

## PROBLEM SET 4 - RANK DETERMINING SETS

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A subset  $R$  of a metric graph  $\Gamma$  is said to be *rank determining* if the rank of every divisor can be verified by only considering points of  $R$ . That is, a divisor  $D$  has rank  $r$  when  $|D - E| \neq \emptyset$  for every effective divisor  $E$  of degree  $r$  supported on  $R$ . The goal of the exercise is the following result.

**Theorem.** *Let  $\Gamma$  be a metric graph with a chosen loopless model. Then the vertices of  $\Gamma$  in this model form a rank determining set. In addition, if the genus of  $\Gamma$  is  $g$ , then  $\Gamma$  has a rank determining set of size  $g + 1$ .*

Let  $A$  be a closed subset of  $\Gamma$ . The *out-degree* of a point  $p$  from  $A$ , denoted  $\text{outdeg}_A(p)$  is the number of tangent directions emanating from  $p$  into the complement of  $A$ . A boundary point  $p$  of  $A$  is *saturated* with respect to a divisor  $D$  if  $\text{outdeg}_A(p) \leq D(p)$ . Recall that a divisor  $D$  is said to be *reduced* with respect to a point  $q$  if it satisfies the following.

- $D$  is effective away from  $q$ .
- Every closed, connected set  $A \subseteq \Gamma \setminus \{q\}$  has a boundary point that is not saturated with respect to  $D$ .

Finally, recall that for every divisor  $D$  and a point  $q$  there exists a unique  $q$ -reduced divisor equivalent to  $D$ , denoted  $D_q$ . An open set is said to be a *YL set* if every connected component of its complement contains a boundary point of out-degree at least 2.

- (1) Show that a subset  $A$  is rank-determining if and only if for every divisor  $D$  having rank  $-1$  and any  $q \in \Gamma$ , there exists a point  $a \in A$  such that the divisor  $D + q - a$  has rank  $-1$ .
- (2) Show that a subset  $A$  of  $\Gamma$  is rank determining if and only if for any  $q \in \Gamma$  and any acyclic orientation  $\mathcal{O}$  with a unique source at  $q$ , there is a point  $a \in A$  such that  $D_{\mathcal{O}} + q - a$  has rank  $-1$ .
- (3) Suppose  $A$  intersects every YL set in  $\Gamma$ . Show that  $A$  is rank-determining. You may wish to use the following strategy.
  - Let  $q, \mathcal{O}$  be as above. Let  $a$  be in  $A$ , and let  $\mathcal{O}'$  be the orientation obtained from  $\mathcal{O}$  by reversing a directed path from  $q$  to  $a$ . Show that  $\mathcal{O}'$  contains a directed cycle, and hence there are at least two directed paths in  $\mathcal{O}$  from  $q$  to  $a$ .
  - Let  $U$  be the set of points in  $\Gamma$  (including  $q$  itself) that can be reached from  $q$  by precisely one directed path in  $\mathcal{O}$ . Show that  $U$  is connected.
  - Let  $X$  be a connected component of the complement of  $U$ . Since  $\mathcal{O}$  restricted to  $X$  is still acyclic, it has a source  $v$ . Show that  $\text{outdeg}_X(v)$  is at least 2.

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Conclude from this that  $U$  is a YL set disjoint from  $A$ . Therefore if  $A$  intersects every YL set, it must be rank-determining.

- (4) Conclude the statement of the theorem and exhibit the necessity of the “loopless” condition. More precisely, exhibit a graph with model containing loops, such that the vertices of valence at least 3 do not form a rank determining set.
- (5) Let  $T$  be a spanning tree for a graph  $\Gamma$  of genus  $g$ . Choose points  $p_1, \dots, p_g$  in the interior of every edge of  $\Gamma \setminus T$ , and a point  $p_0$  of  $T$ . Show that  $\{p_0, \dots, p_g\}$  is a rank determining set<sup>1</sup>.

**Riemann–Roch for metric graphs.**

- (1) Sketch a proof of the Riemann–Roch theorem for metric graphs by pointing out the required modifications in the proof for finite graphs.
- (2) Let  $G$  be a finite graph, and let  $\Gamma$  be the graph obtained by giving each edge length 1. Show that for every divisor  $D$ , we have  $r_G(D) = r_\Gamma(D)$ .
- (3) Conclude that Riemann–Roch for finite graphs can be deduced directly from Riemann–Roch for metric graphs.