

## LECTURE 6: CONTACT STRUCTURES MATH 242

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### 1. DEFINITIONS

Contact geometry is meant to be an odd-dimensional version of symplectic geometry. Let  $Y$  be a  $2n - 1$  dimensional smooth manifold.

**Definition 1.** A *contact form* on  $Y$  is a 1-form  $\lambda$  on  $Y$  such that  $\lambda \wedge d\lambda^{n-1} \neq 0$ .

The idea is that some version of the top dimensional exterior product of the form is nonzero. This is the odd-dimensional version of the non-degeneracy of a symplectic form. Having such a form determines two additional things. First, define  $\xi = \ker \lambda \subset TY$ . This is a codimension 1 sub-bundle.<sup>1</sup> Note that  $d\lambda^{n-1}|_{\xi} \neq 0$ . Assuming  $\lambda \neq 0$  this is equivalent to the non-degeneracy in the definition. This means  $d\lambda$  defines a linear symplectic form on  $\xi_p$  for each  $p \in Y$ . In particular,  $\xi$  is oriented by  $(d\lambda)^{n-1}$ .

**Definition 2.** A contact structure is an oriented co-dimension 1 subbundle of  $TY$  such that there exists a contact form  $\lambda$  on  $Y$  with  $\ker \lambda = \xi$ , and  $(d\lambda)^{n-1}$  agrees with the orientation on  $\xi$ .

By definition any contact form gives rise to a contact structure.

*Remark 1.* Let  $\lambda_1$  be a contact form. Then  $\lambda_2$  is a contact form which gives rise to the same contact structure iff there exists  $f : Y \rightarrow \mathbb{R} \setminus \{0\}$  (need  $f > 0$  if  $n - 1$  is odd) such that  $\lambda_2 = f\lambda_1$ .

*Proof.* ( $\implies$ ): By definition,  $\ker \lambda_1 = \ker \lambda_2$ , so  $\lambda_2 = f\lambda_1$  for some non-vanishing function  $f : Y \rightarrow \mathbb{R} \setminus \{0\}$ . The orientation condition forces  $f > 0$  for  $n - 1$  odd.

( $\impliedby$ ): It certainly has the same kernel, so we just need to check that  $\lambda_2 = f\lambda_1$  is a contact form. We can calculate:

$$\lambda_2 \wedge (d\lambda_2)^{n-1} = f\lambda_1 \wedge (df \wedge \lambda_1 + f d\lambda_1)^{n-1} = f^n \lambda_1 \wedge (d\lambda_1)^{n-1} \neq 0$$

which is never zero because  $\lambda_1$  is a contact form and  $f$  is nonzero. □

*Remark 2.* Recall that a codimension 1 distribution  $\xi$  is integrable if it is a foliation, i.e. locally you can choose coordinates  $x_1, \dots, x_{2n-1}$  such that

$$\xi = \text{Span} \left( \frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_{2n-1}} \right)$$

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<sup>1</sup> This is sometimes called a distribution.

**Theorem 1** (Frobenius). *A distribution  $\xi$  (of any dimension) is a foliation iff we have that if  $V$  and  $W$  are vector fields with  $V(p), W(p) \in \xi_p$  for each  $p \in Y$ , then the Lie bracket  $[V, W](p) \in \xi_p$  for each  $p \in Y$ .*

**Lemma 1.** *If  $\lambda$  is a non-vanishing 1-form with  $\xi = \ker \lambda$ , then  $\xi$  is integrable iff  $d\lambda|_{\xi} = 0$ .*

*Proof.* Let  $V$  and  $W$  be vector fields with  $V(p), W(p) \in \xi_p$  for all  $p$ . Then we have the formula that:

$$d\lambda(V, W) = V\lambda(W) - W\lambda(V) - \lambda([V, W]) .$$

The first terms are 0 since we assumed  $V$  and  $W$  to be in  $\ker \lambda$ . And now we are done by Frobenius' theorem.  $\square$

A contact structure is what is called “maximally non-integrable” since  $d\lambda|_{\xi}$  is nondegenerate.

