

LECTURE 5
MATH 256A

LECTURES: PROFESSOR MARK HAIMAN
NOTES: JACKSON VAN DYKE

1. QUOTIENTS

1.1. **Classical picture.** We want to finish discussing the sense in which we can think of $\text{Proj } R$ as a quotient of $\text{Spec } R \setminus V(R_+)$ by the \mathbb{G}_m action. Before we get bogged down with abstraction, let's remember the classical story. Let $A = \mathcal{O}(Y)$, then $\text{Proj}(A[x_0, \dots, x_n]/I)$ is a projective variety. In this case $\text{Spec } R$ is $\mathbb{A}^{n+1} \times Y$ and the k^\times action is just by scaling. So we remove the fixed locus, a copy of Y , and quotient out by k^\times to get $Y \times \mathbb{P}_k^n$.

1.2. **Generality.** First we need some sort of canonical morphism $\pi : \text{Spec}(R) \setminus V(R_+) \rightarrow \text{Proj}(R)$. The way we constructed Proj to begin with was somehow the wrong way around. To see this as a quotient, we want to view it as sending points to their G -orbits. So let's see we actually have such a map. Let $Z = \text{Spec } R$ and $X = \text{Proj } R$. As usual we have that X is covered by the $X_f = \text{Spec}(R_f)_0$, and Z is covered by the $Z_f = \text{Spec}(R_f)$ so locally we have maps $Z_f \rightarrow X_f$, and the global map is pasted together like this. Note that this does indeed map fixed points to fixed points, and non-fixed points to their orbits, so this is a quotient in a topological sense.

There are many sorts of quotients. One is the sort of functorial quotient:

$$\underline{Y}(T) = \underline{X}(T) / \underline{G}(T) .$$

But this is too strong because if this is true, then $X = Y \times G$ to begin with. We also have a 'locally-functorial' notion of a quotient, e.g. X could be a principal G bundle over Y in the Zariski topology. Finally there is a weaker notion, which is what is called a "coarse" quotient. So consider an action $G \curvearrowright X$, and a G -equivariant map $\pi : X \rightarrow Y$ such that $G \curvearrowright Y$ trivially. Then the property we want is just that it is universal among such things. I.e. for every G -equivariant map $\varphi : X \rightarrow Z$, where Z has trivial G action, we have the following:

$$\begin{array}{ccc} & & Y \\ & \nearrow \pi & \downarrow \exists! \\ X & \xrightarrow{\varphi} & Z \end{array} .$$

This notion also seems functorial, but it turns out it is much weaker. We want to see the functorial quotient as a special case of the coarse quotient. Note that this will imply that the locally functorial quotient is also a special case. So suppose we do have a functorial quotient. Then given $\varphi : X \rightarrow Z$, consider the induced map $\underline{X}(T) \rightarrow \underline{Z}(T)$ for any scheme T , which is equivariant with respect

to $\underline{G}(T) \circ \underline{X}(T)$. Then for $\underline{Y}(T) = \underline{X}(T)/\underline{G}(T)$ the functorial quotient, it fits in the diagram:

$$\begin{array}{ccc} & & \underline{Y}(T) \\ & \nearrow \pi & \downarrow \\ \underline{X}(T) & \xrightarrow{\varphi} & \underline{Z}(T) \end{array}$$

and by Yoneda, there exists a unique such map $Y \rightarrow Z$.

1.3. Specifics. First let's see that the map $\text{Spec } R \setminus V(R_+) \rightarrow \text{Proj } R$ is a weak quotient. The action $\mathbb{G}_m \circ \text{Spec } S$ gives us a trivial \mathbb{Z} grading in S , meaning $S = S_0$. For the moment let $Z = \text{Spec } A$ be an affine scheme. We are supposed to think of Z as having a trivial \mathbb{G}_m action

$$\begin{array}{ccc} \text{Spec } S & \longrightarrow & Y \\ & \searrow \mathbb{G}_m \text{ equiv.} & \\ & & Z \end{array}$$

So this is a weak weak quotient.

