)}$$
(7.12)

for some  $\nu$  with  $1 \le \nu \le \gamma$ . Combining (7.5), (7.7), (7.12) and keeping in mind the definitions of  $c_5$ ,  $c_6$ ,  $c_7$ ,  $c_8$ , we get

$$\left|P_{i-1}(\xi)\right|^{-1} = \prod_{\nu=1}^{\gamma} \left|P_{i-1}^{(\nu)}(\xi)\right|^{-1} < c_6^2 c_8^{-1} \max\left\{c_5^{-2} c_6^2, \ c_7^{-1}\right\}^{n-1} \prod_{\nu=1}^{\gamma} \left[\overline{P_{i-1}^{(\nu)}}\right]^{\Phi(m)},$$

which is

$$< c_6^2 c_8^{-1} \max \left\{ c_5^{-2} c_6^2, \ c_7^{-1} \right\}^{n-1} e^{n\Phi(m)} \overline{P_{i-1}}^{\Phi(m)}$$
(7.13)

by the left-hand side of (2.1). Note that  $\Phi(m) < 2n - 1$  by (10.1)(iii), (10.3)(iii) and the definition of  $\Phi$ . From this, (5.2) and (7.13) it follows that

$$\left|P_{i-1}(\xi)\right|^{-1} < \overline{P_{i-1}}^{\phi(m)}.$$

Obviously, if  $P_{i-1}$  is irreducible and has degree *n*, then m = n, so  $\Phi(m) = \Phi(n)$ . Similarly, if  $P_{i-1}$  is irreducible and has degree < n or is reducible, then  $m \le n-1$ , so  $\Phi(m) \le \Phi(n-1)$ . This gives the desired result.  $\Box$ 

**Proposition 7.4.** Let  $k_0$  be an integer as in Proposition 7.1. If  $P_{i-1}$  is irreducible and has degree n for some  $i > k_0$ , then

$$|P_{i-1}(\xi)|^{-1} < \overline{P_i}|^{\frac{2A+n-2}{3}} \overline{P_{i-1}}|^{\frac{n-1}{3}}.$$
 (7.14)

**Proof.** From (3.1) one easily deduces that  $P_{i-1}$  and  $P_i$  have no common root. Therefore we can apply Lemma 2.5 to them. We distinguish three cases.

*Case A.* Suppose (2.2)(i) or (2.3) is valid. Then by (3.1)(i), (3.1)(ii) and the definitions of  $c_2$ ,  $c_3$  we have

$$1 < c_2^{1/2} \max\{|P_{i-1}(\xi)|, |P_i(\xi)|\} \max\{\overline{|P_{i-1}|}, \overline{|P_i|}\}^{n-1} = c_2^{1/2} |P_{i-1}(\xi)| \overline{|P_i|}^{n-1},$$

which is  $< c_2^{1/2} \overline{P_i}^{-1}$  by (5.6)(ii). This contradicts (7.1).

*Case B.* Suppose (2.2)(ii) is valid. Then using (3.1)(i), (3.1)(ii), (5.3) and the definitions of  $c_2$ ,  $c_3$ ,  $c_8$ , we get

$$\begin{split} 1 &< c_{2} \max\{|P_{i-1}(\xi)||P_{i-1}'(\xi)||P_{i}'(\xi)|, |P_{i}(\xi)||P_{i-1}'(\xi)|^{2}\}\overline{|P_{i-1}|^{n-2}|P_{i}|^{n-1}} \\ &< c_{2}c_{8}^{-2}|P_{i-1}(\xi)|^{2}|P_{i}(\xi)|\overline{|P_{i}|^{A+n-1}|P_{i-1}|^{A+n-2}} \\ &< c_{2}c_{3}^{-1}c_{8}^{-2}|P_{i-1}(\xi)|^{3}\overline{|P_{i}|^{2A+n-2}|P_{i-1}|^{n-1}} \\ &< |P_{i-1}(\xi)|^{3}\overline{|P_{i}|^{2A+n-2}|P_{i-1}|^{n-1}}. \end{split}$$