**Definition 3.** If  $\lambda$  is a contact form, then the *Reeb vector field*  $R$  is the unique vector field such that  $d\lambda(R, -) = 0$  and  $\lambda(R) = 1$ .

This is unique because  $\dim \ker d\lambda = 1$ , and by the non-degeneracy in the definition,  $\lambda$  is not identically zero on the kernel of  $d\lambda$ .

**Warning 1.** Multiplying  $\lambda$  by a positive function changes the Reeb vector field. Not just the normalization, the direction too. We should expect this, because if something is in the kernel of  $d\lambda$ , we shouldn't necessarily expect it to be in the kernel of  $df\lambda = df \wedge \lambda + f \wedge d\lambda$ .

## 2. EXAMPLES AND THEOREMS

**Example 1.** Take<sup>2</sup>  $Y = \mathbb{R}^3$ ,  $\lambda = dz - y dx$ . Note that  $d\lambda = dx dy$  which means  $\lambda \wedge d\lambda = dx dy dz$  which is nondegenerate. Then

$$\xi = \ker \lambda = \text{Span} \left( \frac{\partial}{\partial y}, \frac{\partial}{\partial x} + y \frac{\partial}{\partial z} \right) .$$

and  $R = \partial/\partial z$ . These planes look as in fig. 1.

*Remark 3.* Let  $\gamma : [a, b] \rightarrow \mathbb{R}^3$  be a curve which projects to a loop  $\bar{\gamma}$  in  $\mathbb{R}_{x,y}^2$ . Suppose that  $\gamma'(t) \in \xi$  for all  $t$ . We can consider the difference of the  $z$  coordinates:

$$z(\gamma(b)) - z(\gamma(a)) = \int_{\gamma} dz = \int_{\gamma} y dx = \int_{\bar{\gamma}} y dx = -\text{Area}(\bar{\gamma})$$

so a simple closed curve can never lift to a curve tangent to the contact planes. In particular, the obstruction is exactly measured by the signed area of the curve. So if we had a figure 8 with the same amount of area on both sides of the crossing we could somehow close the curve off in  $\mathbb{R}^3$  while still staying tangent to  $\xi$ . We can visualize this in fig. 1

**Definition 4.** If  $Y^3$  is a 3-manifold with a contact structure  $\xi$ , a *Legendrian knot* in  $(Y, \xi)$  is a knot  $L \subset Y$  such that  $TL \subset \xi$ .

<sup>2</sup>Note that some people instead take  $\lambda = dz + x dy$ . Professor Hutchings says such people are heretics.

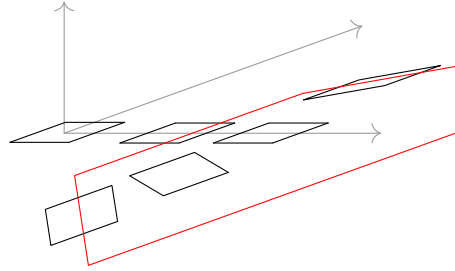


FIGURE 1. Here we show  $\xi$  for  $\mathbb{R}^3$  where  $\lambda = dz + x dy$ . In red we show the sense in which simple closed curves in the plane fail to lift to curves in  $\mathbb{R}^3$  which are everywhere tangent to the contact planes.

A closed curve  $\bar{\gamma}$  in the  $x, y$  plane lifts to a Legendrian knot in  $(\mathbb{R}^3, dz - y dx)$  iff  $\text{Area}(\bar{\gamma}) = 0$  and some additional area conditions from the crossings.<sup>3</sup>

*Remark 4.* Projecting to the  $x, y$  plane and considering its area is different from the front projection, which would instead be projecting the knot to the  $x, z$  plane so the slope of the projection somehow tells us the  $y$  coordinate.

**Question 1.** Classify Legendrian knots in  $(\mathbb{R}^3, \lambda_{\text{std}})$  up to Legendrian isotopy. In particular, how different are Legendrian knots from smooth knots?

