

# Weight interlacing and Iwasawa theory

Shilin Lai

Princeton University

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# Gan–Gross–Prasad conjecture

Basic setting:

- $K/\mathbf{Q}$  imaginary quadratic field.
- $V_n \subseteq V_{n+1}$  Hermitian spaces over  $K$  of dimensions  $n$  and  $n + 1$  respectively.

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- $H = \mathbf{U}(V_n)$ ,  $G = \mathbf{U}(V_n) \times \mathbf{U}(V_{n+1})$  unitary groups.
- $\Delta : H \hookrightarrow G$  diagonal embedding.

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*smooth  $\mathbb{Q}$ -coeff. invd. adm.*

## Local problem

Fix a place  $v$  of  $\mathbf{Q}$ . Given  $\pi_{n+1,v} \in \text{Irr}(\mathbf{U}(V_{n+1})_v)$ , how does  $\pi_{n+1,v}|_{\mathbf{U}(V_n)_v}$  decompose?

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## Local problem

Fix a place  $v$  of  $\mathbf{Q}$ . Given  $\pi_v = \pi_{n,v} \boxtimes \pi_{n+1,v} \in \text{Irr}(G_v)$ , compute  $\dim_{\mathbf{C}} \text{Hom}_{H_v}(\pi_v, 1)$ .

## Global problem

Given  $\pi \in \mathcal{A}(G)$ , is the automorphic period  $\int_{[H]} \varphi(h) dh$  non-zero for any  $\varphi \in \pi$ ?

*Handwritten notes:*  $\int_{[H]} \varphi(h) dh$  non-zero,  $[H] = H(\mathbf{Q}) \backslash H(\mathbf{A})$

## Local answer

*f-adic*

Multiplicity one theorem (Aizenbud–Gourevitch–Rallis–Schiffmann,  
Sun–Zhu) *order place*

$$\dim_{\mathbb{C}} \mathrm{Hom}_{H_v}(\pi_v, 1) \leq 1.$$

# Local answer

Multiplicity one theorem (Aizenbud–Gourevitch–Rallis–Schiffmann, Sun–Zhu)

$$\dim_{\mathbb{C}} \text{Hom}_{H_v}(\pi_v, 1) < 1.$$

$$\pi_{n,v} \boxtimes \pi_{n+1,v} \in \text{Irr}(G_v)$$

$p$ -adic arch. place.

Local Gan–Gross–Prasad conjecture (Beuzart-Plessis, Xue)

As  $\pi_{n,v}$  and  $\pi_{n+1,v}$  run over the members of their Vogan  $L$ -packets, there exists a unique pair such that the above multiplicity space is 1-dimensional. This pair can be specified using certain local  $\varepsilon$ -factors.

Containing rep's from other inner forms

## Local answer: archimedean case

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- $H_\infty = U(V_n)_\infty \simeq U(p, q)$ ,  $p + q = n$ .

Vogan L-packet  
contains rep<sup>n</sup>. from  
all  $\psi(a, b)$   $a + b = n$

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- $H_\infty = U(V_n)_\infty \simeq U(p, q)$ ,  $p + q = n$ .
- Discrete series are indexed by Harish-Chandra parameters

$$(a_1 > \cdots > a_p; b_1 > \cdots > b_q)$$

$$a_i, b_j \in \mathbf{Z} + \frac{n-1}{2}, a_i \neq b_j.$$

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e.g. Hol. dsc. series.

$$(b_1 > \dots > b_q, a_1 > \dots > a_p)$$

$a_i, b_j \in \mathbf{Z} + \frac{n-1}{2}$ ,  $a_i \neq b_j$ . Its infinitesimal character is

$(a_1, \dots, a_p, b_1, \dots, b_q)$  (unordered).

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- Vogan  $L$ -packets are indexed by infinitesimal characters

- Size  $2^n$

- $\binom{n}{p}$  representations on  $U(p, q)$

- Elements indexed by characters of  $(\mathbf{Z}/2\mathbf{Z})^n$

depends on choice of additive character  $\psi$

## Local answer: archimedean case (cont.)

Two  $L$ -packets on  $U(V_n)$  and  $U(V_{n+1})$  with infinitesimal characters

$$(b_1 > \dots > b_n), \quad (a_1 > \dots > a_{n+1})$$

$\zeta + \frac{n-1}{2}$                        $\zeta + \frac{n}{2}$

## Local answer: archimedean case (cont.)

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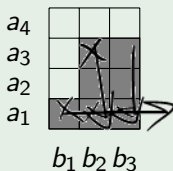
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### Examples

$a_1 > b_1 > a_2 > a_3 > b_2 > b_3 > a_4$  can be represented by



Shaded squares satisfy  $a_i > b_j$ .

