## LECTURE 27 MATH 242

## LECTURE: PROFESSOR MICHAEL HUTCHINGS NOTES: JACKSON VAN DYKE

Today we will do an introduction to some of the other kinds of important Floer homology.

- Morse homology (model case)
- Floer homology of (Hamiltonian) symplectomorphisms (modulo Hamiltonian isotopy)
- Lagrangian Floer homology
- Fukaya category
- Heegaard Floer homology (is isomorphic to...)
- Seiberg-Witten Floer homology (is isomorphic to...)
- Embedded contact homology
- Cylindrical contact homology

## 1. LAGRANGIAN FLOER HOMOLOGY

Let  $(M, \omega)$  be a closed symplectic manifold, and  $L_0, L_1 \subseteq M$  closed Lagrangian submanifolds which intersect transversely. The simplest case is when  $\pi_2(M, L_0) =$ 0. Also assume  $L_0$  is Hamiltonian isotopic to  $L_1$ . Define  $CF_*(L_0, L_1)$  to be generated (over  $\mathbb{Z}/2\mathbb{Z}$ ) by the intersection points of  $L_0$  and  $L_1$ . There is a relative grading by the Maslov index.<sup>1</sup> To define the differential, choose a generic 1-parameter family  $\{J_t\}_{t \in [0,1]}$  of  $\omega$ -compatible acs. If  $p_-, p_+ \in L_0 \cap L_1$ , define

$$\tilde{m}^{J}(p_{+},p_{-}) = \left\{ u : \mathbb{R}_{s} \times [0,1]_{t} \to M \middle| \begin{array}{l} \partial_{s}u + J_{t}\partial_{t}u = 0\\ \forall s, u\,(s,0) \in L_{0}, u\,(s,1) \in L_{1}\\ \forall t, \lim_{s \to \pm \infty} u\,(s,t) = p_{\pm} \end{array} \right\}$$

The picture is as in fig. 1.

Date: May 2, 2019.

 $^{1}$ We will come back to what this means.



FIGURE 1. The map u maps  $\mathbb{R} \times [0, 1]$  to the disk on the right.

 $\mathbb{R}$  acts on  $\tilde{m}^{J}(p_{+}, p_{-})$  by translating s. Define

$$m^{J}(p_{+},p_{-}) = \tilde{m}^{J}(p_{+},p_{-}) / \mathbb{R}$$

Now we have that

dim  $(m_u^J(p_+, p_-)) = ($ Maslov index of  $p_+) - ($ Maslov index of  $p_-)$ 

relative to u. The differential  $\partial$  counts these when dim  $(m_u^J(p_+, p_-)) = 0$ . Then we can define HF<sub>\*</sub>  $(L_0, L_1)$ .

**Theorem 1.** Up to grading shifts, this is isomorphic to the ordinary homology  $H_*(L_0)$ .

*Idea.* First we want to prove it is invariant under Hamiltonian isotopy of  $L_0$  and  $L_1$  separately.

To compute this, start with  $L_0$ . Recall that a neighborhood of  $L_0$  is symplectomorphic to a neighborhood of the zero section in  $T^*L_0$ . If  $f : L_0 \to \mathbb{R}$  then the graph of df in  $T^*L_0$  is Hamiltonian isotopic to the zero section. Assume fis Morse, take  $L_1$  to be the graph of df. Multiply f by  $\epsilon > 0$  as needed. Then  $L_0 \cap L_1 = \operatorname{crit}(f)$ . Then we want to show that  $\partial$  on  $\operatorname{CF}_*(L_0, L_1)$  agrees with the Morse differential.

**Corollary 1.** If  $(M, \omega)$  is a closed symplectic manifold and  $L \subset M$  is a closed Lagrangian submanifold with  $\pi_2(M, L) = 0$ , then L is displaceable.

Warning 1. This is false in general without the assumption that  $\pi_2(M, L) = 0$ .

**Counterexample 1.** Consider  $S^2$  and take L to be a circle which does not divide  $S^2$  into pieces of equal area. Then the Lagrangian Floer homology is not even defined.

*Remark* 1. If  $\varphi: (M, \omega) \bigcirc$  is a Hamiltonian symplectomorphism we can define

$$L_{0} = \Delta = \{(x, x) \mid x \in M\} \subset (M \times M, -\omega \oplus \omega)$$
$$L_{1} = \Gamma(\varphi) = \{(x, \varphi(x)) \mid x \in M\} \subset (M \times M, -\omega \oplus \omega)$$

and then  $L_0$  and  $L_1$  are in fact Lagrangians. Then  $L_0 \cap L_1$  consists exactly of the fixed points of  $\varphi$ . For suitable J, the differentials on  $\operatorname{CF}_*(L_0, L_1)$  and  $\operatorname{CF}_*(\varphi)$  agree.

1.1. **Fukaya category.** The objects of the Fukaya category are Lagrangians and the morphism space is exactly the Lagrangian Floer homology. Then the composition somehow counts holomorphic triangles to send:

 $\operatorname{HF}_{*}(L_{0}, L_{1}) \otimes \operatorname{HF}_{*}(L_{1}, L_{2}) \to \operatorname{HF}_{*}(L_{0}, L_{2})$ .