*Case C.* Similarly, from (2.2)(iii), (3.1)(i), (3.1)(ii), (5.3) and the definitions of  $c_2$ ,  $c_3$ ,  $c_8$  we deduce

$$\begin{split} 1 &< c_2 \max\left\{ \left| P_i(\xi) \right| \left| P_{i-1}'(\xi) \right| \left| P_i'(\xi) \right|, \left| P_{i-1}(\xi) \right| \left| P_i'(\xi) \right|^2 \right\} \overline{|P_{i-1}|^{n-1} |P_i|^{n-2}} \\ &< c_2 c_8^{-2} \left| P_{i-1}(\xi) \right| \left| P_i(\xi) \right|^2 \overline{|P_i|^{2A+n-2} |P_{i-1}|^{n-1}} \\ &< c_2 c_3^{-2} c_8^{-2} \left| P_{i-1}(\xi) \right|^3 \overline{|P_i|^{2A+n-2} |P_{i-1}|^{n-1}} \\ &< \left| P_{i-1}(\xi) \right|^3 \overline{|P_i|^{2A+n-2} |P_{i-1}|^{n-1}}. \end{split}$$

Clearly, Cases B and C give (7.14).  $\Box$ 

**Corollary 7.5.** Let  $k_0$  be an integer as in Proposition 7.1. If  $P_{i-1}$  is irreducible and has degree n for some  $i > k_0$ , then for any  $\rho$  with  $0 \le \rho \le 1$  we have

$$\left|P_{i-1}(\xi)\right|^{-1} < \overline{P_i}^{\frac{2A+n-2}{3}(1-\varrho)} \overline{P_{i-1}}^{\frac{n-1}{3}(1-\varrho)+\Phi(n)\varrho}.$$
(7.15)

**Proof.** We raise (7.2) and (7.14) to the powers  $\rho$  and  $1 - \rho$ , respectively, and multiply out the derived inequalities.  $\Box$ 

# 8. Proof of (4.10)

**Lemma 8.1.** Let  $k_0$  be an integer as in Proposition 7.1. Suppose *i* and  $\tau$  are integers such that  $i > \tau \ge k_0$  and (4.9) holds. Then

$$\left|L_{i,\tau}(\xi)\right| < \left|P_{i-1}(\xi)\right|^{A^2 - 3A + 1} \overline{\left|P_i\right|^{(n-2)(A-1)^2} \overline{\left|P_{i-1}\right|^{(n-2)(A-1)}}.$$
(8.1)

**Proof.** From (3.1)(ii) and (6.1)(i) it follows that for any  $\alpha_1$  and any nonnegative  $\alpha_2$  we have

$$\left|L_{i,\tau}(\xi)\right| < \left|P_{i-1}(\xi)\right|^{\frac{1}{A-1}+\alpha_{1}} \left|P_{i-1}(\xi)\right|^{-\alpha_{1}} \overline{P_{i}}^{\alpha_{2}} \overline{P_{i-1}}^{-n+1-\alpha_{2}}.$$
(8.2)

Put

$$\alpha_1 = -\frac{1}{A-1} + A^2 - 3A + 1. \tag{8.3}$$

Since A > 3 by (10.1)(iii), it follows that  $\alpha_1 > 0$ . We now distinguish two cases.

Case A. Suppose  $P_{i-1}$  is irreducible and has degree n. By (7.15) and (8.2) we have

$$\left|L_{i,\tau}(\xi)\right| < \left|P_{i-1}(\xi)\right|^{\frac{1}{A-1}+\alpha_1} \overline{P_i}\right|^{\frac{2A+n-2}{3}(1-\varrho)\alpha_1+\alpha_2} \overline{P_{i-1}}^{(\frac{n-1}{3}(1-\varrho)+\varPhi(n)\varrho)\alpha_1-n+1-\alpha_2}.$$
 (8.4)

