

## The free boson in 2 dimensions

Up until now, all the objects we've discussed have been rigorously defined (or at least should be rigorously definable.)

Now we are going to press on to a setting where this really isn't true:  $\dim X > 1$ .

Ex  $X$  Riem 2-manifold,  $Y$  Riem manifold,  $V: X \rightarrow Y$

$$\mathcal{C} = \text{Map}(X, Y), \quad S: \mathcal{C} \rightarrow \mathbb{R}, \quad S[\varphi] = \int_X \frac{1}{2} \|d\varphi\|^2 + V(\varphi)$$

"2-dimensional \$\sigma\$-model with target \$Y\$"

Formally we could imagine doing all the things we did in  $\dim X \leq 1$ .

Path integrals, observables, canonical quantization. But, one meets new difficulties.

Ex Suppose  $X = S^1_L \times S^1_\beta$ ,  $Y = \mathbb{R}$ ,  $V = 0$ .

Then can Fourier expand  $\varphi: S^1_L \times S^1_\beta \rightarrow \mathbb{R}$  in modes

$$\varphi(x, t) = \sum_{n \in \mathbb{Z}} a_n(t) e^{2\pi i n x / L} \quad \bar{a}_n = a_{-n}$$

$$S[\varphi] = \int dt \left( \frac{1}{2} \dot{a}_n(t)^2 + \sum_{n>0} \left[ |\dot{a}_n(t)|^2 + \frac{4\pi^2 n^2}{L^2} |a_n(t)|^2 \right] \right)$$

We've now "reduced" to 1 dimension but with an infinite set of fields.

So this is like an infinite set of decoupled (complex)

harmonic oscillators with frequencies  $\omega_n = \frac{2\pi n}{L}$ , plus one extra map  $a_0: S^1_\beta \rightarrow \mathbb{R}$

[Decomposing each  $a_n$  into its real and imag parts, see that each complex h.o. is equivalent to two real ones.]

Now, what should we get for (say)  $Z_{S^1 \times S^1}$ ?

Operator formalism would suggest  $Z = \text{Tr}_{\mathcal{H}} e^{-\beta H}$

where  $\mathcal{H} = \left( \bigotimes_{n=1}^{\infty} \mathcal{H}_n \right) \otimes \mathcal{H}_0$      $\mathcal{H}_n$  = Hilbert space for h.o. w/  $\omega = \frac{2\pi n}{L}$   
 $\mathcal{H}_0$  = Hilb. sp. for the "zero mode"  $a_0$ .

$$H = \sum_{n=1}^{\infty} (1 \otimes \cdots \otimes 1 \otimes H_n \otimes 1 \cdots) + H_0 \otimes 1 \otimes 1 \otimes \cdots$$

What are the eigenvalues of  $H$ ? Let's start with the lowest one.

Lowest eigenvalue  $E$  would naively come from summing all the lowest eigenvalues of  $H_n$ .

If we use our usual quantization  $H_n = -\frac{1}{2} \frac{\partial^2}{\partial a_n^2} + \frac{1}{2} \omega_n^2 a_n^2$  (and say  $H_0 = 0$ )

that would give  $E = \sum E_n = 2 \sum_{n>0} \frac{\omega_n}{2} = \frac{2\pi}{L} \sum_{n>0} n$ , evidently divergent!

That would be a disaster.

But, as we mentioned, the rules of canonical quantiz<sup>n</sup> allow a shift  $H \rightarrow H + c$

What shift could be produced "naturally" by the path integral?

Regulate: replace  $E_n \rightarrow E_n f(\frac{n}{L})$  where  $f$  is some cutoff function.

e.g.  $f\left(\frac{n}{L}\right) = e^{-\varepsilon \frac{n}{L}}$ , get  $E(\varepsilon) = \frac{2\pi}{L} \sum_{n>0} n e^{-\varepsilon \frac{n}{L}}$

Then expand around  $\varepsilon = 0$ :  $E(\varepsilon) = \frac{2\pi L}{\varepsilon^2} - \frac{\pi}{6L} + \dots$

The singularity is proportional to  $L$ ! It can thus be removed by adding a term  $\frac{2\pi}{\varepsilon^2}$  to the action ("local counterterm"). To take the limit  $\varepsilon \rightarrow 0$  this term must be added.

After so doing, we get  $E = -\frac{\pi}{6L}$  ("  $\sum_n n = -\frac{1}{12}$  ")

(This answer doesn't depend on the function  $f$  we picked. Can also be obtained by the black magic of zeta-function regularization.)

The rest of the spectrum of  $H$  is determined as usual. One fine point is the contribution from  $\mathcal{H}_0$  — regulate this by replacing  $L^2(\mathbb{R}) \rightsquigarrow L^2(S^1_\nu)$  to make

the spectrum discrete. As  $V \rightarrow \infty$ , leading behavior of  $\text{Tr}_{\mathcal{H}_0} e^{-\beta H}$  is  $V/2\pi\sqrt{\beta L}$ .

