

Measure Rigidity for Diagonal Actions

Manfred Einsiedler, ETHZ
joint work with Elon Lindenstrauss

Eighth Annual Texas Analysis and
Mathematical Physics Symposium

Motivation: QUE

Let M be a compact negatively curved manifold and let ϕ_n be L^2 -normalized Δ -eigenfunctions with eigenvalue going to infinity and distributions converging in the sense that $\|\phi_n\|^2 d\text{vol} \xrightarrow[\text{weak*}]{} d\sigma$ as $n \rightarrow \infty$. Then Rudnick and Sarnak conjectured $d\sigma = d\text{vol}$. This is commonly referred to as the Quantum Unique Ergodicity conjecture.

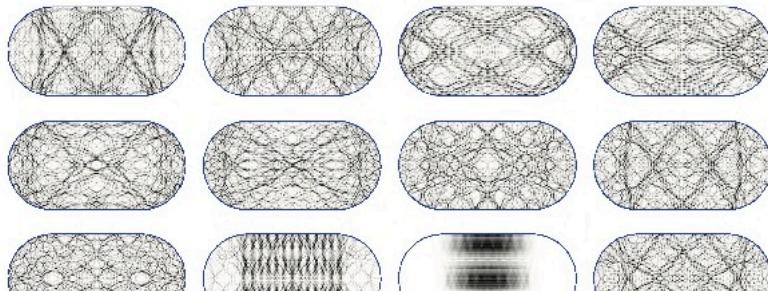


Figure 1S

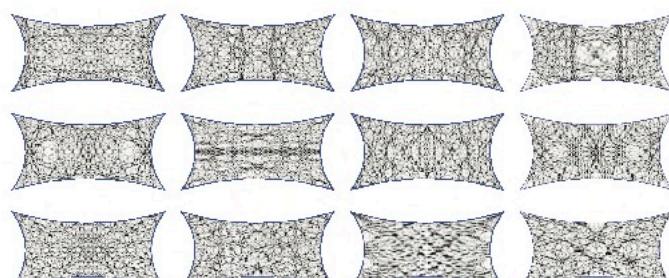


Figure 1B

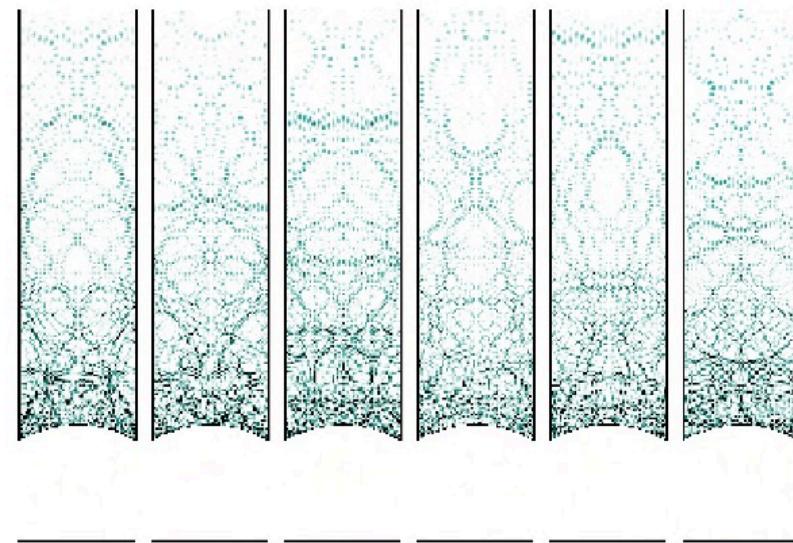


Figure 4

Motivation: QUE

Research in the direction of QUE is very active. We focus on the following partial result.

Thm (Arithmetic QUE: Lindenstrauss '06, Soundarajan '10)

Suppose $M = \text{SL}_2(\mathbb{Z}) \backslash \mathbb{H}$ is the "modular surface" and ϕ_n are L^2 -normalized joint eigenfunctions of all Hecke-operators and Δ with varying eigenvalues.

