# MATH 341 MIDTERM 2

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# Show your work for all the problems. Good luck!

(1) Let A and B be defined as follows:

$$A = \begin{bmatrix} 1 & 1 & 2 \\ 1 & 2 & 3 \end{bmatrix}, B = \begin{bmatrix} 0 & 2 & 2 \\ 1 & 3 & 4 \end{bmatrix}$$

(a) [5 pts] Demonstrate that A and B are row equivalent by providing a sequence of row operations leading from A to B.

## Solution:

As usual, a good way to do this is to row reduce both A and B. Since they are row equivalent, they will have the same row reduced echelon form, which can be used to solve the question. Accordingly, we row reduce A:

$$\begin{bmatrix} 1 & 1 & 2 \\ 1 & 2 & 3 \end{bmatrix} \xrightarrow{R_2:R_2-R_1} \begin{bmatrix} 1 & 1 & 2 \\ 0 & 1 & 1 \end{bmatrix} \xrightarrow{R_1:R_1-R_2} \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \end{bmatrix}$$

Now, we row reduce B:

$$\begin{bmatrix} 0 & 2 & 2\\ 1 & 3 & 4 \end{bmatrix} \xrightarrow{\text{Swap } R_1 \text{ and } R_2} \begin{bmatrix} 1 & 3 & 4\\ 0 & 2 & 2 \end{bmatrix} \xrightarrow{R_2:\frac{1}{2} \times R_2} \begin{bmatrix} 1 & 3 & 4\\ 0 & 1 & 1 \end{bmatrix} \xrightarrow{R_1:R_1 - 3R_2} \begin{bmatrix} 1 & 0 & 1\\ 0 & 1 & 1 \end{bmatrix}$$

To get from A to B, perform the operations getting A to the common row-reduced echelon form, then reverse the operations that went into row reducing B. Therefore, a possible sequence of row operations is:

$$R_2 \to R_2 - R_1, R_1 \to R_1 - R_2, R_1 \to R_1 + 3R_2, R_2 \to 2 \times R_2$$
, Swap  $R_1$  and  $R_2$ 

(b) [5 pts] Check whether [1, 4, 5] is in the row space of A and if it is, write it as a linear combination of the rows.

#### Solution:

A vector is in the row space of a matrix if it can be written a linear combination of the rows. Therefore, we need to solve for  $c_1$  and  $c_2$  such that

$$[1,4,5] = c_1[1,1,2] + c_2[1,2,3]$$

This simplifies to

$$[1,4,5] = [c_1 + c_2, c_1 + 2c_2, 2c_1 + 3c_2]$$

Writing this down as an augmented system and solving, we get

$$\begin{bmatrix} 1 & 1 & | & 1 \\ 1 & 2 & | & 4 \\ 2 & 3 & | & 5 \end{bmatrix} \xrightarrow{R_2:R_2 - R_1, R_3:R_3 - 2R_2} \begin{bmatrix} 1 & 1 & | & 1 \\ 0 & 1 & | & 3 \\ 0 & 1 & | & 3 \end{bmatrix} \xrightarrow{R_1:R_1 - R_2, R_3:R_3 - R_2} \begin{bmatrix} 1 & 0 & | & -2 \\ 0 & 1 & | & 3 \\ 0 & 0 & | & 0 \end{bmatrix}$$

This corresponds to  $c_1 = -2, c_2 = 3$ . Therefore, [1, 4, 5] is in the row space of A, and

$$[1,4,5] = -2[1,1,2] + 3[1,2,3]$$

- (2) Let A be an  $m \times n$  matrix with rows  $\vec{r_1}, \vec{r_2}, \ldots, \vec{r_m}$ . Let  $R_1$  be the row operation Row  $1 \to 2 \times \text{Row1}$ , and  $R_2$  be the row operation Swap Rows 1 and 2.
  - (a) [5 pts] What is the first row of  $R_1(R_2(A))$  in terms of  $\vec{r_1}, \vec{r_2}, \ldots, \vec{r_m}$ ? (Hint: Think a little before deciding which row operations to do first!)