## Combinatorial recipe (Atobe)

- 1 Count the parity of the number of shaded squares in each row and column.
- 2 Flip the sign of every second row and every second column.
- 3 The negative signs of each row/column form the first part of the Harish-Chandra parameters.



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## Examples

$$a_1 > b_1 > a_2 > b_2 > a_3 > b_3 > a_4$$



$$\xrightarrow{(1)} \underline{(-, +, -)}, \underline{(-, +, -, +)}$$

$$\xrightarrow{(2)} \underline{(-, -, -)}, \underline{(-, -, -, +)}$$

$$\xrightarrow{(3)} \underline{U(3, 0)} \times \underline{U(4, 0)}$$

classified  
Branching  
Law.

# Global answer

$$U(V_n) \times U(W_{n+1})$$

Theorem (W. Zhang, Beuzart-Plessis-Liu-Zhang-Zhu)

Given (tempered stable)  $\pi = \bigotimes'_v \pi_v \in \mathcal{A}(G)$ , the period integral  $\int_{[H]} \varphi(h) dh$  is non-zero for some  $\varphi \in \pi$  if and only if

$$H = U(V_n)$$

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- $\pi_v$  is the distinguished element of the  $L$ -packet in the sense of the local GGP conjecture;

$$\int_{[H]} \in \text{Hom}_H(\pi, \mathbb{1}) \\ = \bigotimes \text{Hom}_{H_v}(\pi_v, \mathbb{1}) \\ \neq 0$$

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- $L(\frac{1}{2}, \pi) \neq 0$ .

Rankin-Selberg

$GL_n(K) \times GL_{n+1}(K)$

# Global answer

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Moreover, there is a formula of the form

$$L\left(\frac{1}{2}, \pi\right) = \left| \int_{[H]} \varphi(h) dh \right|^2 \cdot \underbrace{(\text{local factors})}_{\neq 0} \cdot \underbrace{(\text{other } L\text{-values})}_{\neq 0}$$

*Adjoint  
+ abelian*

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$$L\left(\frac{1}{2}, \pi\right) = \int_{[H]} \varphi(h) dh \cdot (\text{local factors}) \cdot (\text{other } L\text{-values}) \cdot \text{signature}$$

$[H] = H(\mathbb{Q})$   
 $H(\mathbb{Q}) = H(\mathbb{A}_f) \times H(\mathbb{R})$   
 $L(1, \text{As}^\pm(\pi))$   
 $L(1, \text{As}^\pm(\pi))$  of  $V_n$   
 dim depends on signature

This gives an integral representation for certain Rankin-Selberg  $L$ -functions over  $K$ . The archimedean shape of the integral depends on weight interlacing.

# Galois representation

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Theorem (Morel, Skinner)

$$\rho_n \cong \rho_n^c(-)$$

There is a conjugate self-dual Galois representation

$\rho_n : \text{Gal}_K \rightarrow \text{GL}_n(\overline{\mathbf{Q}}_p)$  attached to  $\pi_n$  such that  $\rho_n|_{\text{Gal}_{K_p}}$  is upper triangular for  $\mathfrak{p}|p$ .

ord  $\Rightarrow$



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Choose a basis  $\{v_1, \dots, v_n\}$  for  $\rho_n$  so that  $\rho_n|_{\text{Gal}_{K_{\mathfrak{p}}}}$  is upper triangular. By conjugate self-duality,  $\rho_n|_{\text{Gal}_{K_{\mathfrak{p}}}}$  is lower triangular with respect to the dual basis.

# Galois representation

$\pi_n$  discrete series.

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Let  $\rho = \rho_n^\vee \otimes \rho_{n+1}$ . It is conjugate self-dual of weight  $-1$  with a basis  $\{v_i^\vee \otimes w_j\}$ .  $1 \leq i \leq n$

$$1 \leq j \leq n+1$$

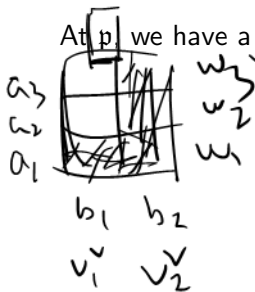
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At  $\mathfrak{p}$  we have a Panchishkin subspace



$$\rho_{\square} = \langle v_i^{\vee} \otimes w_j \mid a_i > b_j \rangle \subseteq \rho$$

$w \in v \Rightarrow$  Panchishkin  
 $\nexists w$  has negative  
 H-T wts and  $v/w$   
 has  $\geq 0$  H-T wts

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So weight interlacing determines the correct local conditions at  $p$ .

