

## LECTURE 7 MATH 242

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### 1. CONTACT MANIFOLDS

Recall a *contact form*  $\lambda$  is a 1-form such that  $\lambda \wedge (d\lambda)^{n-1} \neq 0$ . This gives rise to the contact structure  $\xi = \ker \lambda$  and a Reeb vector field  $R$  which is characterized by  $d\lambda(R, \cdot) = 0$  and  $\lambda(R) = 1$ .

**1.1. Why we care.** Let  $Y$  be a compact smooth hypersurface in a symplectic manifold  $(M^{2n}, \omega)$ .

**Definition 1.**  $Y$  is *contact type* if there exists a contact form  $\lambda$  on  $Y$  such that  $d\lambda = \omega|_Y$ .

Note that any hypersurface  $Y$  in  $(M, \omega)$  has a characteristic foliation  $L$  which is a rank 1 foliation with  $L_y = \ker(\omega|_{T_y Y})$ . If  $H : M \rightarrow \mathbb{R}$  is a Hamiltonian, with  $Y$  as a regular level set, then for  $y \in Y$  we have  $X_H(y) \in L_y$ .

*Remark 1.* If  $Y$  is contact-type then the Reeb vector field  $R$  has the property that  $R(y) \in L_y$ .

Note that this implies that periodic orbits of  $R$  correspond to periodic orbits of  $X_H$ . So if you're interested in one, you're interested in the other.

**Conjecture 1 (Weinstein).** *If  $Y^{2n-1}$  is a closed manifold, then every contact form on  $Y$  has a Reeb orbit.*

In the 70s, Rabinowitz proved this for star-shaped hypersurfaces in  $\mathbb{R}^{2n}$ . In the 80s, Viterbo proved this for contact-type compact hypersurfaces in  $\mathbb{R}^{2n}$ . In 2006, Taubes proved this for all closed 3-manifolds. This is an open question for higher dimensions.

### 2. LIOUVILLE VECTOR FIELDS

Recall that if  $(M, \omega)$  is symplectic, a Liouville vector field on  $M$  is a vector field  $X$  such that  $\mathcal{L}_X \omega = dt_X \omega = \omega$ .

**Example 1.** Let  $M = \mathbb{R}^{2n}$  with the standard form

$$\omega = \sum_{i=1}^n dx_i dy_i$$

then the Liouville vector field is just the radial one:

$$X = \frac{1}{2} \sum_{i=1}^n \left( x_i \frac{\partial}{\partial x_i} + y_i \frac{\partial}{\partial y_i} \right) .$$

Indeed,

$$\iota_X \omega = \frac{1}{2} \sum_{i=1}^n (x_i dy_i - y_i dx_i)$$

which clearly satisfies  $d\iota_X \omega = \omega$ .

**Lemma 1.** *A compact hypersurface  $Y \subset (M, \omega)$  is contact type iff there exists a Liouville vector field  $X$  in a neighborhood of  $Y$  with  $X \lrcorner Y$  (e.g. a star-shaped hypersurface in  $\mathbb{R}^{2n}$ ).*

*Proof.* ( $\Leftarrow$ ): Define  $\lambda = \iota_X \omega|_Y$ . The definition implies that  $d\lambda = \omega|_Y$ . We need to check that  $\lambda$  is a contact form. Let  $y \in Y$  and  $v \in L_y \setminus \{0\}$ . Then  $\omega(V, X) \neq 0$ . In particular,  $\omega(V, X) = -\lambda(V)$ . Then  $\ker \lambda|_{T_y Y}$  is the symplectic complement of  $\text{Span}(V, X)$ . This is because if  $w \in \ker(\lambda|_{T_y Y})$  then

$$\omega(W, X) = -\iota_X \omega(W) = -\lambda(W) = 0 .$$

It follows that  $d\lambda$  is nondegenerate on  $\ker(\lambda|_{T_y Y})$ . This, along with the above calculation that  $\omega(V, X) = -\lambda(V)$ , is enough to see that  $\lambda \wedge (d\lambda)^{n-1} \neq 0$ .  $\square$

Before we finish the proof, we need another definition.

