

Lie Groups Solutions, Problem Set # 4

Section 2.5:

2: If  $F$  is  $\mathfrak{g}$ -stable, then  $X\mathbf{v} \in F$  for all  $X \in \mathfrak{g}$ ,  $\mathbf{v} \in F$ . Likewise,  $X^2\mathbf{v} = X(X\mathbf{v}) \in F$ , and by induction  $X^n\mathbf{v} \in F$ . Since  $F$  is a vector space,  $\exp(X)\mathbf{v} = \sum X^n\mathbf{v}/n! \in F$ , so  $\exp(\mathfrak{g})$  sends  $F$  to itself. Thus the group generated by  $\exp(\mathfrak{g})$  sends  $F$  to itself. Since  $G$  is connected, that is all of  $G$ .

Conversely, if  $F$  is  $G$ -stable and  $X \in \mathfrak{g}$ , then  $\exp(tX)\mathbf{v} \in F$  for all  $\mathbf{v} \in F$ . Taking a derivative with respect to  $t$  at  $t = 0$  means that  $X\mathbf{v} \in F$ .

5:  $SO(3)$  and  $SU(2)$  are NOT complex, nor are  $O(3)$  or  $SL(2, R)$  or the Euclidean group acting on  $R^2$ . (Any complex group must have an even real dimension, so these 3-dimensional examples are easily eliminated). However,  $SL(2, C)$  is complex, as is  $SL(n, C)$ , and as is  $GL(n, C)$ . The triangle groups of Example 6 are complex (if  $E$  is a complex vector space) as is the group of affine transformations when  $E$  is complex. Finally, the direct product of two complex groups is complex.

7: (a) Any path through the origin in  $G$  can be written uniquely as the product of a path in  $M$  and a path in  $N$ :  $\gamma(t) = \alpha(t)\beta(t)$ , and at  $t = 0$  we have  $d\gamma/dt = d\alpha/dt + d\beta/dt$ . Thus  $\mathfrak{g} = \mathfrak{m} + \mathfrak{n}$ . Since  $M \cap N = 1$ ,  $\mathfrak{m} \cap \mathfrak{n} = 0$ , so  $\mathfrak{g} = \mathfrak{m} \oplus \mathfrak{n}$ . Since  $M$  is a subgroup,  $\mathfrak{m}$  is a sub-algebra. Since  $N$  is a normal subgroup,  $\mathfrak{n}$  is an ideal.

(b) By Baker-Campbell-Hausdorff,  $\exp(-X)\exp(X+Y) = \exp(Z)$ , with  $Z$  given by a sum of brackets. Since  $\mathfrak{n}$  is an ideal, all terms of the brackets are in  $\mathfrak{n}$ , so  $Z \in \mathfrak{n}$ , and we can define  $A(X)Y = Z$ . Note the expression  $\exp A(X)Y$  should be read as  $\exp(A(X)Y)$ , and not as  $(\exp A(X))Y$ .

(c) First note that, by Dynkin's formula,  $\exp(X)\exp(tY) = \exp(W(t))$ , where  $W(t) - X \in \mathfrak{n}$ , by the same argument as above. When  $N$  is Abelian, we re-do the derivation of Dynkin's formula as follows: Let  $\exp(W(t)) = \exp(X)\exp(tY)$ . Then  $de^W/dt = e^W Y$ . However,  $de^W/dt = e^W[(1 - \exp(-adW))/ad(W)]dW/dt$ , so  $dW/dt = [(1 - \exp(-adW))/ad(W)]^{-1}Y$ . However, acting on  $\mathfrak{n}$ ,  $ad(W) = ad(X)$ , since  $\mathfrak{n}$  is Abelian. Thus  $dW/dt = A(X)^{-1}Y$ , so  $W(1) = W(0) + A(X)^{-1}Y = X + A(X)^{-1}Y$ . That is, we have proven that  $\exp(X)\exp(Y) = \exp(X + A(X)^{-1}Y)$ . Now, replacing  $Y$  with  $A(X)Y$ , we get  $\exp(X)\exp(A(X)Y) = \exp(X + Y)$ .