# Selmer group

If  $\square$  and  $\triangle$  are two weight interlacing relations, we can use them to define a Selmer group

$$H_{\square, \triangle}^1(K, \rho)$$

with unramified local conditions away from  $p$ , Greenberg condition defined using  $\rho_{\square}$  at  $\bar{p}$ , and Greenberg condition defined using  $\rho_{\triangle}^c$  at  $\bar{p}$ .

Greenberg condition:

$$\text{res}_{\bar{p}} c \in \ker(H^1(G_{\mathbb{Q}_p}, \rho))$$

$$\rightarrow H^1(\underline{G}_{\mathbb{Q}_p}, \rho_{\square})$$

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If  $\square$  is the actual weight interlacing of  $\pi_{\infty}$ , then  $H_{\square, \square}^1(K, \rho)$  is the Bloch–Kato Selmer group for  $\rho$ .



## $p$ -adic deformations

$$\boxed{p \text{ SAZ}} (U_n)_p = GL_n(\mathbb{Q}_p)$$

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Using pseudo-representations, can form big Galois representation  $\rho$  valued in an ordinary big Hecke algebra  $\mathbb{I}$ , so we can define big Selmer groups

$$H_{\square, \Delta}^1(K, \rho)$$

with ordinary filtration

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### Key point

The family  $\rho$  covers all weight interlacing relations, so  $H_{\square, \square}^1(K, \rho)$  should have arithmetic significance for all possible  $\square$ .

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## Expectation (Iwasawa main conjecture)

For any  $\square$ ,

- 1 There should be a  $p$ -adic  $L$ -function  $\mathcal{L}^\square$  interpolating the algebraic part of the central  $L$ -values at all specializations of  $\rho$  which has archimedean weight interlacing  $\square$ .
- 2  $\text{char}_{\mathbb{I}}(H_{\square}^2(K, \rho)) = (\mathcal{L}^\square)$ .
- 3 Neither side of the above equality is zero.

~~$H_{\square}^2$~~  torsion  
 $\mathcal{L}^\square \neq 0$

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## Warning

Part (3) cannot be true for all  $\square$  for sign reasons.

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$\varepsilon = +1$  Coherent case: expectation from last slide should hold, so have coherent main conjecture

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$\varepsilon = -1$  Incoherent case:  $L(\frac{1}{2}, \pi) = 0$  for all  $\pi$  in the family.

*with correct wt interlacing*

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Expect an incoherent main conjecture (Perrin-Riou)

# Incoherent main conjecture

## Conjecture

The compact Selmer group  $H_{\square}^1(K, \rho)$  has rank 1, and it contains a special class  $\mathbf{z}$  such that

$$\text{char}_{\mathbb{I}} \left( \frac{H_{\square}^1(K, \rho)}{\mathbb{I} \cdot \mathbf{z}} \right)^2 = \text{char}_{\mathbb{I}} H_{\square}^2(K, \rho)_{\text{tors}}$$

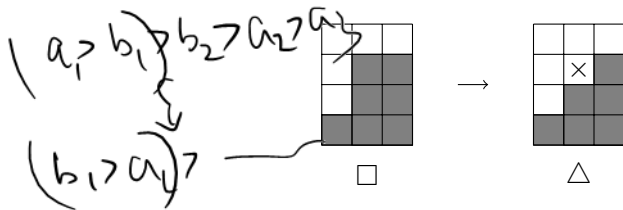
*update "special cycles"*

# Wall crossing

What happens when a pair of weight orders are reversed?

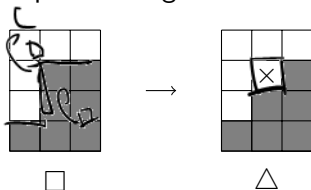
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- Interlacing relations  $\square$  and  $\triangle$  have opposite signs.
- Selmer condition decreases at  $\mathfrak{p}$  and increases at  $\bar{\mathfrak{p}}$ .

## Lemma

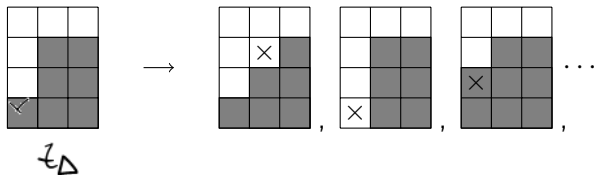
Suppose  $\square$  is the coherent interlacing relation. **If  $z_{\triangle}$  exists**, then the incoherent main conjecture for  $\triangle$  is equivalent to the coherent main conjecture for  $\square$ .

- For a given Hida family  $\rho$ , we have  $\binom{2n+1}{n}$  different main conjectures, indexed by weight interlacing relations.