Then $|\phi_n|^2 d\text{vol} \rightarrow d\text{vol}$ as $n \rightarrow \infty$.

Method of proof

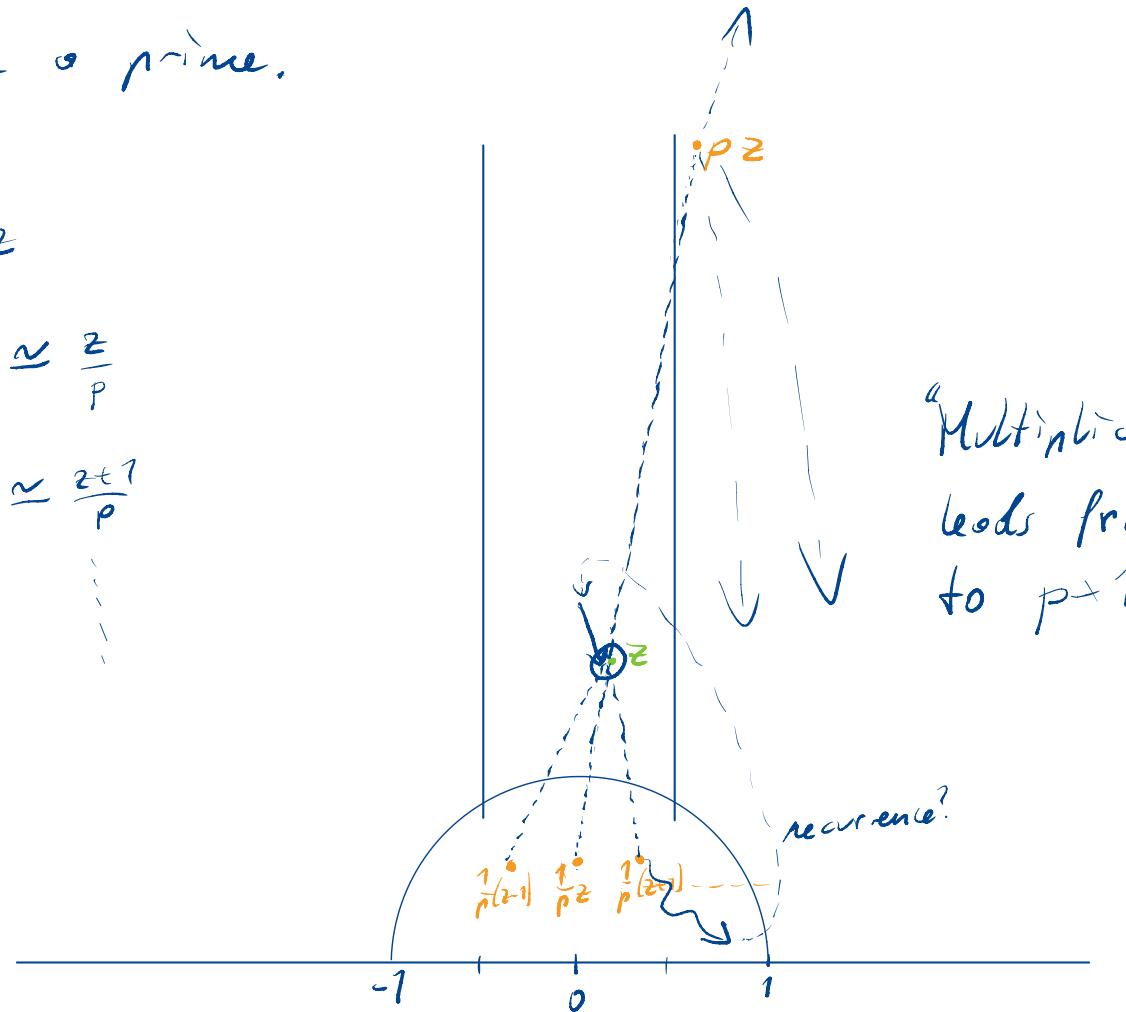
- 1) Construction of the microlocal lift gives a measure μ on $T^*M \cong \text{SL}_2(\mathbb{Z}) \backslash \text{SL}_2(\mathbb{R}) = X$
invariant under the geodesic flow $\cong A = \left\{ \begin{pmatrix} e^{t/2} & \\ & e^{-t/2} \end{pmatrix} : t \in \mathbb{R} \right\}$ [Burgess-Lindenstrauss]
- 2) Establishing Positive entropy of all ergodic components
- 3) Establishing Recurrence for "Hecke-orbits"
- 4) Classifying all probability measures μ on X with the properties 1, 2 & 3 } [Lindenstrauss]
- 5) Showing $\mu(X) = 1$ using, once more, number theory [Soundarajan]