If n = 3, 4, 5, put

$$\varrho = 1 - \frac{3(n-2)(A-1)^2}{(2A+n-2)\alpha_1} \quad \text{and} \quad \alpha_2 = 0.$$
(8.5)

A straightforward calculation shows that  $0 < \rho < 1$ . It follows from (8.3), (8.5) and (10.3)(iii) that

$$\left(\frac{n-1}{3}(1-\varrho) + \Phi(n)\varrho\right)\alpha_1 - n + 1 = \frac{AT(A)}{(A-1)(A-2)(2A+n-2)} + (n-2)(A-1),$$

which is (n - 2)(A - 1) by (1.4). This and (8.3)–(8.5) give (8.1). Similarly, if n > 5, put

$$\varrho = 0 \quad \text{and} \quad \alpha_2 = \frac{n-1}{3}\alpha_1 - n + 1 - (n-2)(A-1).$$
(8.6)

One can show (see Lemma 10.3) that

$$\alpha_2 > 0$$
 and  $\frac{2A+n-2}{3}\alpha_1 + \alpha_2 < (n-2)(A-1)^2$ . (8.7)

From (8.3), (8.4), (8.6) and (8.7) follows (8.1).

*Case B.* Suppose  $P_{i-1}$  is irreducible and has degree < n or is reducible. By (7.3) and (8.2) we have

$$\left|L_{i,\tau}(\xi)\right| < \left|P_{i-1}(\xi)\right|^{\frac{1}{A-1}+\alpha_1} \overline{\left|P_i\right|^{\alpha_2} \left|P_{i-1}\right|^{\phi(n-1)\alpha_1-n+1-\alpha_2}}.$$
(8.8)

Put

$$\alpha_2 = (n-2)(A-1)^2. \tag{8.9}$$

A straightforward calculation shows that

$$\Phi(n-1)\alpha_1 - n + 1 - \alpha_2 < (n-2)(A-1)$$

if n = 3, 4, 5. Suppose n > 5. From (8.3), (8.9) and (10.3)(ii) it follows that

$$\Phi(n-1)\alpha_1 - n + 1 - \alpha_2 = \frac{T(A)}{(A-1)(A-2)} + (n-2)(A-1),$$

which is (n-2)(A-1) by (1.4). This, (8.3), (8.8) and (8.9) give (8.1).  $\Box$ 

**Corollary 8.2.** Let *i* and  $\tau$  be integers as in Lemma 8.1. Then  $L_{i,\tau}$  satisfies (4.10).

**Proof.** If we raise both sides of (6.1)(ii) to the power -A + 1, we obtain

$$\left|L_{i,\tau}'(\xi)\right|^{-A+1} > \left|P_{i-1}(\xi)\right|^{A^2 - 3A+1} \overline{\left|P_i\right|^{(n-2)(A-1)^2} \overline{\left|P_{i-1}\right|^{(n-2)(A-1)}}}.$$

Combining this with (8.1), we get (4.10).  $\Box$ 

# 9. Proof of Theorem

**Lemma 9.1.** Let *i* and  $\tau$  be integers as in Lemma 8.1. Then

$$|P_{\tau-1}(\xi)| \prod_{\nu=0}^{n-2} \overline{|Q_{\tau}^{(\nu)}|} \leq (2n)^{n/2} c_3^n |P_{i-1}(\xi)| \prod_{\nu=0}^{n-2} \overline{|Q_i^{(\nu)}|}.$$

**Proof.** Suppose to the contrary

$$\left|P_{\tau-1}(\xi)\right| \prod_{\nu=0}^{n-2} \left[ Q_{\tau}^{(\nu)} \right] > (2n)^{n/2} c_3^n \left| P_{i-1}(\xi) \right| \prod_{\nu=0}^{n-2} \left[ Q_i^{(\nu)} \right].$$
(9.1)