**Definition 2.** Let  $(Y, \lambda)$  be a contact manifold. Define the symplectization to be the symplectic manifold

$$(\mathbb{R}_s \times Y, \omega = d(e^s \lambda)) .$$

We now check this is symplectic. First we have that  $d\omega = 0$ . Note that

$$\omega = e^s (ds \wedge \lambda + d\lambda)$$

so we have that

$$\omega^n = n e^{ns} ds \wedge \underbrace{\lambda \wedge (d\lambda)^{n-1}}_{\text{nonzero on } Y} \neq 0 .$$

This is the volume form, so we should think of this as somehow telling us that this thing blows up in the  $s$  direction.

*Remark 2.*  $\{s\} \times Y$  is a contact type hypersurface for any value  $s \in \mathbb{R}$ . The contact form is  $e^s \lambda$ .

*Continued proof of lemma 1.* ( $\Rightarrow$ ): Suppose  $\lambda$  is a contact form on  $Y$  with  $d\lambda = \omega|_Y$ . Then we need to find a transverse Liouville vector field of  $X$  in a neighborhood of  $Y$ . Choose a section  $\hat{X}$  of  $TM|_Y$  such that

$$\hat{X} \in (\ker \lambda)^\omega \quad \omega(\hat{X}, R) = 1 .$$

Extend  $\hat{X}$  arbitrarily to a non-vanishing vector field of  $Y$ . Note that  $\hat{X} \lrcorner Y$ . We can choose this in a neighborhood to be identified with  $(-1, 1)_s \times Y$  such that  $Y \leftrightarrow \{0\} \times Y$ .

On this neighborhood we have a symplectic form  $\hat{\omega} = d(e^s \lambda)$  and  $\hat{X} = \partial/\partial s$  is a Liouville vector field for  $\hat{\omega}$ . Note that the point here is that along  $Y$ ,  $\hat{\omega} = \omega$ .

Recall that the relative Moser theorem implies that after shrinking neighborhoods we get a diffeomorphism  $\varphi$  from a neighborhood of  $Y$  in  $M$  to a neighborhood of  $\{0\} \times Y$  in  $(-1, 1) \times Y$  such that  $\varphi|_Y = \text{id}|_Y$  and  $\varphi^*\hat{\omega} = \omega$ . Then  $\varphi^*\hat{X}$  is a Liouville vector field for  $\omega$  which is transverse to  $Y$ .  $\square$

*Remark 3.* There exist compact hypersurfaces in  $\mathbb{R}^{2n}$  for  $n > 1$  ( $C^2$  smooth if  $n = 2$ ,  $C^\infty$  if  $n > 2$ ) such that  $X_H$  (or  $L$ ) has no periodic orbit.

It follows from Viterbo's theorem that these are not contact type.

**Question 1.** Is every embedded  $S^3$  in  $\mathbb{R}^4$  isotopic to a contact type hypersurface?

This would be a big deal to answer, since it would imply the Schoenflies conjecture, which says that every embedded  $S^3$  in  $\mathbb{R}^4$  bounds a ball.

### 3. EXAMPLES OF CONTACT MANIFOLDS

**Example 2.** Let  $Z$  be a smooth manifold with a Riemannian metric  $g$ . Take

$$T = ST^*Z = \left\{ (y, v) \in T^*Y \mid \|v\|_g = 1 \right\},$$

the unit cotangent bundle. Recall that  $T^*Z$  is symplectic where

$$\lambda = \sum_{i=1}^n p_i dq_i$$

in local coordinates and  $\omega = d\lambda$ . The Liouville vector field is

$$X = \sum_{i=1}^n p_i \frac{\partial}{\partial p_i}.$$

So  $X \lrcorner \lambda|_Y$ , and therefore  $\lambda|_Y$  is a contact form, and  $R$  is the geodesic flow.

