

# A tropical approach to non-archimedean Arakelov theory

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# References

This is a report on joint work with Klaus Künnemann in

- [GK] W. Gubler, K. Künnemann: A tropical approach to non-archimedean Arakelov theory. arXiv:1406.7637

Further references:

- [Gu] W. Gubler: Forms and currents on the analytification of an algebraic variety (after Chambert–Loir and Ducros): arXiv:1303.7364
- [CD] A. Chambert-Loir, A. Ducros: Formes différentielles réelles et courants sur les espaces de Berkovich. arXiv: 1204.6277

$X$  regular projective variety over number field  $K$ .

- Algebraic intersection theory  $\rightsquigarrow$  geometric information about  $X$ , e.g. degree.
- Arithmetic intersection theory with arithmetic information about  $X$ , e.g. *height*.
  - $\dim X = 1$ : Arakelov, Faltings
  - $\dim X \geq 2$ : Gillet-Soulé

# Arithmetic intersection theory

Idea:

- Assume  $X$  has regular projective model  $\mathfrak{X}/O_K$ .
- $X(\mathbb{C})$  complex manifold.
- $g_Z$  Green current for cycle  $Z : \overset{\text{on } X(\mathbb{C})}{\iff} dd^c g_Z = [\omega_Z] - \delta_Z$  for a differential form  $\omega_Z$ . Here,  $dd^c := \frac{i}{2\pi} \partial \bar{\partial}$  and  $\delta_Z$  is the current of integration over  $Z(\mathbb{C})$ .
- $(\mathfrak{Z}, g_Z)$  arithmetic cycle  $:\iff \mathfrak{Z}$  cycle on  $\mathfrak{X}$  with generic fibre  $Z$ ,  $g_Z$  Green current for  $Z$ .
- $f \in K(X)^\times \Rightarrow \widehat{\text{div}}(f) := (\text{div}(f), -\log |f|)$  is arithmetic cycle.
- arithmetic intersection product for  $(\mathfrak{Y}, g_Y)$  and  $(\mathfrak{Z}, g_Z)$  st  $Y$  intersects  $Z$  properly in  $X$ :

$$(\mathfrak{Z}, g_Z) \cdot (\mathfrak{Y}, g_Y) := (\mathfrak{Y} \cdot \mathfrak{Z}, \underbrace{g_Y \wedge \delta_Z + \omega_Y \wedge g_Z}_{=: g_Y * g_Z})$$

# Problems

- Need regular models (resolution of singularities is often unknown)
- Canonical heights (e.g. on abelian varieties) cannot be described as an arithmetic intersection number.

## Dream

Use analytic spaces over  $K_v$  for  $v \nmid \infty$  instead of models and a similar analytic theory of currents.

# Tropical geometry and Lagerberg's superforms

- *polyhedron*  $\Delta$  in  $\mathbb{R}^r$  :

$$\Delta := \bigcap_{i=1}^N \{\omega \in \mathbb{R}^r \mid \langle u_i, \omega \rangle \geq \gamma_i\}, u_i \in \mathbb{Z}^r, \gamma_i \in \mathbb{R}$$

- *polyhedral complex*  $\Sigma$  in  $\mathbb{R}^r$ : finite set  $\Sigma$  of polyhedra in  $\mathbb{R}^n$  st

a)  $\Delta \in \Sigma \Rightarrow$  every face of  $\Delta$  is in  $\Sigma$

b)  $\Delta, \Delta' \in \Sigma \Rightarrow \Delta \cap \Delta'$  is face of  $\Delta$  and  $\Delta'$

- Let  $\Sigma$  be of pure dim.  $n$ ,  $\Sigma_n := \{\Delta \in \Sigma \mid \dim(\Delta) = n\}$ .

A *weight*  $m$  on  $\Sigma$  is  $m : \Sigma_n \rightarrow \mathbb{Z}$ .

# Lagerberg's superforms

$$A^{p,q}(\mathbb{R}^r) := C^\infty(\mathbb{R}^r) \otimes_{\mathbb{Z}} \wedge^p(\mathbb{Z}^r)^* \otimes_{\mathbb{Z}} \wedge^q(\mathbb{Z}^r)^*$$

$\rightsquigarrow$  bigraded differential alternating algebra wrt  $d'$ ,  $d''$ :

In coordinates and with multiindex notation

$$\begin{aligned}\alpha &= \sum_{|I|=p, |J|=q} f_{IJ} d'x_I \wedge d''x_J \\ d'\alpha &= \sum_{i=1}^r \sum_{I,J} \frac{\partial f_{IJ}}{\partial x_i} d'x_i \wedge d'x_I \wedge d''x_J \\ d''\alpha &= \sum_{j=1}^r \sum_{I,J} \frac{\partial f_{IJ}}{\partial x_j} d''x_j \wedge d'x_I \wedge d''x_J.\end{aligned}$$