(d) The affine group is the set of all matrices  $\begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix}$  with  $a$  invertible and  $b \in E$ .

This is (uniquely) factored as  $\begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & a^{-1}b \\ 0 & 1 \end{pmatrix}$ .

11: Recall that we have an inner product on  $\mathfrak{g}$ , namely  $\langle X|Y \rangle = \text{Tr}(X^*Y)$ . Relative to this inner product,  $(ad(Z))^* = ad(Z^*)$ , where on both sides the superscript  $*$  means

adjoint. This is easily checked:  $\langle X|ad(Z)Y \rangle = \text{Tr}(X^*(ZY - YZ)) = \text{Tr}((X^*Z - ZX^*)Y) = \langle ad(Z^*)X|Y \rangle$ .

(a) Since  $Z$  is self-adjoint,  $ad(Z)$  is self adjoint, hence diagonalizable with real eigenvalues, so  $\mathfrak{g}$  is the direct sum of eigenspaces with real eigenvalues.

(b) If  $X \in \mathfrak{g}_\lambda$  and  $Y \in \mathfrak{g}_\mu$ , then by Jacobi,  $[Z, [X, Y]] = [[Z, X], Y] + [X, [Z, Y]] = [\lambda X, Y] + [X, \mu Y] = (\lambda + \mu)[X, Y]$ .

(c) If  $X \in \mathfrak{g}_\lambda$ , then  $[Z, X^*] = ZX^* - X^*Z = (-Z^*X + XZ^*)^* = -[Z^*, X]^* = -[Z, X]^* = -\lambda X^*$ .

(d) The fact that  $\mathfrak{q}$  is a subalgebra follows from (b). The fact that  $\mathfrak{k}$  is a subalgebra comes from the fact that  $[X, Y]^* = -[X^*, Y^*]$ . To see that  $\mathfrak{k} + \mathfrak{q} = \mathfrak{g}$  (not necessarily direct sum!), we decompose an arbitrary element of  $\mathfrak{g}$  into a  $\mathfrak{k}$  piece and a  $\mathfrak{q}$  piece. By (a), we can assume with loss of generality that  $X \in \mathfrak{g}_\lambda$ . If  $\lambda \geq 0$ , then  $X \in \mathfrak{q}$ . If  $\lambda < 0$ , then  $X = (X - X^*) + X^*$ , with  $X - X^* \in \mathfrak{k}$  and  $X^* \in \mathfrak{q}$ .

(e) (This is closely related to polar decomposition.) To see that  $L(K) = \mathfrak{k}$ , note that the derivative of the equation  $k(t)^*k(t) = 1$  at  $t = 0$  is  $X^* + X = 0$ , where  $X = dk/dt$ . Thus all elements of  $L(K)$  are anti-hermitian. Likewise, the exponential of any anti-hermitian elements of  $\mathfrak{g}$  are both unitary and in  $G$ , hence in  $K$ . It's obvious that  $\exp(\mathfrak{q}) \subset N_G(\mathfrak{q})$ , and hence that  $\mathfrak{q} \subset L(Q)$ . Conversely, if  $Y \in L(Q)$ , then  $\exp(Yt) \in Q$ , so  $\exp(Yt)X \exp(-Yt) \in \mathfrak{q}$  for all  $X \in \mathfrak{q}$ , so  $[Y, X] \in \mathfrak{q}$ . But  $Z \in \mathfrak{q}$ , so  $[Y, Z] \in \mathfrak{q}$ . But this means that  $Y \in \mathfrak{q}$ . To show that  $G = KQ$ , it suffices by problem 10 to show that  $KQ$  is closed. So suppose that we have a sequence  $k_j q_j$  that converges (in  $G$ ). Since  $K$  is compact, there is a subsequence such that  $k_j$  converges. But if  $k_j$  and  $k_j q_j$  both converge, then so does  $k_j^* k_j q_j = q_j$ , and we have that  $\lim k_j q_j = \lim k_j \lim q_j \in KQ$ .