## 2. Cylindrical contact homology

Suppose  $Y \subseteq \mathbb{R}^{2n}$  is a smooth star-shaped hypersurface. (Suppose further the simplifying assumption that Y is the boundary of a convex domain.) Recall that

$$\lambda = \frac{1}{2} \sum_{i=1}^{n} (x_i \, dy_i \, - y_i \, dx_i)|_{Y}$$

is a contact form. Assume that  $\lambda|_{Y}$  is nondegenerate. Write  $\xi = \ker \lambda$ .

Define the cylindrical contact homology  $CH_*(Y)$  as follows.  $CC_*(Y)$  is generated over  $\mathbb{Q}$  by "good" Reef orbits. To define the differential (modulo transversality

 $\mathbf{2}$ 



FIGURE 2. The cylinder  $Y \times \mathbb{R}$  with one Reeb orbit at each end.

trouble which is okay when n = 2) choose a generic almost complex structure J on  $\mathbb{R}_s \times Y$  such that

- $J(\partial_s) = \mathbb{R}$
- $J(\xi) = \xi$ , compatibly with  $d\lambda$ .
- J is invariant under the  $\mathbb{R}$  action translating s.

If  $\gamma_+$ ,  $\gamma_-$  are Reeb orbits, define

$$\tilde{m}^{J}(\gamma_{+},\gamma_{-}) = \left\{ u: \mathbb{R} \times S^{1} \to \mathbb{R} \times Y \middle| \begin{array}{c} \partial_{s} u + J \partial_{t} u = 0, \\ \lim_{s \to \pm \infty} \pi_{\mathbb{R}} \left( u\left(s,t\right) \right) = \pm \infty, \\ \lim_{s \to \pm \infty} \pi_{Y} \left( u\left(s,t\right) \right) \text{ param. } \gamma_{\pm} \end{array} \right\} / \mathbb{R} \times S^{1},$$

where this  $\mathbb R$  is in the domain, and similarly

$$m^{J}(\gamma_{+},\gamma_{-}) = \tilde{m}^{J}(\gamma_{+},\gamma_{-}) / \mathbb{R}$$

where this  $\mathbb{R}$  comes from the target. The picture is as in fig. 2.

If transversality holds (true for generic J when n = 2) then

$$\dim \tilde{m}^{J}(\gamma_{+},\gamma_{-}) = \operatorname{cz}(\gamma_{+}) - \operatorname{cz}(\gamma_{-})$$

Choose a trivialization  $\tau$  of  $\xi$  over Y. Given a Reeb orbit  $\gamma : \mathbb{R}/T\mathbb{Z} \to Y$  and given  $t \in [0,T]$  we have

$$\begin{array}{c} \text{Lin. Reeb flow} \\ \xi_{\gamma(0)} & \xi_{\gamma(t)} \\ \downarrow & \downarrow^{\tau} \\ \mathbb{R}^{2n} \xrightarrow{\varphi_t} \mathbb{R}^{2n} \end{array}$$

where

$$\operatorname{cz}(\gamma) = \operatorname{cz}\left(\{\varphi_t\}_{t\in[0,T]}\right)$$

A Reeb orbit of  $\gamma$  is *bad* if it is an even multiple cover of a Reeb orbit  $\overline{\gamma}$  such that  $cz(\gamma)$  and  $cz(\overline{\gamma})$  have opposite parity. Then

$$CC_*(Y) = \mathbb{Q} \{ \text{good Reeb orbits with } cz = * \}$$
.

MATH 242

The differential is defined as

$$\partial \gamma_{+} = \sum_{\operatorname{cz}(\gamma_{-}) = \operatorname{cz}(\gamma_{+}) - 1} \sum_{u \in m^{J}(\gamma_{+}, \gamma_{-})} \epsilon(u)(\#) \gamma_{-}$$

where  $\epsilon$  is the sign in  $\pm 1$  and # is come combinatorial factor in  $\mathbb{N}^{>0}$ .

**Theorem 2.**  $\partial^2 = 0$  and in fact

$$CH_*(Y) = \begin{cases} \mathbb{Q} & * = n - 1 + 2n, k \in \mathbb{N}^{>0} \\ 0 & o/w \end{cases}$$

**Exercise 1.** If  $Y = \partial E(a_1, \ldots, a_n)$  with  $a_i/a_j \notin \mathbb{Q}$  for  $i \neq j$  then

cz: {Reeb orbits} 
$$\xrightarrow{\text{Bij.}} \{n-1+2k \mid k \in \mathbb{N}^{>0}\}$$

2.1. Application to symplectic embeddings. Given Y as above with  $Y = \partial X$  and a positive integer k, define  $c_k(X)$  to be the min of  $L \in \mathbb{R}$  such that the grading n-1+2n class in CH<sub>\*</sub> is represented by a linear combination of good Reeb orbits with period  $\leq L$ .

**Example 1.**  $c_k(E(a_1,\ldots,a_n))$  is the *k*th number in the sequence of positive integer multiples of  $a_1,\ldots,a_n$  written in non-decreasing order.

**Theorem 3.** If there exists a symplectic embedding  $X \xrightarrow{\varphi} X'$  then  $c_k(X) \leq c_k(X')$  for all k.

The idea of the theorem is as follows.  $\varphi(X)$  sits inside X so we can define  $W = X' \setminus \operatorname{int}(\varphi(X))$  which is a symplectic cobordism between  $\partial X$  and  $\partial X'$  then we would have to show this induces a map on cylindrical contact homology which gives us the result.