# Tropical geometry and Lagerberg's superforms

- Integration of  $\alpha \in A_c^{n,n}(\mathbb{R}^r)$  over  $n$ -dim polyhedron  $\Delta$  is well defined  
 $\rightsquigarrow$  current  $\delta_\Delta \in D_{n,n}(\mathbb{R}^r) =: D^{r-n,r-n}(\mathbb{R}^r)$
- For a weighted polyhedral complex  $(\Sigma, m)$  of pure dimension  $n$   
 $\rightsquigarrow$  current  $\delta_{(\Sigma, m)} = \sum_{\Delta \in \Sigma_n} m_\Delta \delta_\Delta \in D_{n,n}(\mathbb{R}^r)$
- $(\Sigma, m)$  is a *tropical cycle*  $\Leftrightarrow d' \delta_{(\Sigma, m)} = 0$  ( $\Leftrightarrow$  balancing condition)



# Facts from tropical geometry:

From now on,  $K$  is an algebraically closed field which is complete with respect to a non-trivial non-archimedean absolute value.

- There is a well-defined intersection product of tropical cycles on  $\mathbb{R}^r$  (no equivalence needed)
- For closed subvariety  $U$  of  $T = \mathbb{G}_m^r$  over  $K$ , let  $\text{trop} : T^{\text{an}} \rightarrow \mathbb{R}^r, t \mapsto (v(t_1), \dots, v(t_r))$  and  $\text{Trop}(U) := \text{trop}(U^{\text{an}})$ .

Then  $\text{Trop}(U)$  is a *tropical cycle* with canonical weights.

## Definition

A current in  $D^{p,q}(\mathbb{R}^r)$  is a  $\delta$ -preform of type  $(p, q)$

$:\Leftrightarrow$  it is of the form

$$\sum_{i=1}^N \alpha_i \wedge \delta_{C_i}$$

with  $\alpha_i \in A^{p_i, q_i}(\mathbb{R}^r)$  and  $C_i$  tropical cycle of codimension  $k_i$  with  $(p, q) = (p_i + k_i, q_i + k_i)$

$\rightsquigarrow$  bigraded differential algebra  $P^{\bullet, \bullet}(\mathbb{R}^r)$  wrt  $d', d''$ , where  $\delta_{C_i} \wedge \delta_{C'_j} := \delta_{C_i \cdot C'_j}$  using the tropical intersection product.

$X$  algebraic variety over  $K$ .

## Definition

A *tropical chart*  $(V, \varphi_U)$  consists of

- open subset  $U$  of  $X$
- closed immersion  $\varphi_U : U \hookrightarrow T := \mathbb{G}_m^r$ ,  
 $\text{trop}_U := \text{trop} \circ \varphi_U : U^{\text{an}} \rightarrow N_U := \mathbb{R}^r$
- open subset  $\Omega$  of  $\text{Trop}(U)$  st.  $V := \text{trop}_U^{-1}(\Omega)$  (open in  $U^{\text{an}}$ )

Tropical charts form a basis for  $X^{\text{an}}$ .

# $\delta$ -forms and $\delta$ -currents

## Definition

A  $\delta$ -form  $\alpha$  on  $X^{\text{an}}$  is given by tropical charts  $(V_i, \varphi_{U_i})_{i \in I}$  covering  $X^{\text{an}}$  and  $\alpha_i \in P^{\bullet, \bullet}(N_{U_i})$  st

$$\alpha = \alpha' \Leftrightarrow \alpha_i|_{V_i \cap V'_j} = \alpha'_j|_{V_i \cap V'_j} \quad \text{in a tropical sense (see [GK])}$$

$\rightsquigarrow$  bigraded differential alternating algebra  $B^{\bullet, \bullet}(X^{\text{an}})$  wrt  $d', d''$ .

Topological dual  $B_C^{\bullet, \bullet}(X^{\text{an}})$  is  $E^{\bullet, \bullet}(X^{\text{an}}) =:$  space of  $\delta$ -currents.

Using only Lagerberg's superforms and skipping tropical cycles

similarly  
 $\rightsquigarrow$  smooth  $(p, q)$ -forms of Chambert-Loir and Ducros (see [CD], [Gu]) leading to a subalgebra  $A^{\bullet, \bullet}(X^{\text{an}})$  of  $B^{\bullet, \bullet}(X^{\text{an}})$ .

$\delta$ -forms are analogous to complex differential forms with logarithmic singularities.

# First Chern $\delta$ -current form

$L$  line bundle in  $X$ ,  $\|\cdot\|$  continuous metric in  $L^{\text{an}}$ .  
For a local frame  $t$  of  $L$  on open subset  $U$  of  $X$ ,

$$[c_1(L, \|\cdot\|)] := d'd'' [-\log \|t\|]$$

is a  $\delta$ -current on  $U^{\text{an}}$  independent of the choice  $t$ .