**Example 3.** More generally, if  $Y \subset T^*Z$  is a hypersurface which is “fiberwise star-shaped”, i.e. transverse to

$$X = \sum_{i=1}^n p_i \frac{\partial}{\partial p_i},$$

then  $Y$  is contact type.

**Example 4.** Let

$$Y = T^3 = (\mathbb{R}/2\pi\mathbb{Z})^3$$

with coordinates  $x, y, z$ . Take

$$\lambda = (\cos z) dx + (\sin z) dy.$$

We check this is a contact form by calculating

$$d\lambda = -\sin z dz dx + \cos z dz dy$$

and

$$\lambda \wedge d\lambda = \cos^2 z dx dz dy - \sin^2 z dy dz dx = -dx dy dz.$$

One might think this should be positive, but it isn't.<sup>1</sup> The Reeb flow is:

$$R = \cos z \frac{\partial}{\partial x} + \sin z \frac{\partial}{\partial y}.$$

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<sup>1</sup>Professor Hutchings offers a cautionary tale about signs: there was once a theorem relating the cohomology of cotangent bundles to loop spaces. There were three independent proofs of this, but they were all wrong because of a sign.

We could get a variant of this example by setting:

$$\lambda = (\cos nz) dx + (\sin nz) dy .$$

**Fact 1.** *The contact structures for different  $n$  are not contactomorphic.*

**Example 5** (Boothby-Wang manifolds/pre-quantization spaces). This is a way to start with a symplectic manifold, and create a contact manifold with one higher dimension. Let  $(M^{2n}, \omega)$  be a closed symplectic manifold. Suppose  $-\frac{[\omega]}{2\pi} \in H^2(M; \mathbb{R})$  is the image of an integral class  $e \in H^2(M; \mathbb{Z})$ . Let  $Y^{2n+1}$  be the principle  $S^1$ -bundle over  $M$  with Euler class  $e$ .

First we review these concepts. Let  $B$  be any smooth manifold. Recall a principal  $S^1$ -bundle over  $B$  consists of

- a smooth manifold  $E$
- a map  $\rho : E \rightarrow B$
- an  $S^1$  action on  $E$

such that:

- $\rho$  is surjective
- $S^1$  preserves the fibers of  $\rho$
- $S^1$  acts freely and transitively on each fiber,
- for each point in  $B$ , we can find a neighborhood  $U \subseteq B$  such that

$$\begin{array}{ccc} \rho^{-1}(U) & \simeq & U \times S^1 \\ \searrow \rho & & \swarrow \pi \\ & U & \end{array} .$$

*Remark 4.* We could also define a principle  $G$  bundle for any Lie group  $G$ , but this gets a bit tricky to deal with when  $G$  is nonabelian, so we won't worry about this for now.

So consider such a bundle 
$$\begin{array}{ccc} S^1 & \rightarrow & E \\ & & \downarrow \\ & & B \end{array} .$$

**Definition 3.** A connection on a principal  $S^1$ -bundle is a 1-form  $A$  on  $E$  such that

- $A$  is invariant under the  $S^1$  action
- $A(V) = 1$  where  $V$  is the derivative of the  $S^1$  action.

The point of defining this, is that a connection is equivalent to an  $S^1$ -invariant splitting of the short exact sequence

$$0 \longrightarrow T_e \rho^{-1}(b) \xrightarrow{\overset{A}{\curvearrowright}} T_e E \xrightarrow{\rho_*} T_b B \longrightarrow 0$$

horizontal lift

for  $b \in B, e \in \rho^{-1}(b)$ . We call the first term the vertical subspace. We want to somehow consider a horizontal subspace, but there is no canonical way to make this choice, so such a splitting is somehow a choice of such a subspace. This is equivalent to the connection  $A$ .

Then the point here will be that an appropriate connection is a contact form.