Motivation: QUE

What are the Hecke - operators on $M = \mathrm{SL}_2(\mathbb{Z}) \backslash \mathbb{H}$?

let $p \in \mathbb{N}$ be a prime.

$$\begin{aligned} z &\xrightarrow{?} p z \\ \frac{z}{p} &\xrightarrow{?} -\frac{p}{z} \simeq \frac{z}{p} \\ \frac{z}{p+1} &\xrightarrow{?} -\frac{p}{p+1} \simeq \frac{z+1}{p} \end{aligned}$$



"Multiplication by" p
leads from $z \in M$
to $p+1$ points in M

Hecke operator
 $H_p(f) = \frac{1}{p+1} \left(f(pz) + \sum_{j=0}^{n-1} f\left(\frac{z+1}{p}\right) \right)$

Hecke orbit

\nwarrow $(p+1)$ -regular tree
embedded in M
for every initial
vertex $z \in M$.

Short history

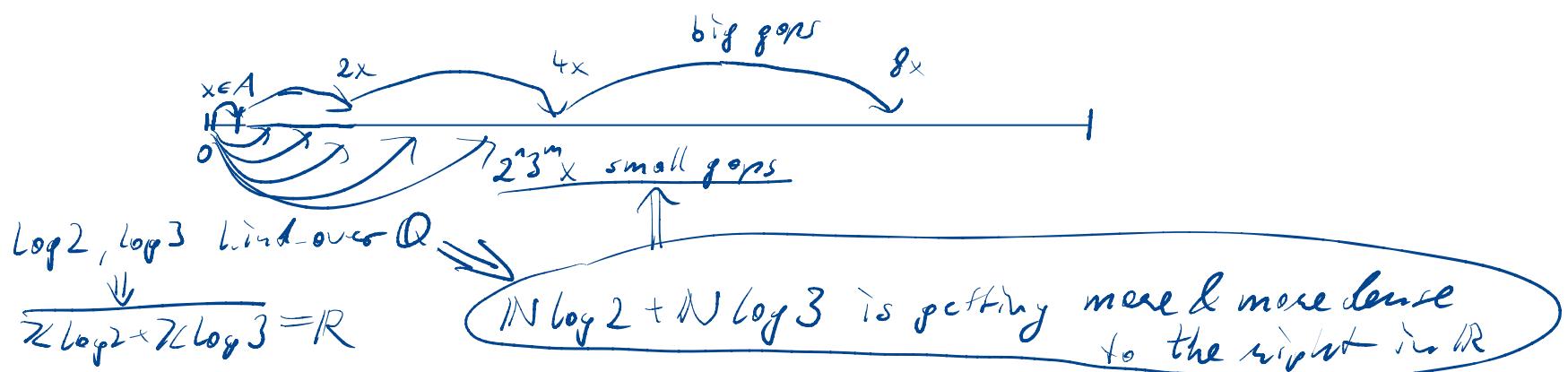
Example let $\mathbb{T} = \mathbb{R}/\mathbb{Z}$ be the circle group and $T_3(x) = 3x \pmod{1}$. Then T_3 has lots of complicated (chaotic) orbits and invariant sets.