By a partition of unity argument, we get a well-defined  $\delta$ -current  $[c_1(L, \|\cdot\|)]$  called the *first Chern  $\delta$ -current*.

Similarly as in [CD], we have

## Poincaré-Lelong formula

$s$  meromorphic section of  $L$

$$\Rightarrow d'd'' [-\log \|s\|] = [c_1(L, \|\cdot\|)] - \delta_{\text{div}(s)} \quad \text{as } \delta\text{-currents.}$$

# Model-metrics and $\delta$ -metrics

Important are *model-metrics*:  $(X, L)$  generic fibre of  $(\mathfrak{X}, \mathcal{L})$

$x \in X^{\text{an}} \rightsquigarrow \text{red}(x) \in \mathfrak{X}_s$  (special fiber)

Choose trivialization  $\mathcal{L}|_{\mathcal{U}} \cong \mathcal{O}_{\mathcal{U}}$  at  $\text{red}(x)$ :

$s \in \Gamma(\mathcal{U}, \mathcal{L}) \leftrightarrow \gamma \in \mathcal{O}(\mathcal{U})$

*model metric*  $\|\cdot\|_{\mathcal{L}}$  is given at  $x$  by  $\|s(x)\|_{\mathcal{L}} := |\gamma(x)|$ .

## Definition

- Metric  $\|\cdot\|$  on  $L$  is called *smooth*  $:\Leftrightarrow -\log \|t\| \in A^{0,0}(U)$  for any local trivialization  $t : U \rightarrow L$ .
- A  $\delta$ -metric on  $L$  is a continuous metric  $\|\cdot\|$  st the  $\delta$ -current  $[c_1(L, \|\cdot\|)]$  is represented by a  $\delta$ -form  $c_1(L, \|\cdot\|)$ .

*Fact*: A model metric is a  $\delta$ -metric, but it is not always smooth!

# Chambert-Loir measures

- Chambert–Loir introduced a discrete measure on  $X^{\text{an}}$  related to the model metric  $\|\cdot\|_{\mathcal{L}}$ .
- Defined by using degrees of the irreducible components of  $\mathfrak{X}_s$ .
- Important to describe equidistribution measures in arithmetic geometry.

## Theorem (GK)

*If  $X$  is proper variety  $K$  of dimension  $n$ , then  $c_1(L, \|\cdot\|_{\mathcal{L}})^{\wedge n}$  is a Radon measure on  $X^{\text{an}}$  equal to the Chambert-Loir measure from arithmetic geometry.*

*Remark:* In [CD], there is a similar theorem. Note however, that they need an approximation process by smooth metrics to make sense of the wedge products of the first Chern currents.

# Non-archimedean Arakelov theory

$X$  proper variety over  $K$  of dimension  $n$ .

## Definition

A  $\delta$ -current  $g_Z$  is called a Green current for cycle  $Z$

$$:\Leftrightarrow d' d'' g_Z = [\omega_Z] - \delta_Z$$

Poincaré  
 $\rightsquigarrow$   
LeLong  
 $g_D := -[\log \|s\|]$  is a Green current for  $D := \text{div}(s)$  and  $\delta$ -metric  $\|\ \ \|$ .

## Proposition [GK]

If  $D$  intersects  $Z$  properly, then

$$g_D * g_Z := g_D \wedge \delta_Z - c_1(L, \|\ \ \|) \wedge g_Z$$

is a Green current for  $D \cdot Z$ .

Proof follows from Poincaré-Lelong.



## Definition

Let  $D_0, \dots, D_n$  be Cartier divisors intersecting properly on  $X$ , then

$$\lambda(X) := \langle g_{D_0} * \dots * g_{D_n}, 1 \rangle$$

is called the *local height* of  $X$  (using  $\delta$ -metrics on  $\mathcal{O}(D_0), \dots, \mathcal{O}(D_n)$ ).

## Theorem (GK)

*If we use model-metrics on  $\mathcal{O}(D_0), \dots, \mathcal{O}(D_n)$ , then  $\lambda(X)$  is the usual local height of  $X$  in arithmetic geometry given as the intersection number of the Cartier divisors on a corresponding model.*

# Proof of Theorem 2

*Proof:* Obvious for  $n = 0$ . Induction formula shows that  $\lambda(X)$  is

$$\lambda(D_n) - \int_{X^{\text{an}}} \log \|s_{D_n}\| c_1(\mathcal{O}(D_0), \|\cdot\|_0) \wedge \cdots \wedge c_1(\mathcal{O}(D_n), \|\cdot\|_n)$$

which holds for both variants of local heights using Theorem 1.

□

Dream becomes true for divisors!