Now consider

$$\begin{array}{ccc} & X = \text{Spec } R \setminus V(R_+) & \\ \nearrow \varphi^{-1}(U) & & \searrow \varphi \\ & & Z \\ \text{Spec } A = U & \longleftarrow & \end{array}$$

and notice we can cover $\varphi^{-1}(U)$ with X_f s for $f \in R_d$ for $d > 0$. Then the maps between affines correspond to maps $A \rightarrow (R_f)_0$. But this says exactly that for $Y = \text{Proj } R$ and $Y_f = \text{Spec}(R_f)_0$ we have that the morphism $X_f \rightarrow U$ factors through Y_f , which gives us a unique morphism $\text{Proj } R \rightarrow Z$ making the diagram commute:

$$\begin{array}{ccc} & Y = \text{Proj } R & \\ \nearrow & \downarrow \exists! & \\ X = \text{Spec } R \setminus V(R_+) & \xrightarrow{\varphi} & Z \end{array}$$

Example 1. Take the affine line $\text{Spec } k[x]$. The fixed point is 0, so we get $k^\times/k^\times = \text{pt} \simeq \text{Spec } k$. Indeed $X_x = \text{Spec } k[x^{\pm 1}]_0 = \text{Spec } k$ covers it. The issue with the weak quotient if we don't remove the origin, is that there are two orbits, but we just get one point.

Example 2. Now consider $k[x, y]$. We have $k[x, y]_0 = k$, so the weak quotient is a point when we don't remove the origin. The idea is that the origin is in the closure of every orbit. But in a sensible quotient, we need to distinguish the orbits, so we remove the fixed locus.

Suppose that R_1 generates R_+ .

Exercise 1. Prove this is equivalent to the condition that R_0 and R_1 generate R as a ring.

Actually we just need $R_+ \subseteq \sqrt{R}$. I.e. the Y_f , for $\deg f = 1$, cover $\text{Spec } R \setminus V(R_+)$ and the X_f cover $\text{Proj } R$. As usual let $X_f = \text{Spec } R_f$ and $Y_f = \text{Spec } (R_f)_0$. Now we have

$$\begin{array}{c} (R_f)_n \\ f^n \uparrow \downarrow f^{-n} \\ (R_f)_0 \end{array}$$

and then $\text{Spec } R_f = \text{Spec } (R_f)_0 \times_{\text{Spec } \mathbb{Z}} \mathbb{G}_{m, \mathbb{Z}}$ is a strong quotient, and $X = \text{Spec } R \setminus V(R_+) \rightarrow Y = \text{Spec } R$ is a principal \mathbb{G}_m bundle.

2. PREVIEW OF NEXT TIME

On affine schemes $X = \text{Spec } R$, if we have an R -module M , we get a sheaf \tilde{M} of \mathcal{O}_X modules. In particular we have the stalks $\tilde{M}_{\mathfrak{p}} = M_{\mathfrak{p}}$. Then we had a theorem that we also have $\tilde{M}(X_f) = M_f$. As a special case, $\Gamma(\tilde{M}) = M$. In other words we have an adjunction

$$\begin{array}{c} R\text{-Mod} \\ \Gamma \uparrow \downarrow \tilde{} \\ \mathcal{O}_X\text{-Mod} \end{array} .$$

In particular $\tilde{}$ is left adjoint to Γ . So this is an equivalence of categories between $R\text{-Mod}$ and its image in $\mathcal{O}_X\text{-Mod}$. The image consists of quasi-coherent \mathcal{O}_X -modules.

As it turns out, we can do the same for Proj . In particular, we take $X = \text{Proj } R$, and then we map M a graded R -module to \tilde{M} an \mathcal{O}_X module. It works basically the same, except now

$$\tilde{M}(X_f) = (\tilde{M}_f)_0 .$$