#### Solution:

We know that

$$A = \begin{bmatrix} \vec{r_1} \\ \vec{r_2} \\ \vdots \\ \vec{r_m} \end{bmatrix}$$

Therefore,

$$R_2(A) = \begin{bmatrix} \vec{r}_2 \\ \vec{r}_1 \\ \vdots \\ \vec{r}_m \end{bmatrix} \text{ and so } R_1(R_2(A)) = R_1 \left( \begin{bmatrix} \vec{r}_2 \\ \vec{r}_1 \\ \vdots \\ \vec{r}_m \end{bmatrix} \right) = \begin{bmatrix} 2\vec{r}_2 \\ \vec{r}_1 \\ \vdots \\ \vec{r}_m \end{bmatrix}$$

Thus, the first row of  $R_1(R_2(A))$  is  $2\vec{r_2}$ .

(b) [5 pts] What is the first row of  $R_2(R_1(A))$  in terms of  $\vec{r_1}, \vec{r_2}, \ldots, \vec{r_m}$ ?

# Solution:

Using the expression for A from part (a), we see that

$$R_1(A) = \begin{bmatrix} 2\vec{r}_1 \\ \vec{r}_2 \\ \vdots \\ \vec{r}_m \end{bmatrix} \text{ and so } R_2(R_1(A)) = R_2 \begin{pmatrix} \begin{bmatrix} 2\vec{r}_1 \\ \vec{r}_2 \\ \vdots \\ \vec{r}_m \end{bmatrix} = \begin{bmatrix} \vec{r}_2 \\ 2\vec{r}_1 \\ \vdots \\ \vec{r}_m \end{bmatrix}$$

Thus, the first row of  $R_2(R_1(A))$  is  $2\vec{r_2}$ .

(c) [5 pts] Prove that if  $\vec{x}$  and  $\vec{y}$  are both in the row space of A, then so is  $\vec{x} + \vec{y}$ .

#### **Proof:**

Assumptions:  $\vec{x}$  and  $\vec{y}$  are both in the row space of A. Need to show:  $\vec{x} + \vec{y}$  is in the row space of A.

Since  $\vec{x}$  is in the row space of A, it is a linear combination of the rows of A. Since the rows of A are  $\vec{r_1}, \vec{r_2}, \ldots, \vec{r_n}$ , here exist constants  $c_1, c_2, \cdots, c_n$  such that

$$\vec{x} = c_1 \vec{r_1} + \dots + c_n \vec{r_n}$$

Similarly, since  $\vec{y}$  is in the row space of A, there exist constants  $d_1, d_2, \dots, d_n$  such that

$$\vec{y} = d_1 \vec{r_1} + \dots + d_n \vec{r_n}$$

Therefore,

$$\vec{x} + \vec{y} = (c_1 \vec{r_1} + \dots + c_n \vec{r_n}) + (d_1 \vec{r_1} + \dots + d_n \vec{r_n})$$
$$= (c_1 + d_1)\vec{r_1} + \dots + (c_n + d_n)\vec{r_n}$$

Since  $c_1 + d_1, c_2 + d_2, \ldots, c_n + d_n$  are clearly all constants, the above expression shows that  $\vec{x} + \vec{y}$  is in the row space of A, as required.

(3) Let A be defined as below:

$$A = \begin{bmatrix} -1 & 0 & 1\\ 2 & 1 & 0\\ -1 & 1 & 2 \end{bmatrix}$$

(a) [5 pts] Calculate  $A^{-1}$  if A is nonsingular, or prove that it is singular.

# Solution:

As usual, we augment A with the identity matrix and row reduce A: if A row reduces to the identity matrix, then the final result is  $[I_n|A^{-1}]$ , and otherwise A is singular.

Therefore, A is nonsingular, and

$$A^{-1} = \begin{bmatrix} 2 & 1 & -1 \\ -4 & -1 & 2 \\ 3 & 1 & -1 \end{bmatrix}$$

(b) [5 pts] Calculate |A| by using row or column expansion.

# Solution:

Expanding along the first row, we have that

$$\begin{vmatrix} -1 & 0 & 1 \\ 2 & 1 & 0 \\ -1 & 1 & 2 \end{vmatrix} = (-1) \cdot \begin{vmatrix} 1 & 0 \\ 1 & 2 \end{vmatrix} + 0 \cdot \begin{vmatrix} 2 & 0 \\ -1 & 2 \end{vmatrix} + 1 \cdot \begin{vmatrix} 2 & 1 \\ -1 & 1 \end{vmatrix}$$
$$= -2 + 3 = \boxed{1}$$

(c) [5 pts] Calculate |A| using row reduction (feel free to reuse your work from part (a) for this!)

