

TROPICAL SCHEME THEORY

4. MATROIDS OVER PARTIAL HYPERSTRUCTURES

The current lecture is based on [BB16].

Definition 4.1. A *tract* is an abelian group G (written multiplicatively) together with a subset $N_G \subset \mathbb{N}[G]$ satisfying:

- (T0) $0 \in N_G$,
- (T1) $1 \notin N_G$,
- (T2) For each $g \in G$ there is some $(-g) \in G$ such that $g + (-g) \in N_G$
- (T3) If $g \in G$ and $x \in N_G$ then $g \cdot x \in N_G$.

Remark 4.2. The letter N stands for “null”, and N_G may be thought of as a designated set of formal sums that are considered equivalent to zero in $\mathbb{N}[G]$. Since we cannot quotient by N_G , we simply carry around this extra data. Then roughly speaking, (T2) says that, modulo N_G , we have additive inverses in G , for every element of G . (Given (T3), the axiom (T2) can be replaced by the weaker requirement there is some $(-1) \in G$ such that $1 + (-1) \in N_G$.)

Recall that a *hyperoperation* is like a binary operation that can be multi-valued.

Definition 4.3. A *hyperfield* is an abelian group $(F^\times, \cdot, 1)$ together with an associative and commutative hyperoperation \boxplus on $F = F^\times \cup \{0\}$ satisfying

- $0 \neq 1$,
- $0 \boxplus x = \{x\}$,
- $0 \cdot x = x \cdot 0 = 0$,
- $a \cdot (x \boxplus y) = ax \boxplus ay$,
- $(\forall x \in F)(\exists! -x \in F)$ such that $0 \in x \boxplus -x$.

Example 4.4. Given a hyperfield F we can associate to it a tract by setting $G = F^\times$ and $N_G = \{\sum a_i g_i \in \mathbb{N}[G] \mid 0 \in \boxplus_i a_i g_i\}$. We can recover the hyperfield from the tract because we have $x \in \boxplus y_i$ if and only if $0 \in -x \boxplus (\boxplus y_i)$. Moreover, there are natural categories of hyperfields and tracts and the category of hyperfields embeds as a full subcategory of the category of tracts.

Example 4.5. Any field F is a hyperfield.

Example 4.6. The Krasner hyperfield is $\mathbb{K} = \{0, 1\}$, with the usual multiplication and \boxplus given by

$$\begin{array}{c|cc} \boxplus & 0 & 1 \\ \hline 0 & 0 & 1 \\ 1 & 1 & \{0, 1\} \end{array}.$$

Think of the hyperfield as reflecting arithmetic in a field, but only keeping track of “zero or non-zero”.

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Example 4.7. The tropical hyperfield is $\mathbb{T} = \mathbb{R} \cup \{+\infty\}$, with multiplication being $+$ and \boxplus given by $x \boxplus y = \begin{cases} \min(x, y) & \text{if } x \neq y \\ \{\geq \min\} & \text{if } x = y \end{cases}$. Think of this as reflecting arithmetic in a valued field where \mathbb{R} is the value group, but only keeping track of the valuations.

Example 4.8. The hyperfield of signs is $\mathbb{S} = \{0, +, -\}$ with the usual multiplication and \boxplus given by

$$\begin{array}{c|ccc} \boxplus & 0 & + & - \\ \hline 0 & 0 & + & - \\ + & + & + & \{0, +, -\} \\ - & - & \{0, +, -\} & - \end{array} .$$

Think of this as reflecting arithmetic in \mathbb{R} , but only keeping track of the signs.

Moving from signs in \mathbb{R} to arguments in \mathbb{C} we also get the phase hyperfield.

Example 4.9. The phase hyperfield is $\mathbb{P} = S^1 \cup \{0\}$, where S^1 is the unit circle in \mathbb{C} . The addition is given by $x \boxplus y := \left\{ \frac{ax + by}{|ax + by|} \mid a, b \in \mathbb{R}_{>0} \right\}$ and multiplication is the group law on S^1 , inherited from multiplication in \mathbb{C} .

We will define a notion of a F -matroid of rank r on $E = \{1, 2, \dots, m\}$ for any tract F . This will have the properties:

- If F is a field, F -matroids correspond to $W \subset F^m$ an r -dimensional linear subspace (or equivalently, a point on a Grassmannian).
- If $F = \mathbb{K}$, a \mathbb{K} -matroid is the same as a matroid.
- If $F = \mathbb{T}$, we get the notion of valuated matroids. (These will correspond to tropical linear spaces.)
- If $F = \mathbb{S}$ we get the notion of oriented matroid.
- If $F = \mathbb{P}$ we get the phase matroids.

We also mention partial fields (due to Semple-Whittle), as another source of interesting tracts. They were originally defined for purely matroid-theoretic reasons.

Definition 4.10. A partial field F is a pair (R, G) where R is a commutative ring with 1 and $G \leq R^\times$ such that $-1 \in G$ and G generates R as a ring. This is called a partial field because in G you can do everything except add arbitrary elements of G . If we write F as a set we mean $G \cup \{0\}$.

Note that while in a hyperfield you can add any pair, you just might get multiple answers, here the addition is single-valued but might not always exist. You can combine these ideas to get a “partial hyperfield”.