$$\text{E.g. } C = \left\{ \sum_{n=1}^{\infty} x_n 3^{-n} : x_n \in \{0, 2\} \right\} / \mathbb{Z}$$

Thm (Furstenberg, 1967)

If $A \subseteq \mathbb{T}$ is closed and invariant under T_2 and T_3 , then either $A = \mathbb{T}$ or $A \subseteq \mathbb{Q}/\mathbb{Z}$ is finite.

Idea If $0 \in A$ is an accumulation point, then $A = \mathbb{T}$!.



Shear history

Thm (Rudolph 1990)

If μ is a T_2 - and T_3 -invariant and ergodic probability measure with $h_\mu(T_2) > 0$ (or $h_\mu(T_3) > 0$) then μ = Lebesgue.

positive entropy for all erg. comp -

Useful info: $h_\mu(T_2) = (\dim \mu) \log 2$

$$h_\mu(T_3) = (\dim \mu) \log 3$$

$\boxed{\mu(B_r(x)) \leq r^\delta \text{ o.s. for some fixed } \delta > 0}$

In other cases the connection between entropy & dimension is less straight forward.

Further conjectures in this direction are due to

Furstenberg, Katok-Spatzier, Margulis

The old theorems

Thm (Lindenstrauss '06)

$$\text{let } X = I^1 \setminus \delta L_2(\mathbb{R}) \times \delta L_2(\mathbb{R})$$

for an irreducible lattice I^1

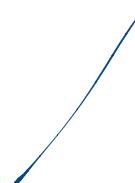
$$\text{and let } A = \left\{ \begin{pmatrix} e^{t_1} & \\ & e^{-t_1} \end{pmatrix}, \begin{pmatrix} e^{t_2} & \\ & e^{-t_2} \end{pmatrix} : t_1, t_2 \in \mathbb{R} \right\}$$



Thm (El梅rieder, Kotole, Lindenstrauss '06)

$$\text{let } X = \delta L_3(\mathbb{Z}) \setminus \delta L_3(\mathbb{R}) \text{ and let}$$

$$A = \left\{ \begin{pmatrix} e^{t_1} & & \\ & e^{t_2} & \\ & & e^{t_3} \end{pmatrix} : t_1 + t_2 + t_3 = 0 \right\}$$



If μ is an A -invariant and ergodic probability measure on X with $h_\mu(\alpha) > 0$ for some $\alpha \in A$, then $\mu = m_X$ is the invariant volume measure on X .

