

# Overview

Suppose we are interested in studying smooth manifolds  $X$ .

One simple and powerful tool for this purpose: Fix Riem. metric  $g$  on  $X$ .

Let  $\mathcal{H}_k = \{\omega \in \Omega^k(X) \mid \Delta\omega = 0\}$ .

This is a linear equation over  $\mathbb{R} \Rightarrow \mathcal{H}_k$  is a vector space.

If  $X$  is compact,  $\mathcal{H}_k$  is finite-dimensional (b/c  $\Delta$  is elliptic).

So, we get numbers  $b_k(X) = \dim_{\mathbb{R}} \mathcal{H}_k$ .

These turn out to depend only on topology of  $X$ , not the metric.

Now say  $X$  is a 4-manifold.

A slight refinement of the above:

Fix an orientation on  $X$ . So, we have  $\star: \Omega^2(X) \rightarrow \Omega^2(X)$ .  $\star^2 = 1$ .

Thus  $\mathcal{H}_2 = \mathcal{H}_2^+ \oplus \mathcal{H}_2^-$  and we define  $b_2^\pm(X) = \dim_{\mathbb{R}} \mathcal{H}_2^\pm$   
 $\begin{matrix} \uparrow & \uparrow \\ \star=1 & \star=-1 \\ \text{"self-dual"} & \text{"anti-self-dual"} \end{matrix}$  (so  $b_2(X) = b_2^+(X) + b_2^-(X)$ )

Interesting and useful, but we want more.

Donaldson's idea: study solutions of nonlinear equations on  $M$ . (1983-1990 or so.)

Fix a principal  $SU(2)$  bundle  $E$  over  $M$ , and let  $\mathcal{D}$  be a connection in  $E$ ,  $\mathcal{D} \in \text{Conn}(E)$ . Curvature  $F \in \Omega^2(X; \text{ad } P)$ .

$$\left[ \begin{array}{ll} \text{Locally: } \mathcal{D} = d + A & A \in \Omega^1(X; \mathfrak{su}(2)) \\ & = d + A_i T_i & A_i \in \Omega^1(X) \\ F = dA + A \wedge A & F \in \Omega^2(X; \mathfrak{su}(2)) \\ & = \underbrace{(dA_i + \varepsilon_{ijk} A_j A_k)}_{F_i} T_i & F_i \in \Omega^2(X) \end{array} \right]$$

Natural action of "gauge group"  $\mathcal{G} = \{\text{sections of } \text{Aut}(E)\}$  on  $\text{Conn}(E)$  preserving  $F$ .

Now, let  $\mathcal{M} = \{D \in \text{Conn}(E) \mid F = -*F\} / \mathcal{G}$

It's not a vector space, but does have manifold structure (at least away from "reducible connections"). The linearization is elliptic, so if  $X$  is compact,  $\mathcal{M}(E)$  is finite-dimensional.

$$[\dim_{\mathbb{R}} \mathcal{M} = 8c_2(E) - 3(1 - b_1(X) + b_2^+(X))]$$

And, one can construct some natural top-degree forms  $\omega_\alpha$  on  $\mathcal{M}$ , labeled by "observables"  $\alpha$ . (related to homology of  $X$ )

Then, define Donaldson invariants  $\langle \alpha \rangle = \int_{\mathcal{M}} \omega_\alpha$

(Technically difficult — especially b/c we need to compactify  $\mathcal{M}$ )

These invariants depend only on the smooth structure of  $X$ , not on the metric (as long as  $b_2^+(X) > 1$ ).

They turned out to be powerful tools!

But not easy to compute, define, use...

In 1988 Witten made a remarkable discovery (following impt work of Floer):

the Donaldson invariants have a natural interpretation as a part of

$\mathcal{N}=2$  supersymmetric  $SU(2)$  gauge theory in 4 dimensions

(A close cousin of the kind of theory that describes our actual Universe!)

Very roughly, we imagine taking  $X$  to be our "spacetime" and performing some "experimental measurements." This (as we'll see) amounts to studying integrals over infinite-dimensional space  $\mathcal{E}$ . But in this case, they actually reduce:  $\int_{\mathcal{E}} = \int_{\mathcal{M}}$ .

Localization.

Exciting, but doesn't lead to any spectacular progress in computing the invariants.

The real progress came in 1995 when Seiberg and Witten made a fundamental discovery about the physics of  $\mathcal{N}=2$  supersymmetric gauge theory in 4 dimensions.

---

An analogy: Suppose you want to study water waves.

One approach: water  $\supset$  molecules  $\supset$  atoms  $\supset$  electrons, quarks, ...  
So, use equations of **QCD** (and a big computer).

Much better approach: use the equations of **hydrodynamics**.

All complicated details of short distance physics are replaced by finite # of "effective" parameters: **density, viscosity**. They could in principle be computed, but this would be very hard.

This "effective theory" is far simpler, though not as powerful as the original one — it can't tell you e.g. what happens if you shoot a high-power laser at the water. Roughly it knows the answer to all "low energy questions."

---

Seiberg and Witten solved this pb completely for  $\mathcal{N}=2$  SUSY g.t. in 4 dim:

They showed that the physics a low energy observer sees is governed by abelian gauge theory (coupled to matter). A very strong statement!

So, we can try to compute the results of experiments using this "effective" abelian theory.  
(= Donaldson invariants)

As before, the answer turns out to **localize** on some simple equations:

$$\tilde{\mathcal{M}} = \left\{ (D, \Psi) \mid \begin{array}{l} F_{++}F = q(\Psi, \bar{\Psi}) \\ \not{D}\Psi = 0 \end{array} \right\} / \mathcal{G}$$

Here  $F$  is the curvature of a connection in a  $U(1)$  bundle  $E$

$\Psi$  is a section of a spinor bundle  $S \simeq \bar{S}$

$q$  is the quadratic map  $S \otimes S \rightarrow \Lambda_+^2(T^*X)$

So, find that Donaldson inv's can be obtained by integrals over  $\tilde{M}$  instead of  $M$ !

Moreover,  $\tilde{M}$  is compact! Much easier. (Like hydrodynamics.)

This led to a revolution in 4-mfld topology...

---

The plan of the course is to fill in as many details of the above story as we can. We'll emphasize the physical reason why Donaldson and Seiberg-Witten are related, not so much the application of either theory to 4-mfld topology (but might get "prach bites" to discuss the latter).

Begin in 0 dim, then 1, then try to jump to 4...

References: posted on web page Short term, the most relevant one should be the Clay Math "Mirror Symmetry" book.

Assignments: do 1 exercise per sheet, or, write a short paper.  
All due May 9.