By (4.1) and (4.8) we have

$$\left|L_{i,\tau}(\xi)\right| \leq (2n)^{n/2} c_3^{n-1} \left|P_{i-1}(\xi)\right| \prod_{\nu=0}^{n-2} \left|\overline{\mathcal{Q}_i^{(\nu)}}\right| \prod_{\nu=0}^{n-2} \left|\overline{\mathcal{Q}_\tau^{(\nu)}}\right|^{-1},$$

which is  $< c_3^{-1} |P_{\tau-1}(\xi)|$  by (9.1). This, (4.1) and (4.8) imply

(i) 
$$|L_{i,\tau}(\xi)| < c_3^{-1} |P_{\tau-1}(\xi)|,$$
  
(ii)  $|d_{\kappa_{\nu}}| \leq c_3^{-1} \overline{Q_{\tau}^{(\nu-1)}}$   $(\nu = 1, ..., n-1),$  (9.2)

where  $d_{\kappa_1}, \ldots, d_{\kappa_{n-1}}$  are coefficients of  $L_{i,\tau}$ . From (9.2) by the minimality property of  $Q_{\tau}^{(n-2)}$  we get

$$\boxed{L_{i,\tau}} \geqslant \boxed{\mathcal{Q}_{\tau}^{(n-2)}}.$$
(9.3)

This, (3.6), (9.2)(ii) and the definition of  $c_3$  give

$$|d_{\kappa_{\nu}}| \leq c_{3}^{-1} \left[ \mathcal{Q}_{\tau}^{(\nu-1)} \right] \leq c_{3}^{-1} \left[ \mathcal{Q}_{\tau}^{(n-2)} \right] \leq c_{3}^{-1} \left[ L_{i,\tau} \right] \leq \xi^{n-1} \left[ L_{i,\tau} \right] \quad (\nu = 1, \dots, n-1).$$

Therefore  $L_{i,\tau}$  satisfies the conditions of Lemma 2.2, by which

$$\left|L_{i,\tau}'(\xi)\right| > \xi^{n-1} \overline{\left|L_{i,\tau}\right|}.$$
(9.4)

We also note that by (3.6) and (9.3) we have  $\overline{L_{i,\tau}} \ge \overline{P_{\tau}}$ , which is >  $H_2$ , since  $\tau \ge k_0$ . Therefore we can apply (5.3) to  $L_{i,\tau}$ . From this and (9.4) it follows that

$$|L_{i,\tau}(\xi)| > c_8 |L'_{i,\tau}(\xi)| |\overline{L_{i,\tau}}|^{-A} > c_8 \xi^{(n-1)A} |L'_{i,\tau}(\xi)|^{-A+1}$$

which is  $|L'_{i,\tau}(\xi)|^{-A+1}$  by (5.2). We obtain a contradiction with (4.10).

**Proof of Theorem.** Choose an increasing sequence of integers  $\{m_t\}$  such that  $k_0 = m_1 < m_2 < \cdots$  and

$$|P_{m_{t+1}-1}| \leq c_4 |P_{m_t}| < |P_{m_{t+1}}|, \quad t = 1, 2, \dots$$
 (9.5)

By Lemma 9.1 we have

$$\left|P_{m_{t}-1}(\xi)\right|\prod_{\nu=0}^{n-2}\left[\mathcal{Q}_{m_{t}}^{(\nu)}\right] \leq (2n)^{n/2}c_{3}^{n}\left|P_{m_{t+1}-1}(\xi)\right|\prod_{\nu=0}^{n-2}\left[\mathcal{Q}_{m_{t+1}}^{(\nu)}\right], \quad t=1,2,\ldots,$$

Let  $\ell$  be some integer  $\geq 1$ . If we multiply these inequalities together for all t with  $1 \leq t \leq \ell$ , we obtain

$$|P_{m_1-1}(\xi)| \prod_{\nu=0}^{n-2} \overline{\mathcal{Q}_{m_1}^{(\nu)}} \leqslant (2n)^{n\ell/2} c_3^{n\ell} |P_{m_{\ell+1}-1}(\xi)| \prod_{\nu=0}^{n-2} \overline{\mathcal{Q}_{m_{\ell+1}}^{(\nu)}},$$

hence

$$\left|P_{m_{1}-1}(\xi)\right| < (2n)^{n\ell/2} c_{3}^{n\ell} \left|P_{m_{\ell+1}-1}(\xi)\right| \prod_{\nu=0}^{n-2} \overline{\mathcal{Q}_{m_{\ell+1}}^{(\nu)}}.$$
(9.6)