Motivation: QUE

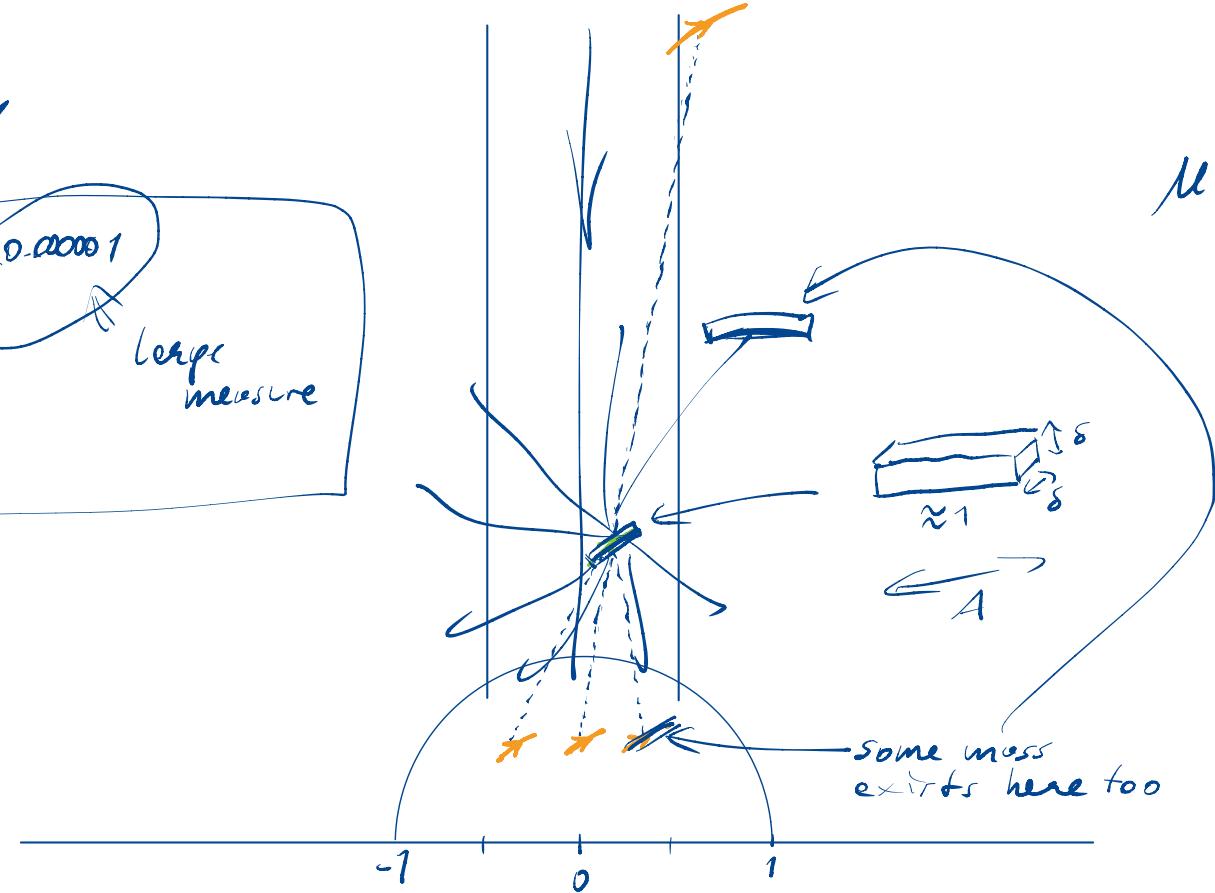
How to show positive entropy!

Assume zero entropy

$$\mu(\delta\text{-tube}) \geq \delta^{0.00001}$$

↑
geometrically small set

large measure



positive entropy
 ↓
 positive dimension
 transverse to A
 ↓

$$\mu(\delta\text{-tube}) \leq \delta^k$$

for some $k > 0$

Applying the Hecke-assumption for all $p < T$
 we find many other δ -tubes with a lot of mass.

⇒ This way overlaps are forced.
 ⇒ x needs to be a periodic point.