# Solution:

From part (a), we know the sequence of row reductions that gets A into row reduced row echelon form. Tracking what those row reductions do to the determinant, we get that

$$\begin{split} |A| \xrightarrow{R_2:R_2+2R_1} |A| \xrightarrow{R_3:R_3-R_1} |A| \xrightarrow{R_1:(-1)\times R_1} -|A| \xrightarrow{R_3:R_3-R_2} -|A| \\ \xrightarrow{R_1:R_1-R_3} -|A| \xrightarrow{R_2:R_2+2R_3} -|A| \xrightarrow{R_3:(-1)\times R_3} |A| \end{split}$$

Therefore, the determinant of the row reduced echelon form of A is precisely |A|, and hence

$$|A| = \left| \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \right| = \boxed{1}$$

Note that we got the same answer as in part (b), as expected!

(4) Define A to be the following matrix:

$$A = \begin{bmatrix} 1 & 2\\ 0 & 1 \end{bmatrix}$$

(a) [5 pts] Find the characteristic polynomial of A.

# Solution:

By definition, the characteristic polynomial of A is

$$p_A(x) = |xI_n - A| = \left| x \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} - \begin{bmatrix} 1 & 2 \\ 0 & 1 \end{bmatrix} \right| = \left| \begin{array}{c} x - 1 & -2 \\ 0 & x - 1 \end{array} \right|$$
$$= (x - 1)(x - 1) = \boxed{(x - 1)^2}$$

(b) [5 pts] Find the eigenvalues of A.

## Solution:

The eigenvalues of A are the roots of the characteristic polynomial of A. Therefore, setting  $p_A(x)$  to 0, we get  $0 = (x-1)^2 \implies x = 1$ 

Therefore, the only eigenvalue of A is 
$$\lambda = 1$$
.

(c) [5 pts] Pick an eigenavalue of A, and find the fundamental eigevenctors for that eigenvalue.

#### Solution:

A only has the one eigenvalue 1, therefore we need to find the fundamental eigenvector for it. To find that, solve the system  $(\lambda I - A)\vec{x} = \vec{0}$ . Since  $\lambda = 1$ ,

$$\lambda I - A = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} - \begin{bmatrix} 1 & 2 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 2 \\ 0 & 0 \end{bmatrix}$$

Thus, the system  $(\lambda I - A)\vec{x} = \vec{0}$  corresponds to the following augmented matrix (which row reduces very simply):

$$\begin{bmatrix} 0 & 2 & | & 0 \\ 0 & 0 & | & 0 \end{bmatrix} \xrightarrow{R_1:1/2 \times R_1} \begin{bmatrix} 0 & 1 & | & 0 \\ 0 & 0 & | & 0 \end{bmatrix}$$

This system clearly corresponds to

$$c_1 = c_1$$
$$c_2 = 0$$

Therefore,

$$E_1 = \{\vec{x} \mid A\vec{x} = \vec{x}\} = \left\{ \begin{bmatrix} c_1 \\ 0 \end{bmatrix} \middle| c_1 \in \mathbb{R} \right\} = \left\{ c_1 \begin{bmatrix} 1 \\ 0 \end{bmatrix} \middle| c_1 \in \mathbb{R} \right\}$$

Thus, the fundamental eigenvector is  $\begin{bmatrix} 1\\ 0 \end{bmatrix}$ . (Of course, any scalar multiple of this is also correct!)

(5) Define the *permanent* per(A) of a matrix A very similarly to the determinant: for a  $1 \times 1$  matrix  $A = [a_{11}]$ ,  $per(A) = a_{11}$ , and for an  $n \times n$  matrix it is defined recursively as

$$\operatorname{per}(A) = a_{11}\operatorname{per}(A_{11}) + a_{12}\operatorname{per}(A_{12}) + \dots + a_{1n}\operatorname{per}(A_{1n})$$

where  $A_{ij}$  is defined as usual to be the matrix A with row i and column j crossed out. As you can see, we define it using expansion along the first row, except that the sum doesn't alternate the way it does with determinants.

