

SEIBERG-WITTEN EQUATIONS, FINITE DIMENSIONAL APPROXIMATION

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1. PROOF OF MAIN THEOREM

Recall last time we discuss the SES

$$0 \rightarrow \ker \mu \rightarrow \Theta_3^{H,\mu} \xrightarrow{\mu} \mathbb{Z}/2\mathbb{Z} \rightarrow 0 .$$

Then we have that μ not splitting is equivalent to the statement that for all $Y \mathbb{Z}HS$ (with $\mu(Y) = 1$) we have $[2Y] \neq 0$ (or equivalently $Y \not\sim -Y$).

To prove this we will invent an invariant β which takes some integral homology sphere and gives us a number. It has the following properties:

- (1) $Y_1 \sim Y_2$ implies $\beta(Y_1) = \beta(Y_2)$.
- (2) β is the integral lift of the Roklin invariant: $\mu(Y) = \beta(Y) \pmod{2}$.
- (3) $\beta(-Y) = -\beta(Y)$.

Warning 1. β is not a group homomorphism.

Proof. If $Y \sim -Y$ then $\beta(Y) = \beta(-Y) = -\beta(-Y)$ so $\beta(Y) = 0$ which implies $\mu(Y) = 0$. \square

Some lectures down the road we will get some gadget $\text{SWF}^{\text{pin}(2),*}(Y)$ which will lead to β .

2. SEIBERG-WITTEN THEORY

2.1. Setup. Let Y be an $\mathbb{Z}HS$ and g some Riemannian metric. This will have a (unique) spin^c structure. I.e. we have S a spinor bundle, rank 2 Hermitian and

$$\rho : T^*Y \simeq TY \rightarrow \mathfrak{su}(S) .$$

$TY = \langle e_1, e_2, e_3 \rangle$ is trivialisable so S is the trivial rank 2 bundle and ρ explicitly sends:

$$\begin{aligned}\rho(e_1) &= \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} \\ \rho(e_2) &= \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \\ \rho(e_3) &= \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}\end{aligned}$$

This is some kind of gauge theory so we need to specify our fields. Define \mathcal{A} to be the space of $U(1)$ connections. Since we are doing abelian gauge theory we should think of \mathcal{A} as 1-forms on Y with imaginary coefficients: $\mathcal{A} = \Omega^1(Y; i\mathbb{R})$. A *spinor* is a section $\varphi \in \Gamma(S)$. Then define

$$\not\partial\varphi := \sum \rho(e_i) \frac{\partial\varphi}{\partial x_i} .$$

We should think of $\varphi \otimes \varphi^* \in \text{End}(S)$. Then in order to land in $\mathfrak{sl}(S)$ we take the traceless part:

$$(\varphi \otimes \varphi^*)_0 \in \mathfrak{sl}(S) .$$

So we can take

$$\rho((\varphi \otimes \varphi^*)_0) \in \Omega^1(Y; i\mathbb{R}) .$$

Now we can state the actual SW equations. Define

$$\mathcal{C} := \Omega^1(Y; i\mathbb{R}) \times \Gamma(S) \ni (a, \varphi) .$$

Now define:

$$\widetilde{\text{SW}} : \mathcal{C} \rightarrow \mathcal{C}$$

to map:

$$(a, \varphi) \mapsto (\star da - 2\rho^{-1}((\varphi \otimes \varphi^*)_0), \not\partial\varphi + a\varphi) .$$

Remark 1. This is a Gauge theory, so we should think of $\widetilde{\text{SW}} = \nabla \text{CSD}$ where CSD is the Chern-Simons-Dirac functional given by:

$$\text{CSD}(a, \varphi) = \frac{1}{2} \left(\int_Y \langle \varphi, (\varphi + \rho(a)) \varphi \rangle d\text{Vol} - \int_Y a \wedge da \right) .$$

This is a functional on an infinite-dimensional space so we will be completing some sort of infinite-dimensional Morse theory in this setting, i.e. Floer homology.

We now define the Gauge group to be:

$$\mathcal{G} = \mathcal{C}^\infty(Y, U(1)) .$$

For $U \in \mathcal{G}$ we have the action

$$U \cdot (a, \varphi) = (a - U^{-1} dU, U \cdot \varphi) .$$

Because Y has trivial fundamental group we can write $U = e^\xi$ where $\xi : Y \rightarrow i\mathbb{R}$. Therefore we can rewrite the action to be:

$$U_\xi(a, \varphi) = (a - d\xi, e^\xi \cdot \varphi) .$$

As it turns out we have

$$U_* \widetilde{\text{SW}} = \widetilde{\text{SW}} .$$

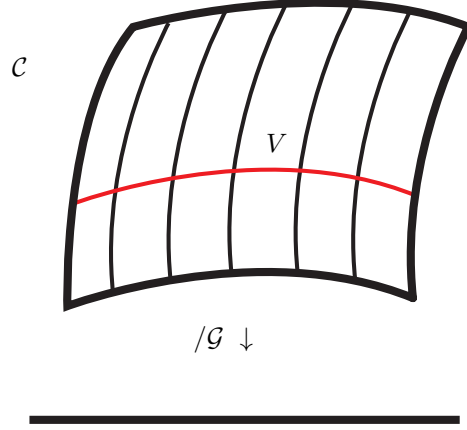


FIGURE 1. The space \mathcal{C} with the orbit of some point under the action of \mathcal{G} pictured as the vertical lines. The red horizontal line is a choice of section V , i.e. a choice of representatives.

3. GAUGE FIXING

We now describe the process of gauge fixing. For a point in our \mathcal{C} we get an orbit of \mathcal{G} , and then Gauge fixing is a choice of a section V as in fig. 1. We will choose the Coulomb gauge, i.e. just some particular V .

Recall for $U \in \mathcal{G}$, $a \in \mathcal{A}$ we have $U \cdot a = a - U \cdot dU = a - d\xi$. As it turns out we can write $\mathcal{G} = \mathcal{G}_0 \times S^1$ where

$$\mathcal{G}_0 := \left\{ U = e^\xi \mid \int_Y \xi = 0 \right\} .$$

In general this integral won't be 0, so we write:

$$\xi' = \xi - \frac{\int \xi}{\text{Vol} Y} .$$

Then we get that U_ξ corresponds to

$$\left(e^{\xi'}, e^{\int \xi / \text{Vol} Y} \right) \in \mathcal{G}_0 \times S^1 .$$

For $a \in \mathcal{A}$ we write $[a] \in \mathcal{A}/\mathcal{G}_0$. To understand this we do a bit of Hodge theory.

$$\mathcal{A} = \Omega^1(Y; i\mathbb{R}) = d\Omega^0 \oplus d^*(\Omega^2) = \ker d \oplus \ker d^* .$$

From this point of view we write

$$a = da_0 + d^*a_2 .$$

Formally we have that for any $a \in \mathcal{A}$ there exists a unique b such that $[b] = [a]$ and $b \in \ker d^*$.

Then our choice of section is given by:

$$\mathcal{C} \supset V := \{(a, \varphi) \in \mathcal{C} \mid d^*a = 0\} .$$

Then what we have shown is that

$$\mathcal{C}/\mathcal{G}_0 = V .$$

Explicitly this map is:

$$C \xrightarrow{\pi} V$$

$$(da_0 + d^*a_2, \varphi) \longmapsto (d^*a_2, e^{a_0}\varphi)$$

Remark 2. The point of this is that we went from studying \mathcal{C}/\mathcal{G} to studying V/S^1 .

Now we might be worried about $\widetilde{\text{SW}}|_V : V \rightarrow \mathcal{C}$ not landing in V . So we will do the obvious thing and post-compose with the projection. Really we should take some kind of tangential projection. For $(a, \varphi) \in V$ we define

$$\pi_{(a, \varphi)} : T_{(a, \varphi)}\mathcal{C} \rightarrow T_{(a, \varphi)}V .$$

So now we define our real SW : $V \rightarrow V$ to be given by:

$$\text{SW}(a, \varphi) = \pi_{(a, \varphi)} \circ \widetilde{\text{SW}} .$$

So we have an honest vector field on an (infinite-dimensional) space and we will study the flow-lines.

Lemma 1. *We can split $\text{SW} = \ell + c$ such that ℓ is a linear first order elliptic operator and c is quadratic.*

Explicitly these will be given by:

$$V \xrightarrow{\ell} V$$

$$(a, \varphi) \longmapsto (\star da, \not\partial\varphi)$$

$$V \xrightarrow{c} V$$

$$(a, \varphi) \longmapsto \pi_{(a, \varphi)} \circ (-2\rho^{-1}((\varphi \otimes \varphi^*)_0), a \cdot \varphi) .$$

So via gauge fixing we sent $\widetilde{\text{SW}}$ to SW on V modulo S^1 . So we have an S^1 action, but in fact we actually have a $\text{pin}(2) = \text{U}(1) \cup j \cdot \text{U}(1) \subset \mathbb{H}$ action where $j^2 = -1$ and $ij = -j$. So to get a $\text{pin}(2)$ action we just need to specify the action of j :

$$j(a, \varphi) = (-a, \varphi j) .$$

for $\varphi \in S = \mathbb{C}^2 = \mathbb{H}$.

Looking ahead, we need a finite dimensional approximation to do Morse theory which we will talk about next week.