12:  $K = SO(2)$ , and  $Q$  is the group of upper-triangular matrices with determinant 1. (Called "B" in Lemma 3B of section 2.1).

#### Section 2.6:

For these problems, note that the adjoint action of a group on its Lie algebra preserves a bilinear form on the Lie algebra, namely  $\langle X|Y \rangle = -\text{Tr}(XY)$ . Call this form  $K$  (for Killing). The adjoint action  $\text{Ad}$  gives a homomorphism from  $G$  to  $\text{Aut}(K)$ . In each example it is easy to see that the infinitesimal action  $\text{ad}$  is 1-1. Since the groups have the same dimension (in these examples), this induces a covering.

6: Define an action of  $SL(2, C)$  on  $C^3$  as follows. First identify  $C^3$  with the Lie algebra  $sl(2, C)$ , and then take the adjoint action of  $SL(2, C)$  on  $sl(2, C)$ . That is, if  $a \in SL(2, C)$  and  $X \in sl(2, C)$ , let  $\rho(a)X = \text{Ad}(a)X = aXa^{-1}$ . This is just the complexification of the adjoint action of  $SU(2)$  on  $su(2)$ , hence is the complexification

of the action of  $SO(3)$  on  $R^3$ , hence is an action of  $SO(3, C)$  on  $C^3$ .

7: (a) The bilinear form has signature (2,1), so the adjoint action gives a map  $SL(2, R) \rightarrow SO(2, 1)$ . Since  $SL(2, R)$  is connected, the image is connected, hence is in the identity component of  $SO(2, 1)$ . Since it is 3-dimensional, it IS the identity component.

(b) The Lie algebra is spanned by  $\begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & i \\ -i & 0 \end{pmatrix}$ , for which the Killing form has signature (1,2). So the image of the double cover map is a connected 3-dimensional subgroup of  $SO(1, 2) = SO(2, 1)$ , hence is the identity component.

(c) The Lie algebra of  $SL(2, R)$  is spanned by the anti-hermitian matrix  $X_1 = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  and the Hermitian matrices  $X_2 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  and  $X_3 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ , while  $su(1, 1)$  is spanned by the anti-hermitian matrix  $Y_1 = \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}$  and the Hermitian matrices  $Y_2 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  and  $Y_3 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ . These are conjugate by  $P = \begin{pmatrix} 1 & 1 \\ -i & i \end{pmatrix}$ . That is,  $Y_k = PX_kP^{-1}$ . This exponentiates to give  $SU(1, 1) = PSL(2, R)P^{-1}$ .

8: (a)  $SL(2, C) \times SL(2, C)$  acts on  $M_2(C) = C^4$  (NOT  $C^2$  – that's a typo) by  $X \rightarrow aXb^{-1}$ . Since  $\det(a) = \det(b) = 1$ , this preserves the determinant of  $X$ , which is a nondegenerate bilinear form on  $M_2(C)$ . Hence we have a homomorphism  $SL(2, C) \times SL(2, C) \rightarrow SO(4, C)$ . The groups have the same dimension, and the kernel of  $Lf$  is empty, and  $SO(4, C)$  is connected, so this is a covering map. The kernel is  $\{(1, 1), (-1, -1)\}$ , so it's a double cover.

(b) Let  $SL(2, C)$  act on the hermitian  $2 \times 2$  matrices (which are isomorphic to  $R^4$ , not to  $R^3$ ) by  $X \rightarrow aXa^*$ . As before, this preserves the determinant, which is a bilinear form. This bilinear form has signature (3,1), and the rest of the argument is as in (a).