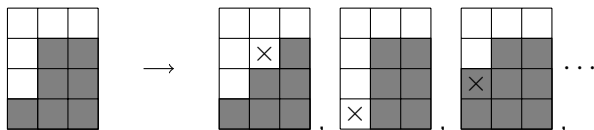


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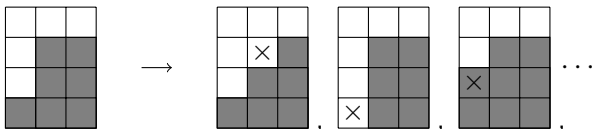


- Need explicit reciprocity laws and arithmetic interpretation of integral representations to relate motivic  $p$ -adic  $L$ -functions to analytic  $p$ -adic  $L$ -functions.

*defined using interpretations*

*Coleman map of special class*

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- Need explicit reciprocity laws and arithmetic interpretation of integral representations to relate motivic  $p$ -adic  $L$ -functions to analytic  $p$ -adic  $L$ -functions.

- cf. Loeffler–Zerbes  $\mathrm{GSp}_4 \times \mathrm{GL}_2 \times \mathrm{GL}_2$

$$\sim \mathrm{O}(5) \times \mathrm{O}(4)$$

(Orthogonal  
Gross-Prasad conj.)

$n = 1$

$$H = \mathrm{U}(V_1) = (K^\times)^{\mathrm{Norm}=1}, \quad G \approx (K^\times)^{\mathrm{Norm}=1} \times \mathrm{GL}_2 \quad \text{u(2)}$$

$\pi \approx \underline{\chi} \times \underline{f}$ ,  $\chi$  anticyclotomic character of weight  $(\ell, -\ell)$ ,  $f$  modular form of weight  $k$ .

Infinitesimal characters:  $\chi \rightsquigarrow (\ell)$ ,  $f \rightsquigarrow (\frac{k-1}{2}, -\frac{k-1}{2})$ .

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Selmer conditions:

rel : no condition

ord : ordinary Selmer condition

str : strict, i.e. localization = 0

$$\ker |H^1(T_f) \rightarrow H^1(T_f^+)|$$

↓

# Weight interlacing

$$\xi = \xi_f \xi_\infty$$

Three weight interlacing relations



$$l > \frac{k-1}{2}$$

$\epsilon_\infty$

+1



$$|l| < \frac{k-1}{2}$$

-1



$$l < -\frac{k-1}{2}$$

+1

Selmer condition (str,rel)

(ord,ord)

(rel,str)




Selmer group

Greenberg Selmer groups



# Weight interlacing

Three weight interlacing relations

			
	$l > \frac{k-1}{2}$	$ \ell  < \frac{k-1}{2}$	$l < -\frac{k-1}{2}$
$\varepsilon_\infty$	+1	-1	+1

Selmer condition (str,rel) (ord,ord) (rel,str)

The coherence of each case depends on the finite part  $\varepsilon_f$ . This is related to the Heegner hypothesis.

# Heegner points

## Heegner hypothesis

$\ell | N_f \implies \ell$  splits in  $K$

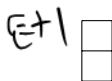
This implies  $\varepsilon_f = +1$ .

# Heegner points

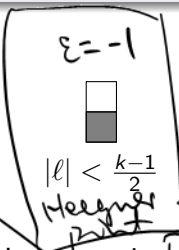
## Heegner hypothesis

$l \mid N_f \implies l$  splits in  $K$

This implies  $\varepsilon_f = +1$ .



$$l > \frac{k-1}{2}$$



$$|l| < \frac{k-1}{2}$$

$\varepsilon = +1$



$$l < -\frac{k-1}{2}$$

BRP  
 $\mathcal{L}$

- Special class is big Heegner point  $z_{\text{Heeg}}$  (Howard).
- Incoherent main conjecture is Perrin-Riou's main conjecture.
- Two coherent main conjectures are BDP main conjectures, related by a complex conjugation.

# No Heegner points

Now suppose  $\varepsilon_f = -1$ .

# No Heegner points

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$$\begin{array}{|c|} \hline \\ \hline \\ \hline \end{array}$$
$$l > \frac{k-1}{2}$$
$$\Sigma = -1$$

$$\begin{array}{|c|} \hline \\ \hline \color{gray} \\ \hline \end{array}$$
$$|l| < \frac{k-1}{2}$$
$$\Sigma = +1$$

$$\begin{array}{|c|} \hline \color{gray} \\ \hline \color{gray} \\ \hline \end{array}$$
$$l < -\frac{k-1}{2}$$
$$\Sigma = -1$$

- Special class constructed in recent work of Kim Tuan Do.
- Construction not geometric, splits up Selmer classes from the diagonal cycle on a triple product.
- Coherent main conjecture interpolates rank 0 BSD for modular forms twisted by finite order anticyclotomic characters.

$$n = 2$$

There are 10 interlacing relations, or 6 up to complex conjugation.