Altogether we get

$$Z_{S_\beta^1 \times S_L^1} = \frac{V}{2\pi\sqrt{L}} \eta(q)^{-2} \quad \text{with} \quad q = e^{-2\pi i \beta/L}$$

$$\eta(q) = q^{1/24} \prod_{n=1}^{\infty} (1 - q^n)$$

Modular properties of  $\eta(q)$  ( $q = e^{2\pi i \tau}, i\tau = \frac{\beta}{L}$ ) imply that  $Z$  is invariant under  $L \leftrightarrow \beta$ , as the path integral perspective would predict! (This depends on the  $q^{\frac{1}{24}}$ ...)

Generalizes to a tilted torus:

$$Z_{T_\tau^2} = \frac{V}{2\pi\sqrt{\text{Im } \tau}} |\eta(\tau)|^{-2}$$

inv't under  $\tau \rightarrow \tau + 1, \tau \rightarrow -\frac{1}{\tau}$

An interesting new phenomenon emerges when we consider the theory with

$$Y = S_{2\pi R}^1 \text{ and } X = T^2:$$

- In path  $\int$ , the config. space  $\mathcal{C}$  is disconnected,  $\mathcal{C} = \bigsqcup_{(n_1, n_2) \in \mathbb{Z}^2} \mathcal{C}_{n_1, n_2}$
- In operator formalism,  $\hat{A} = \bigsqcup_l \hat{A}_l$ , and each  $\hat{A}_l$  has a factor  $S^1$ , which quantizes to  $L^2(S^1)$  hence to  $\bigoplus_{m \in \mathbb{Z}}$   $\Rightarrow \mathcal{H} = \bigoplus_{(n_1, m) \in \mathbb{Z}^2} \mathcal{H}_{(n_1, m)}$  Warning,  $m \leftrightarrow n_2$  are morally dual to one another, not equal!

Lowest eigenvalue of  $H$  in each sector:  $E_{n_1, m} = \left(\frac{m}{R}\right)^2 + (n_1 R)^2$

One obtains  $Z_{T^2} = \frac{1}{|\eta(\tau)|^2} \sum_{(n_1, m)} q^{\frac{1}{4} \left(\frac{m}{R} - n_1 R\right)^2} \bar{q}^{\frac{1}{4} \left(\frac{m}{R} + n_1 R\right)^2}$

Invariant under  $R \leftrightarrow \frac{1}{R}$ !

This is the first manifestation of "T-duality"—the basis of mirror symmetry...

Why is T-duality true?

Path integral derivation: we'll consider an enhanced action  $S_{big}$  such that integrating over some variables in  $S_{big}$  leads either to  $S(R)$  or to  $S(\frac{1}{R})$

Namely:  $\mathcal{C} = \left\{ \begin{array}{l} \varphi: X \rightarrow S^1_{2\pi} \\ B \in \Omega^1(X) \end{array} \right\}$   $X$  any Riemann surface

$$S_{big} = \frac{1}{2\pi} \int_X \frac{1}{2R^2} \|B\|^2 + \frac{i}{2\pi} \int B \wedge d\varphi$$

$$Z = \int_{\mathcal{C}} D\varphi DB e^{-S_{big}}$$

One possibility: eliminate  $B$  first.

$$S_{big} = \frac{1}{2\pi} \int_X \frac{1}{2R^2} \|B - iR^2 d\varphi\|^2 + \frac{R^2}{4\pi} \int_X \|d\varphi\|^2$$

and Gaussian  $\int$  over  $B$  then just gives some determinant depending on  $X$  but totally decoupled from  $\varphi$ . Thus reduce to "effective" theory of  $\varphi$  with

$$S = \frac{R^2}{4\pi} \int \|d\varphi\|^2.$$

Other possibility: integrate over  $\varphi$  first.  $\varphi$  appears only linearly in  $S_{big}$ .

Recall that  $\int_{\mathbb{R}} dx e^{ixy} = \delta(y)$ ,  $\sum_{n \in \mathbb{Z}} e^{2\pi i na} = \sum_{m \in \mathbb{Z}} \delta(a-m)$

Here integrating over  $\varphi$  will produce a constraint on  $B$ .

$$\text{Expand } d\varphi = d\varphi_0 + \sum_{i=1}^{2g} 2\pi n_i w^i$$

w: representatives for  $H^1(\Sigma, \mathbb{Z})$   
 $\varphi_0: \Sigma \rightarrow \mathbb{R}$   
 $\{n_i\} \in \mathbb{Z}^{2g}$  label topology of  $\varphi$

Integration over  $\varphi$  means int. over  $\varphi_0$  and sum over  $n_i$ .