For example,

$$per \begin{bmatrix} 1 & 2 \\ 2 & 3 \end{bmatrix} = 1 \cdot per([3]) + 2 \cdot per([2]) = 1 \cdot 3 + 2 \cdot 2 = 7$$

(a) [5 pts] Calculate the permanent of the following matrix:

$$A = \begin{bmatrix} 1 & 2\\ -1 & 4 \end{bmatrix}$$

Solution:

$$per\begin{bmatrix}1 & 2\\-1 & 4\end{bmatrix} = 1 \cdot per([4]) + 2 \cdot per([-1]) = 1 \cdot 4 + 2 \cdot (-1) = \boxed{2}$$

(b) [5 pts] Prove that if  $per(A) \neq 0$ , then at least one entry of the first row of A is nonzero.

#### **Proof:**

Let's use the contrapositive. The contrapositive of "C implies D" is "not D implies not C." Our original statement translates to: " $per(A) \neq 0$  implies that at least one entry of the first row of A is nonzero." Therefore, the contrapositive is "All of the entries of the first row of A being 0 implies that per(A) = 0."

Assume: The first row of A is 0. Need to show: per(A) = 0.

By definition,

$$per(A) = a_{11}per(A_{11}) + a_{12}per(A_{12}) + \dots + a_{1n}per(A_{1n})$$
$$= 0 \cdot per(A_{11}) + 0 \cdot per(A_{12}) + \dots + 0 \cdot per(A_{1n}) = 0$$

as required.

(c) [5 pts] Prove that if A is an  $n \times n$  matrix all of whose entries are positive, then per(A) is positive as well. (This is NOT true for the determinant, by the way!)

#### **Proof:**

This proof uses induction on n. The nth statement is "For any  $n \times n$  matrix A all of whose entries are positive, per(A) is positive as well."

#### Base case:

Show that the statement holds for n = 1.

Asssume: A is a  $1 \times 1$  matrix with positive entries. Need to show: per(A) > 0.

Let A be a  $1 \times 1$  matrix. Then, we can say that  $A = [a_{11}]$ . In that case,

 $per(A) = a_{11} > 0$ 

as required.

#### Inductive step:

Here, we show that the statement for n = k implies the statement for n = k + 1.

Assume: If A is a  $k \times k$  matrix with positive entries, then per(K) > 0. Need to show: If A is a  $(k+1) \times (k+1)$  matrix with positive entries, then per(K) > 0.

Let A be a  $(k+1) \times (k+1)$  matrix with entries  $a_{ij}$ . By definition,

 $per(A) = a_{11}per(A_{11}) + a_{12}per(A_{12}) + \dots + a_{1(k+1)}per(A_{1(k+1)})$ 

By the inductive hypothesis, since  $A_{ij}$  is a  $k \times k$  matrix,  $per(A_{ij}) > 0$  for each *i* and *j*. Furthermore, we know that  $a_{ij} > 0$  for each *i* and *j*. Since the product of a pair of positive numbers is positive, this shows that

 $a_{11}\operatorname{per}(A_{11}) > 0, a_{12}\operatorname{per}(A_{12}) > 0, \dots, a_{1(k+1)}\operatorname{per}(A_{1(k+1)}) > 0$ 

Adding up k + 1 positive numbers clearly leads to a positive number, and thus we get that per(A) > 0, as required.

- (6) Consider the set  $S_A = \{ \vec{x} \mid A\vec{x} = [1, 1]^T \}$ 
  - (a) [5 pts] Let A be defined as

$$A = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

Without solving any linear systems, check whether  $\vec{x} = [1, -1, 1]$  is in  $S_A$ .

## Solution:

By definition,  $\vec{x}$  is in  $S_A$  if

$$A\vec{x} = \begin{bmatrix} 1\\1 \end{bmatrix}$$

Therefore, we just need to check whether  $A\vec{x}$  is correct. Checking,

$$A\vec{x} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 \\ -1 \end{bmatrix} \neq \begin{bmatrix} 1 \\ 1 \end{bmatrix}$$

Therefore,  $\vec{x}$  is not in  $S_A$ .

(b) [5 pts] Now let A be some  $2 \times n$  matrix (not necessarily the matrix in part (a), although it is some fixed matrix.) If the set  $S_A$  contains infinitely many vectors, what does that tell you about the set of solutions to the system  $A\vec{x} = \vec{0}$ ?

## Solution:

From equivalences we learned earlier in the course, the fact that  $S_A$  contains infinitely many vectors means precisely that the set of solutions to  $A\vec{x} = \vec{0}$  is infinite.

(a) [2 pts] Let

$$H = \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

If A is a  $3 \times n$  matrix, then HA is equal to R(A) for some row operation R. What is that row operation? You don't need to prove it. (**Hint:** Try some examples!)