Substituting (5.6)(iii) into (9.6), using the definitions of  $c_3$ ,  $c_4$  and then applying (5.6)(i), we get

$$\left|P_{m_{1}-1}(\xi)\right| < (2n)^{n\ell/2} c_{3}^{n\ell} \left|P_{m_{\ell+1}-1}(\xi)\right|^{\frac{1}{A-1}} < (2n)^{n\ell/2} c_{3}^{n\ell} \overline{P_{m_{\ell+1}}}^{-\frac{\omega}{A-1}}.$$
(9.7)

By the right-hand side of (9.5) we have

$$P_{m_{t+1}}^{-1} < c_4^{-1} P_{m_t}^{-1}, \quad t = 1, 2, \dots$$

If we multiply these inequalities together for all *t* with  $1 \le t \le \ell$ , we obtain

$$\boxed{P_{m_{\ell+1}}}^{-1} < c_4^{-\ell} \boxed{P_{m_1}}^{-1} < c_4^{-\ell}.$$

Using this in (9.7) and keeping in mind the definitions of  $c_4$ ,  $\omega$ , we get

$$|P_{m_1-1}(\xi)| < (2n)^{n\ell/2} c_3^{n\ell} c_4^{-\frac{\omega}{A-1}\ell} = 2^{-\ell}.$$

Letting  $\ell \to \infty$ , we come to a contradiction. Thus, the assumption (1.5) can not be true. This completes the proof of the theorem.  $\Box$ 

# **10.** Calculations concerning the exponent A

Lemma 10.1. We have

(i) 
$$\lim_{n \to \infty} (A - n/2) = 4,$$
  
(ii)  $\frac{n}{2} + 3.5 < A < \frac{n}{2} + 4$  for  $n \ge 26,$   
(iii)  $3 < A < n + 1$  for  $n \ge 3.$  (10.1)

**Proof.** Consider T(x) from (1.4). To prove (10.1)(i), we note that if n > 10 and x > n/2, then

$$\left| (2n-41)x^2 - (3n-29)x + 2n - 10 \right| < 7nx^2 < 14x^3,$$

so

$$T(x) \begin{cases} < x^{3}(2x^{2} - (n+12)x + 2n + 44), \\ > x^{3}(2x^{2} - (n+12)x + 2n + 16). \end{cases}$$

Of the quadratic factors the second splits into (x - 2)(2x - n - 8) and the first has real roots if n > 10. Consequently,

$$B < A < \frac{n}{2} + 4, \tag{10.2}$$

where B = B(n) is the largest root of  $2x^2 - (n + 12)x + 2n + 44$ . Since

$$B = \frac{n}{2} + 4 + O\left(\frac{1}{n}\right),$$

the same follows for A. This gives (10.1)(i).

We now prove (10.1)(ii). It is easy to verify that  $B \ge n/2 + 3.5$  if  $n \ge 53$ . A straightforward calculation also shows that A > n/2 + 3.5 if  $26 \le n < 53$ . This and (10.2) give (10.1)(ii).