Integral over  $\varPhi_0$  imposes the constraint  
 $d\mathcal{B} = 0$ . Every closed 1-form can be  
written as

$$\mathcal{B} = d\vartheta_0 + 2\pi \sum_{i=1}^{2g} a^i \omega_i \quad a^i \in \mathbb{R}$$

$$\int \mathcal{B} \wedge d\varPhi = \int \mathcal{B} \wedge (d\vartheta_0 + \sum' 2\pi n_i \omega_i)$$

$$\int_X \omega_i \wedge \omega_j = \delta^i_j$$

Plug this in: effective action becomes

$$\begin{aligned} S(\vartheta, a^i) &= \frac{1}{4\pi R^2} \int_X \|\mathcal{B}\|^2 + i \int_X (d\vartheta_0 + \sum_i a^i \omega_i) \wedge \sum_j 2\pi n_j \omega_j \\ &= \frac{1}{4\pi R^2} \int_X \|\mathcal{B}\|^2 + 2\pi i \int_X a^i n_j (\omega_j \wedge \omega^i) \\ &= \frac{1}{4\pi R^2} \int_X \|\mathcal{B}\|^2 + 2\pi i \int_X a^i n_i \end{aligned}$$

Now use  $\sum_{n \in \mathbb{Z}} e^{2\pi i n a} = \sum_m \delta(a-m)$

So, the  $\sum$  over  $n_i$  imposes the constraint that all  $a^i \in \mathbb{Z}!$

Altogether our constraints say that  $\mathcal{B} = d\vartheta$  where  $\vartheta: \Sigma \rightarrow S^1_{2\pi}$   
( $\vartheta$  not unique, but that's OK)

So, we have reduced to an effective action

$$S(\vartheta) = \frac{1}{4\pi R^2} \int_X \|d\vartheta\|^2$$

A bizarre-looking equivalence between  $\int$  over maps  $\Sigma' \rightarrow S^1_{2\pi R}$  and  $\Sigma' \rightarrow S^1_{\frac{2\pi}{R}}$ !

Moreover, it can be extended — not only to partition functions but also to observables in the two theories.

For example:  $\forall x \in X$ , have a map " $d\varphi(x)$ ":  $\mathcal{C} \rightarrow T_x^*X$   
given by  $\varphi \mapsto d\varphi(x)$

This gives a  $(T_x^*X\text{-valued})$  observable.

Similarly can define observable  $\star d\varphi(x)$ .

T-duality says  $\langle d\varphi(x_1) d\varphi(x_2) \rangle$  in theory w/  $Y = S^1_R$   
 $\langle \stackrel{\star}{\star} d\varphi(x_1) \stackrel{\star}{\star} d\varphi(x_2) \rangle$  " " "  $Y = S^1_{\frac{2\pi}{R}}$

(both sides valued in  $T_{x_1}^*X \otimes T_{x_2}^*X$ )

And similarly for arbitrary insertions like  $\langle d\varphi(x_1) d\varphi(x_2) \star d\varphi(x_3) \star d\varphi(x_4) \rangle, \dots$   
("Provable" by a generalization of what we did above — make an appropriate insertion in the path int. of the theory w/ action  $S_{\text{big}}$ , see what it becomes on both sides...)

In short: T-duality maps  $d\varphi \longleftrightarrow \star d\varphi$

We thus have a QFT s.t. all quantities of interest can be computed in 2 distinct ways. "One theory, 2 different classical descriptions"

Next, we'll see an example where we have infinitely many diff. classical descrip...

## Symmetries

In general both  $\text{Isom}(X)$  and  $\text{Isom}(Y)$  act on  $\mathcal{C}$  preserving  $S$ .

e.g. if  $X = \mathbb{R}^2$  then  $G = \text{Isom}(\mathbb{R}^2) = \text{IO}(2)$  acts ("Poincaré group").

$\mathcal{O}$  spanned by:

$H$	corresponds to translation in <u>time</u>	$\left. \begin{matrix} \cup \\ \text{space} \end{matrix} \right\} \rightsquigarrow$	3 odd vector fields on $\mathcal{C}$
$P$	" " "		
$J$	" " " <u>rotation</u>		

The corresp. Noether charges are energy, momentum, angular momentum.

## SUSY

As in 1d, we can augment  $\mathcal{O}^\circ$  by some odd vector fields.  $\mathcal{O} = \mathcal{O}^\circ \oplus \mathcal{O}^\perp$

$\mathcal{O}^\perp$  is then a rep<sup>n</sup> of  $\mathcal{O}^\circ$ . Consider flat  $X$ , so  $\mathcal{O}^\circ = \text{ISO}(d)$ .

In all cases we'll consider,  $\mathcal{O}_\mathbb{C}^\perp$  is  $\oplus$  of copies of spinor representations  $S^\pm$  of  $\text{ISO}(d)$ . ("Spin-statistics thm" says this is what always happens in QFT.)

Thus label distinct amounts of SUSY by pair of multiplicities  $N = (n^+, n^-)$ .

The  $\sigma$ -model admits various supersymmetric extensions. Most famous one: if  $Y$  Kähler we can define  $N = (2, 2)$  SUSY  $\sigma$ -model w/ target  $Y$ .

Even more interesting, for non-flat  $X$ , and  $Y$  Kähler, we can still define an extension of the  $\sigma$ -model which has 1 odd symmetry (and no even ones). In fact we can do it in 2 distinct ways:

<u>A model</u>	(localizes on holomorphic maps)	<small>[Witten: "Topological <math>\sigma</math>-models"]</small>
<u>B model</u>	(localizes on constant maps)	

T-duality continues to ex. st in this setting.

This route would lead eventually to mirror symmetry...