#### Solution:

To try an example, let

$$A = \begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \\ 7 & 8 & 9 \end{bmatrix}$$

Then,

$$HA = \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \\ 7 & 8 & 9 \end{bmatrix} = \begin{bmatrix} 4 & 5 & 6 \\ 1 & 2 & 3 \\ 7 & 8 & 9 \end{bmatrix}$$

Clearly, HA is A with rows 1 and 2 swapped. Therefore,

$$R =$$
Swap Rows 1 and 2

(b) [4 pts] Find a  $n \times m$  matrix G such that for every  $n \times n$  matrix A, GA = R(A), where the row operation R is Row  $i \to \text{Row } i + c \cdot \text{Row } j$ . (You don't need to prove that it works!)

#### Solution:

We define the matrix G entry by entry. If the (k, l) entry of G is  $g_{kl}$  (we're not using i and j since those letters were already used for something specific), then we have that

$$g_{kl} = \begin{cases} 1 & k = l \\ c & (k,l) = (i,j) \\ 0 & \text{otherwise} \end{cases}$$

If this is too much notation, what the above description says is that G is equal to  $I_n$  everywhere except at the (i, j) entry, and that  $g_{ij} = c$ .

As an example, say that we want a  $3 \times 3$  matrix G that performs the row operation  $R = \text{Row } 2 \rightarrow \text{Row } 2+5 \cdot \text{Row } 1$ . According to the above description, G will be precisely  $I_3$ , except that  $g_{21} = 5$ . Thus,

$$G = \begin{bmatrix} 1 & 0 & 0 \\ 5 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Let's check that this works. Define

$$A = \begin{bmatrix} 1 & -1 & 0 \\ 1 & 1 & 1 \\ 3 & 4 & 5 \end{bmatrix}$$

Then, we have that

$$GA = \begin{bmatrix} 1 & 0 & 0 \\ 5 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & -1 & 0 \\ 1 & 1 & 1 \\ 3 & 4 & 5 \end{bmatrix} = \begin{bmatrix} 1 & -1 & 0 \\ 6 & -4 & 1 \\ 3 & 4 & 5 \end{bmatrix}$$

which is precisely R(A), as expected.

(c) [4 pts] Prove that your answer from part (b) works.

## Solution:

Let G be defined as above. We need to show that for any  $n \times m$  matrix A, GA = R(A), where R is the row operation Row  $i \to \text{Row } i + c \cdot \text{Row } j$ . We'll work this out entry by entry. Let the (k, l) entry of A be  $a_{kl}$  (we don't use the letters i and j because they've been used already, like before.) Then,

$$R(A) = \begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ \vdots & \vdots & \vdots & \vdots \\ a_{i1} + ca_{j1} & a_{i2} + ca_{j2} & \cdots & a_{in} + ca_{jn} \\ \vdots & \vdots & \vdots & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{bmatrix}$$

To be precise, we have that

$$(k,l) \text{ entry of } R(A) = \begin{cases} a_{kl} & \text{if } k \neq i \\ a_{il} + ca_{jl} & \text{if } k = i \end{cases}$$

Let us now show that the (k, l) entry of GA is the same. As usual,

(k, l) entry of  $GA = (row k of G) \cdot (column l of A)$ 

If  $k \neq i$ , then it's clear that the kth row of G is just  $[0, 0, \dots, 1, \dots, 0]$  where the 1 is in the kth place. Therefore, if  $k \neq i$ ,

$$(k, l)$$
 entry of  $GA = [0, \dots, 1, \dots, 0] \cdot [a_{1l}, a_{2l}, \dots, a_{nl}] = a_{kl}$ 

If k = i, then the kth row of G is the *i*th row of G, which is all 0s except a 1 in the *i*th place and a c in the *j*th place. Therefore,

(i, l) entry of  $GA = [0, \dots, 1, \dots, c, \dots, 0] \cdot [a_{1l}, a_{2l}, \dots, a_{nl}] = a_{il} + ca_{jl}$ 

Therefore, we see that

$$(k,l) \text{ entry of } GA = \begin{cases} a_{kl} & \text{if } k \neq i \\ a_{il} + ca_{jl} & \text{if } k = i \end{cases}$$

which is precisely what we got for the (k, l) entry of R(A). Therefore, R(A) = GA, as required.