Example 4.11. The tract associated to a partial field F is given by

$$N_G = \left\{ \sum a_i g_i \mid \sum a_i g_i = 0 \text{ in } R \right\} .$$

Remark 4.12. Note that there is a pre-existing “classical” notion of matroid representable over a partial field. If F is a partial field then an F -matroid in the sense we

will introduce shortly (using tracts) is the same as a matroid representable over F in the classical sense.

Example 4.13 (Partial fields). $R = \mathbb{Z}$, $G = \langle \pm 1 \rangle = \mathbb{Z}^\times$. So $F = \{0, 1, -1\}$. This is often written \mathbb{U} and called the regular partial field because it turns out that a \mathbb{U} -matroid is the same thing as a regular matroid. A matroid is regular if it is representable over every field.

Remark 4.14. All graphical matroids are regular. Duals of regular matroids are regular. But duals of graphical matroids are not necessarily graphical. In fact Whitney proved that the dual of a graphical matroid is graphical if and only if the graph is planar, and then the dual matroid is the matroid of any planar dual graph.

Remark 4.15. The notion of the Jacobian of a graph can be extended to regular matroids, and many of the theorems extend.

Example 4.16. The dyadic hyperfield \mathbb{D} consists of the ring $\mathbb{Z}[\frac{1}{2}]$ with $G = \{\pm 2^k \mid k \in \mathbb{Z}\}$. This is called \mathbb{D} the dyadic hyperfield. A \mathbb{D} -matroid is the same as a matroid which is representable over every field of characteristic $\neq 2$.

Definition 4.17. A strong F -matroid of rank r on $E = \{1, \dots, m\}$ is an equivalence class of functions $\varphi : E^r \rightarrow F = G \cup \{0\}$ such that

- $\varphi \neq 0$,
- φ is alternating: swapping two elements negates the value and having two coordinates the same gives a value of 0, and
- (Plücker relations) $(\forall \{x_1, \dots, x_{r+1}\}, \{y_1, \dots, y_{r-1}\} \subset E)$,

$$\sum_{k=1}^{r+1} (-1)^k \varphi(x_1, \dots, \hat{x}_k, \dots, x_{r+1}) \varphi(x_k, y_1, \dots, y_{r-1}) \in N_G.$$

Here the equivalence relation is $\varphi_1 \sim \varphi_2$ if and only if $\exists g \in G$ such that $\varphi_1 = g \cdot \varphi_2$. (This corresponds to looking at the Plücker relations in projective space rather than affine space.)

Idea: If F was a field, write down a $r \times m$ nonzero matrix and take the determinants of the $r \times r$ minors. This records the abstract algebraic properties that such determinants would have.

Definition 4.18. A weak F -matroid of rank r on $E = \{1, \dots, m\}$ is an equivalence class of functions $\varphi : E^r \rightarrow F = G \cup \{0\}$ such that

- $\varphi \neq 0$,
- φ is alternating: swapping two elements negates the value (and having two coordinates the same gives a value of 0),
- $\text{supp } \varphi$ is the set of bases of a matroid, and
- The 3-term Plücker relations are satisfied.

Note that the full Plücker relations imply that $\text{supp } \varphi$ is the set of bases of a matroid. Looking over the Krasner hyperfield, you can see that the Plücker relations essentially say that we have the basis exchange axiom.

There is a special class of tracts given by doubly distributive (partial) hyperfields: (we will only do the doubly distributive hyperfield case)

$$(\boxplus x_i) \cdot (\boxplus y_j) = \boxplus x_i y_j.$$

Example 4.19. Many of the examples we saw earlier are double distributive. In particular, \mathbb{K} , \mathbb{T} , \mathbb{S} , and partial fields are doubly distributive.

Example 4.20 (non-examples). The phase hyperfield \mathbb{P} is not doubly distributive. The “triangle hyperfield” in which $a \boxplus b = \{ \text{all } c \text{ such there is a Euclidean triangle with side-lengths } a, b, c \}$ is also not doubly distributive.

Theorem 4.21. *If F is a doubly distributive partial hyperfield then weak F -matroids are strong.*

There are 2 other cryptomorphic characterizations of both weak and strong matroids over tracts: one given by by circuit elimination, and the other in terms of “dual pairs”.

One of the original reasons for considering matroids in the first place is to have a suitable notion of duals for objects that don’t classically have them, such as non-planar graphs.

Definition 4.22. *Let M be an F -matroid. Define the dual of M , written M^* , to be the rank $m - r$ matroid given by*

$$\varphi(x_1, \dots, x_{m-r}) = \text{sign}(x_1, \dots, x_{m-r}, x'_1, \dots, x'_r) \cdot \varphi(x'_1, \dots, x'_r)$$

where $\{x'_1, \dots, x'_r\} = E \setminus \{x_1, \dots, x_{m-r}\}$. This is independent of the ordering of x'_1, \dots, x'_r that you choose.

With this notion of dual it is easy to see that the double dual is the original matroid. With other notions it is a theorem.

REFERENCES

- [BB16] M. Baker and N. Bowler, *Matroids over hyperfields*, arXiv:1601.01204