Finally, (10.1)(iii) follows from (10.1)(ii) if  $n \ge 26$ . One can check that it is also true if  $3 \le n < 26$ .  $\Box$ 

Lemma 10.2. We have

(i) 
$$\Phi(2) = \Phi(2, n) = A + 1 - \frac{\omega}{2}$$
 for  $n = 3$ ,  
(ii)  $\Phi(n-1) = \Phi(n-1, n) = 2A + 2n - 4 - 2\omega$  for  $n \ge 4$ ,  
(iii)  $\Phi(n) = \Phi(n, n) = 2A + 2n - 3 - 2\omega$  for  $n \ge 3$ . (10.3)

Proof. One can check (10.3)(i) directly. To prove (10.3)(ii) and (10.3)(iii), we show that

$$2A + n + x - 3 - 2\omega > \max\left\{n, \ A + \frac{n + x - 3 - \omega}{2}\right\}$$

for x = n - 1 and x = n. Obviously, we only need to prove it for x = n - 1, i.e.,

$$2A + 2n - 4 - 2\omega > \max\left\{n, A + n - 2 - \frac{\omega}{2}\right\}.$$

We first show that

$$2A + 2n - 4 - 2\omega > A + n - 2 - \frac{\omega}{2}$$

which can be rewritten as

$$\frac{2A^2 - (n+5)A - n + 5}{2(A-2)} > 0.$$
(10.4)

It is easy to verify that (10.4) is true if  $3 \le n < 26$ . Suppose  $n \ge 26$ . The function

$$f(x) = 2x^2 - (n+5)x - n + 5$$

is increasing for  $x \ge (n+5)/4$ . Since A > n/2 + 3.5 > (n+5)/4 by (10.1)(ii), we obtain

$$f(A) > f\left(\frac{n}{2} + 3.5\right) = 12,$$

which gives (10.4). To show that

 $A+n-2-\frac{\omega}{2}>n,$ 

we rewrite it as

$$\frac{2A^2 - (n+7)A + n + 7}{2(A-2)} > 0,$$

which is true by (10.1)(iii) and (10.4).

**Lemma 10.3.** *The estimates* (8.7) *hold for any* n > 5*.* 

**Proof.** By (8.3) and (8.6) we have

$$\alpha_2 = \frac{n-1}{3}\alpha_1 - n + 1 - (n-2)(A-1)$$
$$= \frac{(n-1)A^3 - (7n-10)A^2 + (7n-13)A - 2n + 5}{3(A-1)}$$

One can check that  $\alpha_2 > 0$  if 5 < n < 26. Suppose  $n \ge 26$ . Then by (10.1)(ii) we get

$$(n-1)A^{3} - (7n-10)A^{2} > (n-1)\frac{n}{2}A^{2} - (7n-10)A^{2} = A^{2}\left(\frac{n^{2}}{2} - \frac{15n}{2} + 10\right),$$

which is positive. Also, it is easy to see that (7n - 13)A - 2n + 5 > 0. Therefore  $\alpha_2 > 0$ .

To prove the second estimate from (8.7), we note that by (8.3) and (8.6) we obtain

$$\frac{2A+n-2}{3}\alpha_1 + \alpha_2$$
  
=  $\frac{2A^4 - (n+5)A^3 - (2n-8)A^2 + (2n-7)A - n + 3}{3(A-1)} + (n-2)(A-1)^2.$  (10.5)

We now show that

$$2A^{4} - (n+5)A^{3} - (2n-8)A^{2} + (2n-7)A - n + 3 < 0.$$
(10.6)

It is easy to verify that (10.6) is true if 5 < n < 48. Suppose  $n \ge 48$ . Note that  $(2n - 7)A - n + 3 < 4A^2$ , since A > n/2 by (10.1)(ii). Hence

$$2A^{4} - (n+5)A^{3} - (2n-8)A^{2} + (2n-7)A - n + 3 < A^{2}(2A^{2} - (n+5)A - 2n + 12).$$

The function

$$f(x) = 2x^2 - (n+5)x - 2n + 12$$

is increasing for x > (n+5)/4. Since A > n/2 + 3.5 > (n+5)/4 and A < n/2 + 4 by (10.1)(ii), we get

$$f(A) < f\left(\frac{n}{2} + 4\right) = -\frac{n}{2} + 24 \le 0,$$

which gives (10.6). Clearly, (10.5) and (10.6) imply the second estimate from (8.7).  $\Box$ 

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