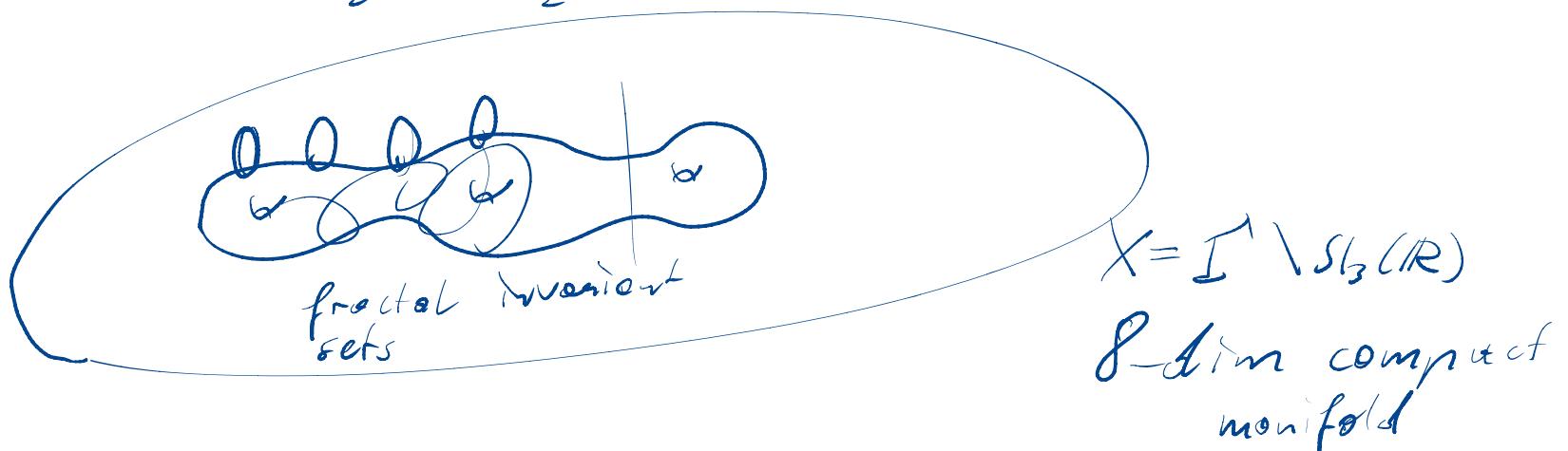
The oldish theorem

Thm (Einsiedler, Lindenstrauss '15)

This gives a partial classification for A-invariant and ergodic probability measures on $X = I^1 \backslash G$, where G is a higher rank semisimple Lie group, I^1 is an arithmetic lattice, and A is a maximal \mathbb{R} -diagonalizable subgroup of G .

The conclusion is more difficult to state. Other possibilities can occur: E.g. M. Rees found a cocompact lattice I^1 in $SL_3(\mathbb{R})$

so that $H = \left\{ \begin{pmatrix} * & * & 0 \\ 0 & 0 & * \\ 0 & 0 & * \end{pmatrix} \right\}$ has a closed orbit
 $\cong GL_2(\mathbb{R}) \cong SL_2(\mathbb{R}) \times \mathbb{R}^\times$



The general idea

μ is invariant under A

$X = \Gamma \backslash G$ has stable manifolds for various $\alpha \in A$ and their intersections

- these are orbits of unipotent subgroups $U \subset G$

μ is not assumed to be invariant under U , but positive entropy
for $\alpha \in A$ implies at least something---

The recent theorem

Thm (Einsiedler, Lindenstrauss '21+)

let $X = \Gamma \backslash \mathrm{SL}_2(\mathbb{R})^k$ for some $k \geq 2$ and an irreducible orthometric Γ .

let A be a two-parameter \mathbb{R} -diagonalizable subgroup.

let μ be an A -inv. ergodic probability measure on X
with $h_\mu(a) > 0$ for some $a \in A$.

• If X is compact, then μ is homogeneous i.e. the invariant
measure on a closed orbit of
a subgroup.

• In general, μ is homogeneous or supported on the closed orbit
of a solvable subgroup.

New idea

Boshernitzan proved in 1993 Quantitative recurrence results, which we adapt for the study of orbits of stable subgroups.

This simplifies the previous argument for $SL_2(\mathbb{R})^2$, but becomes increasingly more complicated for other semisimple groups---

The joining theorem

Furstenberg also defined in the same 1967 paper the notion of a joining, which became a fundamental tool for ergodic theory.

Let A be a group acting measure preservingly on two probability spaces (X_1, m_1) and (X_2, m_2) . We say μ is a joining for the two actions if μ is a measure on $X_1 \times X_2$ that projects to m_1 resp. m_2 under the coordinate projections and is invariant under the diagonal action of A .

Thm (Einsiedler, Lindenstrauss '19)

joinings between higher rank actions on irreducible arithmetic quotients of semi-simple Lie groups are always homogeneous.