Note that there is an obvious map from Legendrian knots modulo Legendrian isotopy to smooth knots modulo smooth isotopy which is surjective. It is however not injective. There are some simple invariants which can show certain Legendrian knots are not Legendrian isotopic. The first of which is the rotation number. Consider for example the figure eight presentation of the unknot. This has rotation number 0. If we add an extra turn, the image under the map to smooth knots is still the unknot, however it has rotation number 1, so these are not related by Legendrian isotopy. There is also the Thurston-Bennequin invariant. It was once thought that if this and the rotation number both agreed, then two Legendrian knots were Legendrian isotopic. It was however discovered using Legendrian contact homology that this is not the case.

**Example 2.** Note that there is a standard contact form on  $\mathbb{R}^{2n-1}$  given by

$$\lambda_{\text{std}} = dz - \sum_{i=1}^{n-1} y_i dx_i$$

where the coordinates are given by  $x_1, \dots, x_{n-1}, y_1, \dots, y_{n-1}, z$ . Then

$$d\lambda_{\text{std}} = \sum_{i=1}^{n-1} dx_i dy_i$$

which means

$$\lambda_{\text{std}} \wedge (d\lambda_{\text{std}})^{n-1} = (n-1)! dx_1 dy_1 \cdots dx_{n-1} dy_{n-1} dz$$

<sup>3</sup>The idea is that if we take one half of the curve split at a crossing then it has to have nonzero signed area.

and again  $R = \partial/\partial z$ .

The following example should motivate one to care about contact structures.

**Example 3.** Define a 1-form  $\lambda_0$  on  $\mathbb{R}^{2n}$  by

$$\lambda_0 = \frac{1}{2} \sum_{i=1}^n (x_i dy_i - y_i dx_i) .$$

Then the claim is as follows.

**Claim 1.** Let  $Y$  be a star-shaped hypersurface in  $\mathbb{R}^{2n}$ , i.e. it is transverse to the radial vector field

$$\rho = \frac{1}{2} \sum_{i=1}^n \left( x_i \frac{\partial}{\partial x_i} + y_i \frac{\partial}{\partial y_i} \right) .$$

Then we have both of the following:

- (1)  $\lambda = \lambda_0|_Y$  is a contact form on  $Y$ .
- (2)  $R$  is proportional to  $X_H$  for any Hamiltonian  $H : \mathbb{R}^{2n} \rightarrow \mathbb{R}$  having  $Y$  as a regular level set.

*Proof.* Observe that  $\lambda_0 = \iota_\rho \omega_{\text{std}}$ . So we want to show that  $\lambda = \iota_\rho \omega_{\text{std}}|_Y$  is a contact form. First note that  $\lambda|_Y \neq 0$  because  $\rho \nmid Y$ . [ $\rho(y) \in \ker(\iota_\rho \omega_{\text{std}}(y))$ , and if  $T_y Y \subset \ker(\iota_\rho \omega_{\text{std}}(y))$  then  $\ker(\iota_\rho \omega_{\text{std}}) = T_y \mathbb{R}^{2n}$  which is a contradiction since  $\rho \neq 0$ , and  $\omega_{\text{std}}$  is nondegenerate.] To show that  $\lambda$  is a contact form, we need to check that  $(d\lambda)^{n-1}|_{\ker \lambda}$  is nondegenerate. This follows because  $d\lambda = \omega_{\text{std}}|_Y$  and  $(\omega_{\text{std}}|_Y)^{n-1} \neq 0$ .

The following is the more general form of this fact:

**Theorem 2.** *In a symplectic manifold  $(M, \omega)$ , let  $Y$  be a hypersurface. Let  $\rho$  be a vector field in a neighborhood of  $Y$  such that  $\rho \nmid Y$  and  $d\iota_\rho \omega = \omega$ . (Note this is what is called a Liouville vector field.) Then  $\lambda = (\iota_\rho \omega)|_Y$  is a contact form on  $Y$ .*

Recall that if  $y \in Y$ , then  $\omega|_{T_y Y}$  has a 1-dimensional kernel, call it  $L_y$ , and  $X_H(y)$  is a generator of  $L_y$ . Observe that  $R \in L_y$  because of the following. We know  $d\lambda(R, -) = 0$ , but  $d\lambda = \omega|_Y$ , so both the Hamiltonian vector field and the Reeb vector field have to be in the same one-dimensional space.  $\square$